

Transportation
 Coordinator – Felix Planas
 Office of Fiscal Analysis

	Page #	Analyst	Governor Estimated FY 11	Agency Requested FY 12	Agency Requested FY 13	Governor Recommended FY 12	Governor Recommended FY 13	Percent Difference (Gov13-Est11) / Est 11
General Fund								
Department of Motor Vehicles	7	FP	0	0	0	501,404	490,853	NA
Department of Transportation	13	FP	0	8,000,000	8,000,000	0	0	NA
Total - General Fund			0	8,000,000	8,000,000	501,404	490,853	NA
Special Transportation Fund								
Department of Motor Vehicles	7	FP	53,187,276	60,126,182	59,191,073	56,668,782	55,530,404	4.41
Department of Transportation	13	FP	524,807,022	607,244,236	630,428,873	602,047,234	603,255,340	14.95
Total - Special Transportation Fund			577,994,298	667,370,418	689,619,946	658,716,016	658,785,744	13.98
Total - All Appropriated Funds			577,994,298	675,370,418	697,619,946	659,217,420	659,276,597	14.06

BUDGET CHANGES

	Gov Rec FY 12 Pos.	Gov Rec FY 12 Amount	Gov Rec FY 13 Pos.	Gov Rec FY 13 Amount
<u>TRANSPORTATION</u>				
DEPARTMENT OF MOTOR VEHICLES				
FY 11 Governor Estimated Expenditures - GF				
FY 11 Governor Estimated Expenditures - TF				
	566	53,187,276	566	53,187,276
<u>Current Services Adjustments</u>				
Adjust Funding to Reflect Wage and Compensation Related Costs -(Governor) cs				
Personal Services		3,176,650		2,061,801
Total - Special Transportation Fund	0	3,176,650	0	2,061,801
Apply Inflationary Increases -(Governor) cs				
Other Expenses		350,842		781,774
Commercial Vehicle Information Systems and Networks Project		6,385		15,939
Total - Special Transportation Fund	0	357,227	0	797,713
Adjust Operating Expenses to Reflect Current Requirements -(Governor) cs				
Other Expenses		126,000		126,000
Total - Special Transportation Fund	0	126,000	0	126,000
Adjust Funding for Replacement Equipment -(Governor) cs				
Equipment		225,629		87,029
Total - Special Transportation Fund	0	225,629	0	87,029
Adjust Other Current Expenses Accounts to Reflect Current Requirements -(Governor) cs				
Commercial Vehicle Information Systems and Networks Project		(15,589)		40,882
Vision Screening Program	22	2,209,671	22	2,156,757
Total - Special Transportation Fund	22	2,194,082	22	2,197,639
Current Services Adjustments Subtotals	22	6,079,588	22	5,270,182
Current Services Totals - TF	588	59,266,864	588	58,457,458
<u>Policy Revision Adjustments</u>				
Postpone Funding for Vision Screening -(Governor) lr pr				
Vision Screening Program	(22)	(2,209,671)	(22)	(2,156,757)
Total - Special Transportation Fund	(22)	(2,209,671)	(22)	(2,156,757)
Consolidate Weigh Station Operations -(Governor) lr pr				
Personal Services	7	389,200	7	389,200
Other Expenses		108,346		108,346
Total - Special Transportation Fund	7	497,546	7	497,546

	Gov Rec FY 12 Pos.	Gov Rec FY 12 Amount	Gov Rec FY 13 Pos.	Gov Rec FY 13 Amount
Transfer the Boating Account to the General Fund -(Governor) lr pr				
Personal Services	3	285,000	3	274,449
Other Expenses		216,404		216,404
Total - General Fund	3	501,404	3	490,853
Defer Equipment Replacement -(Governor) pr				
Equipment		(234,700)		(96,100)
Total - Special Transportation Fund	0	(234,700)	0	(96,100)
Eliminate Handicapped Driver Training Program -(Governor) pr				
Personal Services	(2)	(116,274)	(2)	(116,274)
Other Expenses		(14,436)		(14,436)
Total - Special Transportation Fund	(2)	(130,710)	(2)	(130,710)
Implement Municipal Personal Property Taxation System -(Governor) pr				
Other Expenses		50,000		50,000
Total - Special Transportation Fund	0	50,000	0	50,000
Eliminate 1-800 Telephone Service -(Governor) lr pr				
Other Expenses				(80,000)
Total - Special Transportation Fund	0	0	0	(80,000)
Eliminate License and Non Driver Identification Renewal Notices -(Governor) lr pr				
Other Expenses		(213,320)		(213,320)
Total - Special Transportation Fund	0	(213,320)	0	(213,320)
Transfer IT Managers Back to DMV -(Governor) pr				
Personal Services	1		1	
Total - Special Transportation Fund	1	0	1	0
Eliminate Inflationary Increases -(Governor) pr				
Other Expenses		(350,842)		(781,774)
Commercial Vehicle Information Systems and Networks Project		(6,385)		(15,939)
Total - Special Transportation Fund	0	(357,227)	0	(797,713)
Policy Adjustments Subtotals	3	501,404	3	490,853
Total Recommended - GF	3	501,404	3	490,853
Policy Adjustments Subtotals	(16)	(2,598,082)	(16)	(2,927,054)
Total Recommended - TF	572	56,668,782	572	55,530,404
DEPARTMENT OF TRANSPORTATION				
FY 11 Governor Estimated Expenditures - GF				
FY 11 Governor Estimated Expenditures - TF	3,294	524,807,022	3,294	524,807,022

