

Judicial and Corrections
 Coordinator – Phoenix Ronan
 Office of Fiscal Analysis

	Page #	Analyst	Actual FY 18	Actual FY 19	Governor Estimated FY 20	Original Appropriation FY 21	Governor Revised FY 21	Difference Gov- Orig FY 21	% Diff Gov - Orig FY 21
General Fund									
Division of Criminal Justice	2	PR	46,771,145	48,303,549	49,768,839	52,140,676	51,958,360	(182,316)	(0.35)
Department of Correction	4	ME	603,835,102	631,593,512	628,853,748	663,659,067	659,501,189	(4,157,878)	(0.63)
Judicial Department	8	PR	464,914,772	491,157,337	530,779,488	549,433,072	542,177,960	(7,255,112)	(1.32)
Public Defender Services Commission	12	PR	63,987,648	65,910,079	66,772,729	68,917,962	69,297,962	380,000	0.55
Total - General Fund			1,179,508,667	1,236,964,477	1,276,174,804	1,334,150,777	1,322,935,471	(11,215,306)	(0.84)
Banking Fund									
Judicial Department	8	PR	2,924,362	2,705,112	1,879,000	2,005,000	2,005,000	-	-
Workers' Compensation Fund									
Division of Criminal Justice	2	PR	651,295	684,107	805,676	847,779	847,779	-	-
Criminal Injuries Compensation Fund									
Judicial Department	8	PR	2,274,721	3,075,497	2,934,088	2,934,088	2,934,088	-	-
Total - Appropriated Funds			1,185,359,045	1,243,429,193	1,281,793,568	1,339,937,644	1,328,722,338	(11,215,306)	(0.84)

Division of Criminal Justice DCJ30000

Permanent Full-Time Positions

Fund	Actual FY 18	Actual FY 19	Governor Estimated FY 20	Original Appropriation FY 21	Governor Revised FY 21	Difference Gov- Orig FY 21	% Diff Gov - Orig FY 21
General Fund	486	486	486	486	486	-	-
Workers' Compensation Fund	4	4	4	4	4	-	-

Budget Summary

Account	Actual FY 18	Actual FY 19	Governor Estimated FY 20	Original Appropriation FY 21	Governor Revised FY 21	Difference Gov- Orig FY 21	% Diff Gov - Orig FY 21
Personal Services	42,094,775	43,189,177	44,546,899	46,809,521	46,659,521	(150,000)	(0.32)
Other Expenses	2,331,245	2,482,655	2,394,240	2,394,240	2,361,924	(32,316)	(1.35)
Other Current Expenses							
Witness Protection	146,210	183,262	164,148	164,148	164,148	-	-
Training And Education	24,771	27,398	27,398	27,398	27,398	-	-
Expert Witnesses	125,643	81,407	135,413	135,413	135,413	-	-
Medicaid Fraud Control	1,081,096	1,138,391	1,197,897	1,254,282	1,254,282	-	-
Criminal Justice Commission	279	306	409	409	409	-	-
Cold Case Unit	127,841	142,367	228,213	228,213	228,213	-	-
Shooting Taskforce	839,285	1,058,586	1,074,222	1,127,052	1,127,052	-	-
Agency Total - General Fund	46,771,145	48,303,549	49,768,839	52,140,676	51,958,360	(182,316)	(0.35)
Personal Services	331,038	341,083	387,926	408,464	408,464	-	-
Other Expenses	7,596	9,323	10,428	10,428	10,428	-	-
Fringe Benefits	312,661	333,701	407,322	428,887	428,887	-	-
Agency Total - Workers' Compensation Fund	651,295	684,107	805,676	847,779	847,779	-	-
Total - Appropriated Funds	47,422,440	48,987,656	50,574,515	52,988,455	52,806,139	(182,316)	(0.34)

Account	Governor Revised FY 21
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Policy Revisions

Reduce Funding for Personal Services

Personal Services	(150,000)
Total - General Fund	(150,000)

Governor

Reduce funding by \$150,000 to reflect current Personal Services needs.