	Gov Rec FY 12 Pos.	Gov Rec FY 12 Amount	Gov Rec FY 13 Pos.	Gov Rec FY 13 Amount
<u>Current Services Adjustments</u>				
Adjust Funding to Reflect Wage and Compensation Related Costs -(Governor) cs				
Personal Services		18,086,038		12,086,038
Highway and Bridge Renewal		181,936		151,578
Total - Special Transportation Fund	0	18,267,974	0	12,237,616
Apply Inflationary Increases -(Governor) cs				
Other Expenses		1,321,936		3,105,555
Minor Capital Projects		8,313		18,878
Rail Operations		302,939		664,561
Bus Operations		264,180		599,952
Highway and Bridge Renewal		281,902		312,260
Non-ADA Dial-A-Ride Program		14,409		32,723
Total - Special Transportation Fund	0	2,193,679	0	4,733,929
Adjust Operating Expenses to Reflect Current Requirements -(Governor) cs				
Other Expenses		(6,470,000)		(6,470,000)
Total - Special Transportation Fund	0	(6,470,000)	0	(6,470,000)
Adjust Operating Expenses to Reflect Current Snow and Ice Removal Requirements -(Governor) cs				
Other Expenses		2,971,865		2,971,865
Total - Special Transportation Fund	0	2,971,865	0	2,971,865
Adjust Operating Expenses to Reflect Current Airport Requirements -(Governor) cs				
Other Expenses		50,250		55,250
Total - Special Transportation Fund	0	50,250	0	55,250
Adjust Operating Expenses to Reflect Current Contracting Requirements -(Governor) cs				
Other Expenses		246,850		246,850
Total - Special Transportation Fund	0	246,850	0	246,850
Adjust Funding for Replacement Equipment -(Governor) cs				
Equipment		(269,500)		(168,500)
Highway and Bridge Renewal-Equipment		1,000,000		1,000,000
Total - Special Transportation Fund	0	730,500	0	831,500
Adjust Other Current Expenses Accounts to Reflect Current Requirements -(Governor) cs				
Highway Planning and Research		161,031		285,031
Rail Operations		12,766,749		23,521,703
Bus Operations		8,208,266		12,848,979
Highway and Bridge Renewal		1,133,319		1,133,319
ADA Para-transit Program		1,609,040		3,314,040
Total - Special Transportation Fund	0	23,878,405	0	41,103,072

	Gov Rec FY 12 Pos.	Gov Rec FY 12 Amount	Gov Rec FY 13 Pos.	Gov Rec FY 13 Amount
Restore Funding for Town Aid Road Grant -(Governor) cs				
Town Aid Road Grants - TF		30,000,000		30,000,000
Total - Special Transportation Fund	0	30,000,000	0	30,000,000
Transfer IT Managers back to DOT -(Governor) cs				
Personal Services	3		3	
Total - Special Transportation Fund	3	0	3	0
Current Services Adjustments Subtotals	3	71,869,523	3	85,710,082
Current Services Totals - TF	3,297	596,676,545	3,297	610,517,104
Policy Revision Adjustments				
Transfer Highway & Bridge Renewal Account to Pay-As-You-Go Transportation Projects Account -(Governor) pr				
Highway and Bridge Renewal Pay-As-You-Go Transportation Projects		(13,718,098)		(13,687,740)
Total - Special Transportation Fund	0	0	0	0
Increase Funding for Pay-As-You-Go Transportation Projects -(Governor) pr				
Pay-As-You-Go Transportation Projects		14,000,000		9,000,000
Total - Special Transportation Fund	0	14,000,000	0	9,000,000
Purchase and Replace Heavy Equipment -(Governor) pr				
Highway and Bridge Renewal-Equipment		5,000,000		
Total - Special Transportation Fund	0	5,000,000	0	0
Implement Municipal Personal Property Taxation System -(Governor) pr				
Other Expenses		50,000		50,000
Total - Special Transportation Fund	0	50,000	0	50,000
Transfer Insurance Cost to DAS -(Governor) pr				
Rail Operations		(1,200,509)		(1,237,725)
Bus Operations		(3,142,548)		(3,142,548)
Total - Special Transportation Fund	0	(4,343,057)	0	(4,380,273)
Eliminate Funding for Vacant Positions -(Governor) pr				
Personal Services		(4,150,000)		(4,000,000)
Total - Special Transportation Fund	0	(4,150,000)	0	(4,000,000)
Cap Funding for Demand Responsive Matching Grant Program Grant At \$3 Million -(Governor) lr pr				
Bus Operations		(895,000)		(1,015,745)
Total - Special Transportation Fund	0	(895,000)	0	(1,015,745)