Transfer Funding for Microsoft 365 Software Licenses to DAS

Other Expenses	(32,316)
Total - General Fund	(32,316)

Background

Transfer funding of \$751,186 in the General Fund and \$912,959 in the Special Transportation Fund to DAS for the centralized purchase and management of software licenses.

Account	Governor Revised FY 21
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Governor

Transfer funding of \$32,316 to DAS to reflect this agency's cost for Microsoft 365 software licensing.

Totals

Budget Components	Governor Revised FY 21
Original Appropriation - GF	52,140,676
Policy Revisions	(182,316)
Total Recommended - GF	51,958,360
Original Appropriation - WF	847,779
Total Recommended - WF	847,779

Positions	Governor Revised FY 21
Original Appropriation - GF	486
Total Recommended - GF	486
Original Appropriation - WF	4
Total Recommended - WF	4

Department of Correction DOC88000

Permanent Full-Time Positions

Fund	Actual FY 18	Actual FY 19	Governor Estimated FY 20	Original Appropriation FY 21	Governor Revised FY 21	Difference Gov- Orig FY 21	% Diff Gov - Orig FY 21
General Fund	6,117	6,117	6,019	6,019	5,965	(54)	(0.90)

Budget Summary

Account	Actual FY 18	Actual FY 19	Governor Estimated FY 20	Original Appropriation FY 21	Governor Revised FY 21	Difference Gov- Orig FY 21	% Diff Gov - Orig FY 21
Personal Services	391,578,970	402,891,783	393,516,245	412,958,209	408,292,703	(4,665,506)	(1.13)
Other Expenses	65,108,478	68,124,972	68,729,965	69,596,565	71,132,493	1,535,928	2.21
Other Current Expenses							
Stress Management	20,838	32,940	-	-	10,000	10,000	n/a
Workers' Compensation Claims	25,729,374	25,057,098	29,308,856	31,115,914	30,115,914	(1,000,000)	(3.21)
Inmate Medical Services	81,470,158	94,427,493	96,640,077	107,970,535	107,970,535	-	-
Board of Pardons and Paroles	5,805,265	6,018,285	5,567,994	6,927,233	6,861,553	(65,680)	(0.95)
STRIDE	31,361	73,342	73,342	73,342	73,342	-	-
Other Than Payments to Local Governments							
Aid to Paroled and Discharged Inmates	2,109	1,479	3,000	3,000	3,000	-	-
Legal Services To Prisoners	747,835	778,237	797,000	797,000	797,000	-	-
Volunteer Services	38,333	58,340	87,725	87,725	87,725	-	-
Community Support Services	33,302,381	34,129,543	34,129,544	34,129,544	34,156,924	27,380	0.08
Agency Total - General Fund	603,835,102	631,593,512	628,853,748	663,659,067	659,501,189	(4,157,878)	(0.63)

Account	Governor Revised FY 21
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Policy Revisions

Reduce Overtime to Achieve Savings

Personal Services	(779,086)
Total - General Fund	(779,086)

Governor

Reduce funding by \$779,086 to achieve overtime savings in parole and community supervision (\$696,603) and transportation for food services (\$82,483). Parole and community supervision will reduce overtime by continuing strict monitoring and management of overtime hours.

The inmate transportation for food services overtime will be reduced by instituting a new schedule which will decrease the amount of overtime used for transportation by staggering start and end times or reducing the cafeteria hours.

Reduce Funding to Reflect Conversion from Single-use to Reusable Trays

Other Expenses	(287,542)
Total - General Fund	(287,542)

Governor

Reduce funding by \$287,542 to reflect the savings from using new reusable meal trays.

Account	Governor Revised FY 21
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Achieve Efficiencies in Special Management Transports

Personal Services	(49,680)
Total - General Fund	(49,680)

Background

The Special Operations Group (SOG) supervises the transportation of inmates and is funded by overtime pay. This policy reduces the number of SOG trips per month which will instead be handled by the Central Transportation unit during normally scheduled hours.

Governor

Reduce funding by \$49,680 to reflect savings from efficiencies in inmate transportation.

Reduce Funding for the Board of Pardons and Paroles

Board of Pardons and Paroles	(65,680)
Total - General Fund	(65,680)

Governor

Reduce funding by \$65,680 to achieve savings.

Reduce Funding Due to the Elimination of Chemical Agent Training Exposure

Other Expenses	(2,000)
Total - General Fund	(2,000)

Background

The Maloney Center for Training and Staff Development will no longer be training with a direct hit of a chemical agent (mace) for every new employee who attends the pre-service orientation class.

Governor

Reduce funding by \$2,000 due to the department not being required to purchase mace for training.

Transfer Funding to Reflect Centralizing Human Resources and Labor Relations Functions

Personal Services	(4,350,852)
Total - General Fund	(4,350,852)
Positions - General Fund	(54)

Background

The Governor's Revised FY 21 Budget centralizes human resources functions within the Department of Administrative Services (DAS) and labor relations functions within the Office of Policy and Management (OPM). To consolidate human resources functions within DAS, \$19,798,935 and 236 positions are transferred from various agencies. To consolidate labor relations within OPM, \$5,162,886 and 54 positions are transferred from various agencies.

Governor

Transfer \$4,350,852 and 54 positions to reflect centralizing this agency's human resources into DAS and labor relations functions into OPM.

Reduce Funding for Online Legal Research

Other Expenses	(4,316)
Total - General Fund	(4,316)

Background

The Legal Services Unit is utilizing a new online legal research provider resulting in an annual savings.

Governor

Reduce funding by \$4,316 as a result of a new vendor contract.

Transfer Funding for Microsoft 365 Software Licenses to DAS

Other Expenses	(170,214)
Total - General Fund	(170,214)

Account	Governor Revised FY 21
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Background

Transfer funding of \$751,186 in the General Fund and \$912,959 in the Special Transportation Fund to DAS for the centralized purchase and management of software licenses.

Governor

Transfer funding of \$170,214 to DAS to reflect this agency's cost for Microsoft 365 software licensing.

Current Services

Adjust Funding to Reflect the FY 20 Deficiency

Other Expenses	2,000,000
Total - General Fund	2,000,000

Background

HB 5006, the Governor's deficiency bill, includes \$2 million in deficiency funding in FY 20 for this agency. This funding is required due to available Other Expenses (OE) resources being 3.5% less than FY 19 OE expenditures and an increase in various utility related accounts (natural gas, water, and sewage), food, beverage, and clothing costs, along with an increase in premise repairs and maintenance supplies needed to support the infrastructure of its aging facilities.

Governor

Provide funding of \$2 million to reflect the annualization of the agency's FY 20 deficiency.

Fund Workers' Compensation Claims at Projected FY 20 Levels

Workers' Compensation Claims	(1,000,000)
Total - General Fund	(1,000,000)

Background

The Workers' Compensation Claims account provides medical treatment and lost wage benefits for employees who get injured during the course of employment. The average for the three most recent full years of Workers' Compensation Claims expenditures is \$25.5 million.

Governor

Reduce Workers' Compensation Claims funding by \$1 million in FY 21 to reflect anticipated expenditures.

Transfer Minimum Wage Funding from OPM to Various Agencies

Community Support Services	27,380
Total - General Fund	27,380

Background

The FY 20 and FY 21 Budget centrally appropriated \$6 million to the Office of Policy and Management (OPM) in FY 21 to support anticipated increases in contracting costs resulting from the increase to the minimum wage. The Governor's Revised FY 21 Budget transfers \$2,252,444 from the \$6 million and eliminates the remaining balance of \$3,747,556. The transferred funds are distributed as follows: (1) \$2,021,561 to nine agencies to reflect the impact of minimum wage increases on contracted human services private providers, and (2) \$230,883 to the Department of Administrative Services to support the minimum wage increase impact on cleaning and security contracts. Pursuant to PA 19-4, *An Act Increasing the Minimum Fair Wage*, the minimum wage is scheduled to increase in FY 21 from \$11.00 to \$12.00 on September 1, 2020.