	Gov Rec FY 12 Pos.	Gov Rec FY 12 Amount	Gov Rec FY 13 Pos.	Gov Rec FY 13 Amount
Eliminate Funding for First Transit Administration -(Governor) pr				
Bus Operations		(1,133,734)		(1,168,880)
Total - Special Transportation Fund	0	(1,133,734)	0	(1,168,880)
Cap Funding for Capital Grants at \$1 Million -(Governor) pr				
Bus Operations		(963,841)		(1,012,937)
Total - Special Transportation Fund	0	(963,841)	0	(1,012,937)
Eliminate Inflationary Increases -(Governor) pr				
Other Expenses		(1,321,936)		(3,105,555)
Minor Capital Projects		(8,313)		(18,878)
Rail Operations		(302,939)		(664,561)
Bus Operations		(264,180)		(599,952)
Highway and Bridge Renewal		(281,902)		(312,260)
Non-ADA Dial-A-Ride Program		(14,409)		(32,723)
Total - Special Transportation Fund	0	(2,193,679)	0	(4,733,929)
Policy Adjustments Subtotals		5,370,689		(7,261,764)
Total Recommended - TF	3,297	602,047,234	3,297	603,255,340
TRANSPORTATION TOTALS				
General Fund	3	501,404	3	490,853
Special Transportation Fund	3,869	658,716,016	3,869	658,785,744
Total Transportation	3,872	659,217,420	3,872	659,276,597

Department of Motor Vehicles DMV35000

	Governor Estimated FY 11	Agency Requested FY 12	Agency Requested FY 13	Governor Recommended FY 12	Governor Recommended FY 13	Percent Difference (Gov13-Est11) / Est 11
POSITION SUMMARY						
Permanent Full-Time	0	0	0	3	3	N/A
Permanent Full-Time - TF	566	566	566	572	572	1.06
Others Equated to Full-Time - TF	81	81	81	81	81	.
Permanent Full-Time - OF	51	51	51	51	51	.
Permanent Full-Time - OF	3	3	3	3	3	.
Permanent Full-Time - OF	19	19	19	19	19	.
Others Equated to Full-Time - OF	8	8	8	8	8	.
BUDGET SUMMARY						
Personal Services	0	0	0	285,000	274,449	N/A
Other Expenses	0	0	0	216,404	216,404	N/A
Agency Total - General Fund	0	0	0	501,404	490,853	N/A
Personal Services	39,207,082	43,079,550	41,752,998	42,656,658	41,541,809	5.95
Other Expenses	13,115,716	13,592,558	14,023,490	13,172,306	13,092,306	(.18)
Equipment	609,071	936,200	945,600	600,000	600,000	(1.49)
Other Current Expenses						
Commercial Vehicle Information Systems and Networks Project	255,407	308,203	312,228	239,818	296,289	16.01
Vision Screening Program	0	2,209,671	2,156,757	0	0	N/A
Agency Total - Special Transportation Fund	53,187,276	60,126,182	59,191,073	56,668,782	55,530,404	4.41
Agency Total - Appropriated Funds	53,187,276	60,126,182	59,191,073	57,170,186	56,021,257	5.33
Additional Funds Available						
Federal Contributions	5,525,760	1,722,184	1,722,184	1,722,184	1,722,184	(68.83)
Emissions Enterprise Fund-EEF	6,500,000	6,500,000	6,500,000	6,500,000	6,500,000	.
Special Funds, Non-Appropriated	392,050	406,263	414,639	406,263	414,639	5.76
Agency Grand Total	65,605,086	68,754,629	67,827,896	65,798,633	64,658,080	(1.44)
	Gov Rec FY 12 Pos.	Gov Rec FY 12 Amount	Gov Rec FY 13 Pos.	Gov Rec FY 13 Amount	Pos.	Amount

BUDGET CHANGES SUMMARY

Policy Adjustments	3	501,404	3	490,853	0	0
Total Recommended - GF	3	501,404	3	490,853	0	0
FY 11 Governor Estimated Expenditures - TF	566	53,187,276	566	53,187,276	0	0
Current Services Adjustments	22	6,079,588	22	5,270,182	0	0
Current Services Totals	588	59,266,864	588	58,457,458	0	0
Policy Adjustments	(16)	(2,598,082)	(16)	(2,927,054)	0	0
Total Recommended - TF	572	56,668,782	572	55,530,404	0	0