Governor

Transfer funding of \$27,380 from OPM to reflect this agency's increased private provider costs due to minimum wage increases.

Transfer Funding from RSA to Agencies for Collective Bargaining Agreements

Personal Services	514,112
Stress Management	10,000
Total - General Fund	524,112

Account	Governor Revised FY 21
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Background

The Governor's Revised FY 21 Budget transfers funding of \$17,574,142 in the General Fund and \$441,236 in the Special Transportation Fund from the Reserve for Salary Adjustment account (RSA) to agencies for collective bargaining contracts approved during the 2019 legislative session.

Governor

Transfer \$524,112 from RSA to reflect this agency's collective bargaining wage increases.

Totals

Budget Components	Governor Revised FY 21
Original Appropriation - GF	663,659,067
Policy Revisions	(5,709,370)
Current Services	1,551,492
Total Recommended - GF	659,501,189

Positions	Governor Revised FY 21
Original Appropriation - GF	6,019
Policy Revisions	(54)
Total Recommended - GF	5,965

Judicial Department JUD95000

Permanent Full-Time Positions

Fund	Actual FY 18	Actual FY 19	Governor Estimated FY 20	Original Appropriation FY 21	Governor Revised FY 21	Difference Gov- Orig FY 21	% Diff Gov - Orig FY 21
General Fund	4,329	4,329	4,229	4,229	4,260	31	0.73
Banking Fund	20	20	10	10	10	-	-

Budget Summary

Account	Actual FY 18	Actual FY 19	Governor Estimated FY 20	Original Appropriation FY 21	Governor Revised FY 21	Difference Gov- Orig FY 21	% Diff Gov - Orig FY 21
Personal Services	303,312,615	318,319,383	339,801,606	353,827,190	355,327,190	1,500,000	0.42
Other Expenses	60,267,976	60,602,873	60,439,025	60,339,025	60,339,025	-	-
Other Current Expenses							
Forensic Sex Evidence Exams	1,347,969	1,248,010	1,348,010	1,348,010	1,348,010	-	-
Alternative Incarceration Program	49,347,704	49,315,399	50,257,733	50,257,733	50,257,733	-	-
Justice Education Center, Inc.	310,810	466,217	469,714	469,714	310,810	(158,904)	(33.83)
Juvenile Alternative Incarceration	19,472,679	19,176,112	20,063,056	20,063,056	20,063,056	-	-
Probate Court	1,900,000	4,350,000	7,200,000	12,500,000	3,884,000	(8,616,000)	(68.93)
Workers' Compensation Claims	6,109,611	7,700,030	6,042,106	6,042,106	6,042,106	-	-
Youthful Offender Services	9,506,821	9,639,960	9,725,677	9,725,677	9,725,677	-	-
Victim Security Account	3,548	3,385	8,792	8,792	8,792	-	-
Children of Incarcerated Parents	490,053	492,010	493,728	493,728	493,728	-	-
Legal Aid	1,397,144	1,397,144	1,397,144	1,397,144	1,397,144	-	-
Youth Violence Initiative	1,203,323	1,906,800	1,939,758	1,939,758	1,939,758	-	-
Youth Services Prevention	1,839,372	3,019,971	3,311,078	3,311,078	3,330,870	19,792	0.60
Children's Law Center	92,444	92,445	92,445	92,445	92,445	-	-
Juvenile Planning	208,620	208,620	430,000	430,000	430,000	-	-
Juvenile Justice Outreach Services	-	-	19,961,142	19,455,142	19,455,142	-	-
Board and Care for Children - Short-term and Residential	-	-	7,798,474	7,732,474	7,732,474	-	-
Other Than Payments to Local Governments							
Juvenile Justice Outreach Services	5,100,908	8,569,251	-	-	-	-	n/a
Board and Care for Children - Short-term and Residential	3,003,175	4,649,727	-	-	-	-	n/a
Agency Total - General Fund	464,914,772	491,157,337	530,779,488	549,433,072	542,177,960	(7,255,112)	(1.32)
Foreclosure Mediation Program	2,924,362	2,705,112	1,879,000	2,005,000	2,005,000	-	-
Agency Total - Banking Fund	2,924,362	2,705,112	1,879,000	2,005,000	2,005,000	-	-
Criminal Injuries Compensation	2,274,721	3,075,497	2,934,088	2,934,088	2,934,088	-	-
Agency Total - Criminal Injuries Compensation Fund	2,274,721	3,075,497	2,934,088	2,934,088	2,934,088	-	-
Total - Appropriated Funds	470,113,855	496,937,946	535,592,576	554,372,160	547,117,048	(7,255,112)	(1.31)

Account	Governor Revised FY 21
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Policy Revisions

Adjust Subsidy to Probate Court

Probate Court	(8,616,000)
Total - General Fund	(8,616,000)

Background

The Probate Court system is funded by the Probate Court Administration Fund (PCAF). While PCAF primarily is funded through decedent estates fees, it also receives a General Fund appropriation to fully fund operations. Unspent funds are maintained as a balance in the PCAF.

Governor

Reduce the Probate Court account by \$8,616,000. It is anticipated that this proposal will not affect probate court operations as the Probate Court Administration Fund is expected to end FY 20 with a balance of approximately \$11.4 million and the balance can be used to offset the reduction to the subsidy.

Provide Funding for the Probation Transition Program and Technical Violation Unit

Personal Services	3,500,000
Total - General Fund	3,500,000
Positions - General Fund	31

Background

The Probation Transition Program (PTP) provides services to clients, for a limited period, who have served a term of incarceration and are transitioning back to the community. These services are delivered in collaboration with community-based service and treatment providers. Pre-Release planning is conducted with the Departments of Correction, Mental Health and Addiction Services, and Social Services. The Technical Violation Unit (TVU) provides services to clients who are at imminent risk of technical violation of probation, that is, any violation of the conditions of probation other than a new arrest or conviction. These services are delivered with community-based service and treatment providers.

Currently these programs and the associated 31 positions are funded from revenue the state receives from a commission on inmate phone calls. The commission also supports DOC programs and services (\$350,000) and operational costs of the Criminal Justice Information System.

Governor

Provide funding of \$3.5 million and transfer the 31 positions associated with this account to the General Fund associated with decreasing the call rates by reducing the state's share of revenue from the calls.

Fund the Justice Education Center, Inc. at FY 2018 Level

Justice Education Center, Inc.	(158,904)
Total - General Fund	(158,904)

Background

The Justice Education Center, Inc. provides programming, training, and public education to prevent and reduce crime and violence, improve public safety, strengthen local communities.

Governor

Reduce funding of \$158,904 to the Justice Education Center, Inc to FY 18 levels.

Account	Governor Revised FY 21
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Adjust Funding Through Agency Lapse

Background

Pursuant to CGS 4-73(g), the proposed appropriation for the Judicial Department in HB 5005, the Governor's budget bill, must reflect the appropriation request submitted by the agency. Therefore, the Governor's recommended adjustments are reflected through a bottom line lapse (savings) adjustment.

Governor

Reduce funding by \$1,841,196 to reflect elimination of the agency submitted option for increased courthouse security. This option was included in HB 5005, the Governor's proposed revised budget for FY 21, but removed as a lapse adjustment.

Judicial Lapse	
Description	FY 21
Increase Courthouse Security	(1,841,196)

Current Services

Reduce Funding for Personal Services

Personal Services	(2,000,000)
Total - General Fund	(2,000,000)

Governor

Reduce funding by \$2 million to reflect current staffing needs.