BUDGET CHANGES DETAILS

FY 11 Governor Estimated Expenditures - TF	566	53,187,276	566	53,187,276	0	0
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Current Services Adjustments

Adjust Funding to Reflect Wage and Compensation Related Costs

Wage and compensation adjustments include funding for cost of living adjustments and other

	Gov Rec FY 12 Pos.	Gov Rec FY 12 Amount	Gov Rec FY 13 Pos.	Gov Rec FY 13 Amount	Pos.	Amount
miscellaneous changes, including an adjustment for the 27th payroll. Every eleventh year there is an additional pay period, which would result in 27 pay periods in FY 12 (currently there are 26 pay periods in a fiscal year).						
(Governor) Provide funding of \$3,176,650 in FY 12 and \$2,061,801 in FY 13 to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, 27 th payroll and other compensation-related adjustments.						
Personal Services	0	3,176,650	0	2,061,801	0	0
Total - Special Transportation Fund	0	3,176,650	0	2,061,801	0	0

Apply Inflationary Increases

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year. The Governor's budget applies these factors:

Description	FY 12	FY 13
General	2.5%	3.1%
Medical	4.4%	4.2%
Food & Beverage	1.8%	1.8%
Energy	4.9% - 6.2%	3.4% - 4.3%

(Governor) Increase funding for various accounts by \$357,227 in FY 12 and an additional \$440,486 in FY 13 (for a cumulative total of \$797,713 in the second year) to reflect inflationary increases.

Other Expenses	0	350,842	0	781,774	0	0
Commercial Vehicle Information Systems and Networks Project	0	6,385	0	15,939	0	0
Total - Special Transportation Fund	0	357,227	0	797,713	0	0

Adjust Operating Expenses to Reflect Current Requirements

The Real ID Act (RIA) is a federal law passed in response to the terrorist attacks of 9/11 in which fraudulent identification documents were utilized.

The law standardizes certain security, authentication, and issuance measures for state driver's licenses and identification cards. The current compliance date for RIA is May 10, 2011.

(Governor) Provide \$126,000 in both FY 12 and FY 13 in the Other Expenses account to reflect annual contractual costs for the Real-ID Compliance Verification System.

Other Expenses	0	126,000	0	126,000	0	0
Total - Special Transportation Fund	0	126,000	0	126,000	0	0

	Gov Rec FY 12 Pos.	Gov Rec FY 12 Amount	Gov Rec FY 13 Pos.	Gov Rec FY 13 Amount	Pos.	Amount
Adjust Funding for Replacement Equipment (Governor) Provide \$225,629 in FY 12 and \$87,029 in FY 13 for equipment replacement and upgrades for:						
<ul style="list-style-type: none"> • customer queuing systems in branch offices, • Real ID (RIA) security system equipment upgrade to comply with federal mandate, and • laptops used in branches for license and road testing. 						
Customer Queuing Systems allow branch offices to monitor customer volume and place customers in a waiting pattern for service, as well as aid in determining staff coverage for better customer service.						
Equipment	0	225,629	0	87,029	0	0
Total - Special Transportation Fund	0	225,629	0	87,029	0	0
Adjust Other Current Expenses Accounts to Reflect Current Requirements						
<u>Commercial Vehicle Information System and Network Project (CVISN):</u> The CVISN is a part of the national Intelligent Transportation System/Commercial Vehicle Operations (ITS/CVO) effort to link commercial motor carriers, state regulatory agencies (Departments of Motor Vehicles and Transportation) and roadside safety screening and inspection stations. The CVISN network allows commercial motor carriers to electronically apply for, pay and receive registration, fuel tax and oversize/overweight permits and other credentials.						
<u>Vision Screening:</u> Beginning July 1, 2011, the DMV must conduct a vision screening for driver's license renewal applicants on every other license renewal. By law, in lieu of the DMV screening, an applicant may submit the results of a vision screening performed by a qualified licensed health care professional if it was conducted within the 12 months preceding renewal.						
(Governor) Provide \$2,194,082 in FY 12 and \$2,197,639 in FY 13 in Other Current Expenses accounts to reflect FY 12 and FY 13 anticipated expenditure requirements. These costs include maintenance costs associated with CVISN and funding for the Vision Screening Program pursuant to CGS Section 14-41(a).						
Commercial Vehicle Information Systems and Networks Project	0	(15,589)	0	40,882	0	0
Vision Screening Program	22	2,209,671	22	2,156,757	0	0
Total - Special Transportation Fund	22	2,194,082	22	2,197,639	0	0
Current Services Adjustments Subtotals	22	6,079,588	22	5,270,182	0	0
Current Services Totals - TF	588	59,266,864	588	58,457,458	0	0