Transfer Minimum Wage Funding from OPM to Various Agencies

Youth Services Prevention	19,792
Total - General Fund	19,792

Background

The FY 20 and FY 21 Budget centrally appropriated \$6 million to the Office of Policy and Management (OPM) in FY 21 to support anticipated increases in contracting costs resulting from the increase to the minimum wage. The Governor's Revised FY 21 Budget transfers \$2,252,444 from the \$6 million and eliminates the remaining balance of \$3,747,556. The transferred funds are distributed as follows: (1) \$2,021,561 to nine agencies to reflect the impact of minimum wage increases on contracted human services private providers, and (2) \$230,883 to the Department of Administrative Services to support the minimum wage increase impact on cleaning and security contracts. Pursuant to PA 19-4, *An Act Increasing the Minimum Fair Wage*, the minimum wage is scheduled to increase in FY 21 from \$11.00 to \$12.00 on September 1, 2020.

Governor

Transfer funding of \$19,792 from OPM to reflect this agency's increased private provider costs due to minimum wage increases.

Totals

Budget Components	Governor Revised FY 21
Original Appropriation - GF	549,433,072
Policy Revisions	(5,274,904)
Current Services	(1,980,208)
Total Recommended - GF	542,177,960
Original Appropriation - BF	2,005,000
Total Recommended - BF	2,005,000
Original Appropriation - CIF	2,934,088
Total Recommended - CIF	2,934,088

Positions	Governor Revised FY 21
Original Appropriation - GF	4,229
Policy Revisions	31
Total Recommended - GF	4,260
Original Appropriation - BF	10
Total Recommended - BF	10

Public Defender Services Commission
PDS98500

Permanent Full-Time Positions

Fund	Actual FY 18	Actual FY 19	Governor Estimated FY 20	Original Appropriation FY 21	Governor Revised FY 21	Difference Gov- Orig FY 21	% Diff Gov - Orig FY 21
General Fund	447	447	451	451	451	-	-

Budget Summary

Account	Actual FY 18	Actual FY 19	Governor Estimated FY 20	Original Appropriation FY 21	Governor Revised FY 21	Difference Gov- Orig FY 21	% Diff Gov - Orig FY 21
Personal Services	37,625,651	39,299,366	40,153,930	42,299,163	42,299,163	-	-
Other Expenses	1,176,461	1,173,337	1,181,163	1,181,163	1,561,163	380,000	32.17
Other Current Expenses							
Assigned Counsel - Criminal	22,442,277	22,442,260	22,442,284	22,442,284	22,442,284	-	-
Expert Witnesses	2,625,576	2,875,596	2,875,604	2,875,604	2,875,604	-	-
Training And Education	117,683	119,520	119,748	119,748	119,748	-	-
Agency Total - General Fund	63,987,648	65,910,079	66,772,729	68,917,962	69,297,962	380,000	0.55

Account	Governor Revised FY 21

Policy Revisions

Adjust Funding Through Agency Lapse

Background

Pursuant to CGS 4-73(g), the proposed appropriation for the Judicial Department in HB 5005, the Governor's budget bill, must reflect the appropriation request submitted by the agency. Therefore, the Governor's recommended adjustments are reflected through a bottom line lapse (savings) adjustment.

Governor

Reduce funding by \$769,0074 to reflect elimination of various agency submitted options. This option was included in HB 5005, the Governor's proposed revised budget for FY 21, but removed as a lapse adjustment.

Public Defender Services Lapse	
Description	FY 21
Provide Funding for Assigned Counsel Rate Equity	(384,000)
Provide Staffing for PDS Staffing Request	(303,501)
Provide Funding for Diversity Training and Recruitment	(81,506)
TOTAL	(769,007)

Account	Governor Revised FY 21
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Current Services

Provide Funding for PDS Case Management System Operating Costs

Other Expenses	380,000
Total - General Fund	380,000

Background

Development and design for the case management system has been paid from IT Investment Funds, which are not available to fund ongoing costs associated with the system.

Governor

Provide funding of \$380,000 for operating costs associated with the agency's case management system.

Totals

Budget Components	Governor Revised FY 21
Original Appropriation - GF	68,917,962
Current Services	380,000
Total Recommended - GF	69,297,962

Positions	Governor Revised FY 21
Original Appropriation - GF	451
Total Recommended - GF	451