	Gov Rec FY 12 Pos.	Gov Rec FY 12 Amount	Gov Rec FY 13 Pos.	Gov Rec FY 13 Amount	Pos.	Amount
<u>Policy Revision Adjustments</u>						
Postpone Funding for Vision Screening						
(Governor) Eliminate 22 positions and funding of \$2,209,671 in FY 12 and \$2,156,757 in FY 13 to reflect the savings associated with the repeal of vision screening requirements for driver's license renewals. Proposed SB 1018, AAC The Transfer Of Responsibility For Weight Stations To The Department Of Motor Vehicles , The Establishment of Electronic Renewal Notices And The Elimination Of Vision Screening Tests for Motor Vehicle Operators, repeals the requirement that the agency conduct a vision screening, beginning July 1, 2011, prior to issuing driver's license renewals.						
Vision Screening Program	(22)	(2,209,671)	(22)	(2,156,757)	0	0
Total - Special Transportation Fund	(22)	(2,209,671)	(22)	(2,156,757)	0	0
Consolidate Weigh Station Operations						
The state's five weigh stations are located in Greenwich, Union, Danbury, Waterford, and Middletown.						
(Governor) Consolidate operations by transferring seven positions and funding of \$389,200 for Personal Services and \$108,346 Other Expenses in FY 12 and FY 13 from the Department of Public Safety to DMV. In addition to these positions, nine State Troopers will be included in the reorganization, in order to have one trooper working with each group of inspectors. Legislation is required to assure State Trooper support.						
Personal Services	7	389,200	7	389,200	0	0
Other Expenses	0	108,346	0	108,346	0	0
Total - Special Transportation Fund	7	497,546	7	497,546	0	0
Transfer the Boating Account to the General Fund						
PA 09-3 JSS, AAC Expenditures and Revenue for the Biennium Ending June 30, 2011, Sec. 394, eliminated the Conservation Fund and also temporarily eliminated the boating account, sending all boat registration fee revenue to the General Fund. However, before the change could take effect, PA 09-8 SSS, Sec. 21, restored the boating account as a separate, nonlapsing General Fund account.						
(Governor) Transfer \$501,404 (\$285,000 in Personal Services and \$216,404 in Other Expenses) in FY 12 and \$490,853 (\$274,449 in Personal Services and \$216,404 in Other Expenses) and three positions to reflect moving the boating account from a nonappropriated account into the General Fund. Under the provisions of Proposed HB 6387, AAC Personal Property Tax Exemptions, and Proposed HB 6388, AA Implementing The Governor's Budget Recommendations Concerning The Office Of Policy and Management, the Boating Account will be eliminated and revenue from boat registration fees will be deposited into the General Fund.						
Personal Services	3	285,000	3	274,449	0	0
Other Expenses	0	216,404	0	216,404	0	0
Total - General Fund	3	501,404	3	490,853	0	0

	Gov Rec FY 12 Pos.	Gov Rec FY 12 Amount	Gov Rec FY 13 Pos.	Gov Rec FY 13 Amount	Pos.	Amount
Defer Equipment Replacement						
(Governor) Reduce funding by \$234,700 in FY 12 and \$96,100 in FY 13 in the Equipment account to reflect savings from deferring equipment replacement to the next biennium.						
Equipment	0	(234,700)	0	(96,100)	0	0
Total - Special Transportation Fund	0	(234,700)	0	(96,100)	0	0
Eliminate Handicapped Driver Training Program						
Connecticut is the only state that provides free training for persons with physical impairments who require special adaptive equipment to operate a motor vehicle safely. The agency provides the driver education and proficiency test free of charge to such persons.						
(Governor) Eliminate two positions and reduce funding by \$130,710 in FY 12 and FY 13 to reflect the savings associated with eliminating the Handicapped Driver Training Program.						
Personal Services	(2)	(116,274)	(2)	(116,274)	0	0
Other Expenses	0	(14,436)	0	(14,436)	0	0
Total - Special Transportation Fund	(2)	(130,710)	(2)	(130,710)	0	0
Implement Municipal Personal Property Taxation System						
Currently, boats are exempt from local personal property taxes. Proposed HB 6387, AAC Personal Property Tax Exemptions, imposes a personal property tax on boats in the state at a state-wide rate of 20 mills, beginning with assessment years commencing on or after October 1, 2011. There are approximately 110,900 boats registered in Connecticut.						
(Governor) Provide funding of \$50,000 in FY 12 and FY 13 in the Other Expenses account to contract for services to provide municipalities with data regarding boats located in the municipality to facilitated subjecting them to local personal property taxes.						
Other Expenses	0	50,000	0	50,000	0	0
Total - Special Transportation Fund	0	50,000	0	50,000	0	0
Eliminate 1-800 Telephone Service						
(Governor) Reduce funding by \$80,000 in FY 13 in the Other Expenses account to reflect anticipated expenditure requirements. The reduction reflects eliminating the agency's 1-800 telephone number. All information calls would be directed to the agency's Hartford number. Proposed SB 1018, AAC The Transfer Of Responsibility For Weight Stations To The Department Of Motor Vehicles, The Establishment of Electronic Renewal Notices And The Elimination of Vision Screening Test For Motor Vehicles Operators, repeals the requirement that the agency maintain a 1-800 line.						
Other Expenses	0	0	0	(80,000)	0	0
Total - Special Transportation Fund	0	0	0	(80,000)	0	0

	Gov Rec FY 12 Pos.	Gov Rec FY 12 Amount	Gov Rec FY 13 Pos.	Gov Rec FY 13 Amount	Pos.	Amount
Eliminate License and Non Driver Identification Renewal Notices						
(Governor) Reduce funding by \$213,320 in FY 12 and FY 13 in the Other Expenses account to reflect eliminating mailing driver's license or identification card renewal notices to customers. The notices will instead be transmitted through electronic means. Savings are realized by the reduction of materials, postage, and other costs associated with sending renewal notifications. Statutory repeal of mailing reminder notices is necessary to achieve these savings.						
Other Expenses	0	(213,320)	0	(213,320)	0	0
Total - Special Transportation Fund	0	(213,320)	0	(213,320)	0	0
Transfer IT Managers Back to DMV						
(Governor) Transfer one Information Technology position from DoIT to this agency. Funding for this position is currently included in this agency's budget.						
Personal Services	1	0	1	0	0	0
Total - Special Transportation Fund	1	0	1	0	0	0
Eliminate Inflationary Increases						
(Governor) Reduce various accounts by \$357,227 in FY 12 and an additional \$440,486 in FY 13 (for a cumulative total of \$797,713 in the second year) to reflect the elimination of inflationary increases.						
Other Expenses	0	(350,842)	0	(781,774)	0	0
Commercial Vehicle Information Systems and Networks Project	0	(6,385)	0	(15,939)	0	0
Total - Special Transportation Fund	0	(357,227)	0	(797,713)	0	0
Policy Adjustments Subtotals	3	501,404	3	490,853	0	0
Total Recommended - GF	3	501,404	3	490,853	0	0
Policy Adjustments Subtotals	(16)	(2,598,082)	(16)	(2,927,054)	0	0
Total Recommended - TF	572	56,668,782	572	55,530,404	0	0

Department of Transportation DOT57000

	Governor Estimated FY 11	Agency Requested FY 12	Agency Requested FY 13	Governor Recommended FY 12	Governor Recommended FY 13	Percent Difference (Gov13-Est11) / Est 11
POSITION SUMMARY						
Permanent Full-Time - TF	3,294	3,293	3,293	3,297	3,297	.09
BUDGET SUMMARY						
Grant Payments to Local Governments						
Town Aid Road Grants	0	8,000,000	8,000,000	0	0	N/A
Agency Total - General Fund	0	8,000,000	8,000,000	0	0	N/A
Personal Services	155,913,962	177,820,472	172,204,013	169,850,000	164,000,000	5.19
Other Expenses	51,396,685	52,825,292	54,686,913	48,245,650	48,250,650	(6.12)
Equipment	1,911,500	2,020,549	2,088,448	1,642,000	1,743,000	(8.82)
Minor Capital Projects	332,500	350,000	360,850	332,500	332,500	.
Highway and Bridge Renewal-Equipment	6,000,000	8,000,000	8,000,000	12,000,000	7,000,000	16.67
Other Current Expenses						
Highway Planning and Research	2,819,969	3,071,545	3,195,508	2,981,000	3,105,000	10.11
Rail Operations	133,431,327	152,966,763	165,771,184	144,997,567	155,715,305	16.7
Bus Operations	132,955,915	139,133,743	150,766,274	135,029,058	139,464,784	4.9
Highway and Bridge Renewal	12,402,843	19,779,000	20,343,350	0	0	(100.)
Tweed-New Haven Airport Grant	1,500,000	1,500,000	1,500,000	1,500,000	1,500,000	.
ADA Para-transit Program	25,565,960	27,177,034	28,882,656	27,175,000	28,880,000	12.96
Non-ADA Dial-A-Ride Program	576,361	599,838	629,677	576,361	576,361	.
Pay-As-You-Go Transportation Projects	0	0	0	27,718,098	22,687,740	N/A
Town Aid Road Grants - TF	0	22,000,000	22,000,000	30,000,000	30,000,000	N/A
Agency Total - Special Transportation Fund	524,807,022	607,244,236	630,428,873	602,047,234	603,255,340	14.95
Agency Total - Appropriated Funds	524,807,022	615,244,236	638,428,873	602,047,234	603,255,340	14.95

	Gov Rec FY 12 Pos.	Gov Rec FY 12 Amount	Gov Rec FY 13 Pos.	Gov Rec FY 13 Amount	Pos.	Amount
BUDGET CHANGES SUMMARY						
FY 11 Governor Estimated Expenditures - TF	3,294	524,807,022	3,294	524,807,022	0	0
Current Services Adjustments	3	71,869,523	3	85,710,082	0	0
Current Services Totals	3,297	596,676,545	3,297	610,517,104	0	0
Policy Adjustments	0	5,370,689	0	(7,261,764)	0	0
Total Recommended - TF	3,297	602,047,234	3,297	603,255,340	0	0

BUDGET CHANGES DETAILS						
FY 11 Governor Estimated Expenditures - TF	3,294	524,807,022	3,294	524,807,022	0	0
Current Services Adjustments						
Adjust Funding to Reflect Wage and Compensation Related Costs						
Wage and compensation adjustments include funding for cost of living adjustments and other miscellaneous changes, including an adjustment for the 27th payroll. Every eleventh year there is an additional pay period, which would result in 27						

	Gov Rec FY 12 Pos.	Gov Rec FY 12 Amount	Gov Rec FY 13 Pos.	Gov Rec FY 13 Amount	Pos.	Amount
pay periods in FY 12 (currently there are 26 pay periods in a fiscal year).						
(Governor) Provide funding of \$18,267,974 in FY 12 and \$12,237,616 in FY 13 to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, 27 th payroll and other compensation-related adjustments.						
Personal Services	0	18,086,038	0	12,086,038	0	0
Highway and Bridge Renewal	0	181,936	0	151,578	0	0
Total - Special Transportation Fund	0	18,267,974	0	12,237,616	0	0

Apply Inflationary Increases

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year. The Governor's budget applies these factors:

Description	FY 12	FY 13
General	2.5%	3.1%
Medical	4.4%	4.2%
Food & Beverage	1.8%	1.8%
Energy	4.9% -	3.4% -
	6.2%	4.3%

(Governor) Increase funding for various accounts by \$2,193,679 in FY 12 and an additional \$2,540,250 in FY 13 (for a cumulative total of \$4,733,929 in the second year) to reflect inflationary increases.

Other Expenses	0	1,321,936	0	3,105,555	0	0
Minor Capital Projects	0	8,313	0	18,878	0	0
Rail Operations	0	302,939	0	664,561	0	0
Bus Operations	0	264,180	0	599,952	0	0
Highway and Bridge Renewal	0	281,902	0	312,260	0	0
Non-ADA Dial-A-Ride Program	0	14,409	0	32,723	0	0
Total - Special Transportation Fund	0	2,193,679	0	4,733,929	0	0

Adjust Operating Expenses to Reflect Current Requirements

(Governor) Reduce funding by \$6,470,000 in FY 12 and FY 13 in the Other Expenses account to reflect a one-time \$2 million reimbursement, from federal and bond funds in FY 11, and to adjust for a one-time transfer between accounts to cover unexpected FY 11 expenses related to winter storms.

Other Expenses	0	(6,470,000)	0	(6,470,000)	0	0
Total - Special Transportation Fund	0	(6,470,000)	0	(6,470,000)	0	0

Adjust Operating Expenses to Reflect Current Snow and Ice Removal Requirements

(Governor) Provide funding of \$2,971,865 in FY 12 and FY 13 in the Other Expenses account to reflect the anticipated expenditure requirements. These costs include:

- \$1,607,346 for salt purchases in FY 11 prices,
- \$750,000 for repairs of aging truck fleet, and
- \$614,519 for storm service contracts.

Other Expenses	0	2,971,865	0	2,971,865	0	0
Total - Special Transportation Fund	0	2,971,865	0	2,971,865	0	0

	Gov Rec FY 12 Pos.	Gov Rec FY 12 Amount	Gov Rec FY 13 Pos.	Gov Rec FY 13 Amount	Pos.	Amount
Adjust Operating Expenses to Reflect Current Airport Requirements						
(Governor) Provide funding of \$50,250 in FY 12 and \$55,250 in FY 13 in the Other Expenses account to reflect the anticipated expenditure requirements. These costs include:						
<ul style="list-style-type: none"> • \$30,250 for airport safety in each year, • \$20,000 in FY 12 for costs associated with the Airport Operators & Pilots Association Convention at Brainard Airport in September 2011, and • \$25,000 for operating expenses for Groton Airport's Engineered Material Arresting System in FY 13. 						
Other Expenses	0	50,250	0	55,250	0	0
Total - Special Transportation Fund	0	50,250	0	55,250	0	0

Adjust Operating Expenses to Reflect Current Contracting Requirements						
A geodetic survey is a survey map of a large area of land in which corrections are made to account for curvature of the earth.						
(Governor) Provide funding of \$246,850 in FY 12 and FY 13 in the Other Expenses account to reflect the anticipated expenditure requirements. These costs include \$180,000 for emptying holding tanks and \$66,850 for a geodetic survey.						
Other Expenses	0	246,850	0	246,850	0	0
Total - Special Transportation Fund	0	246,850	0	246,850	0	0

Adjust Funding for Replacement Equipment						
(Governor) Provide \$730,500 in FY 12 and \$831,500 in FY 13 for replacement equipment for this agency. These costs include (a) a reduction of \$269,500 in FY 12 and of \$168,500 in FY 13 for office equipment such as desktop computers, system upgrades and field equipment; and (b) an increase of \$1,000,000 in FY 12 and FY 13 for the Highway Bridge Renewal Equipment account for replacement parts for an aging truck fleet.						
Equipment	0	(269,500)	0	(168,500)	0	0
Highway and Bridge Renewal-Equipment	0	1,000,000	0	1,000,000	0	0
Total - Special Transportation Fund	0	730,500	0	831,500	0	0

Adjust Other Current Expenses Accounts to Reflect Current Requirements						
(Governor) Provide \$23,878,405 in FY 12 and \$41,103,072 in FY 13 in the Other Current Expenses accounts to reflect FY 12 and FY 13 anticipated expenditure requirements.						
Highway Planning and Research	0	161,031	0	285,031	0	0
Rail Operations	0	12,766,749	0	23,521,703	0	0
Bus Operations	0	8,208,266	0	12,848,979	0	0
Highway and Bridge Renewal	0	1,133,319	0	1,133,319	0	0
ADA Para-transit Program	0	1,609,040	0	3,314,040	0	0
Total - Special Transportation Fund	0	23,878,405	0	41,103,072	0	0

	Gov Rec FY 12 Pos.	Gov Rec FY 12 Amount	Gov Rec FY 13 Pos.	Gov Rec FY 13 Amount	Pos.	Amount
Restore Funding for Town Aid Road Grant						
Funds are provided to assist municipalities to construct, reconstruct, improve, or maintain their local roads, highways and bridges, including snow plowing, the sanding of icy pavements, the trimming and removal of trees, the installation, replacement and maintenance of traffic signs, signals, and markings for traffic control and vehicles safety programs, and to operate essential public transportation services and related facilities.						
(Governor) Provide \$30 million in FY 12 and FY 13 for the Town Aid Road grant. For the past two years funding for this program has been financed through the issuance of bonds.						
Town Aid Road Grants - TF	0	30,000,000	0	30,000,000	0	0
Total - Special Transportation Fund	0	30,000,000	0	30,000,000	0	0
Transfer IT Managers back to DOT						
(Governor) Transfer three Information Technology positions from DoIT to this agency. Funding for these positions is currently included in this agency's budget.						
Personal Services	3	0	3	0	0	0
Total - Special Transportation Fund	3	0	3	0	0	0
Current Services Adjustments Subtotals	3	71,869,523	3	85,710,082	0	0
Current Services Totals - TF	3,297	596,676,545	3,297	610,517,104	0	0
<u>Policy Revision Adjustments</u>						
Transfer Highway & Bridge Renewal Account to Pay-As-You-Go Transportation Projects Account						
The "Pay-As-You-Go" account augments the Capital Transportation Infrastructure Program by funding non-bondable transportation projects that support the maintenance of the state's roads and bridges. Funds cover non-bondable resurfacing costs, liquid surface treatment, pavement crack repair, line striping, bridge inspection operations, bridge joint repair and painting, and major maintenance operations. Non-bondable projects have a life of less than 20 years.						
Highway and bridge equipment needs are addressed separately in the Highway & Bridge Renewal - Equipment account.						
(Governor) Transfer current services funding for the Highway and Bridge Renewal account to a new Pay-As-You-Go Transportation Projects account. Funding may be used for non-bondable transportation related projects.						
Highway and Bridge Renewal	0	(13,718,098)	0	(13,687,740)	0	0
Pay-As-You-Go Transportation Projects	0	13,718,098	0	13,687,740	0	0
Total - Special Transportation Fund	0	0	0	0	0	0

	Gov Rec FY 12 Pos.	Gov Rec FY 12 Amount	Gov Rec FY 13 Pos.	Gov Rec FY 13 Amount	Pos.	Amount
Increase Funding for Pay-As-You-Go Transportation Projects						
(Governor) Provide \$14,000,000 in FY 12 and \$9,000,000 in FY 13 and thereafter to support additional "Pay-As-You-Go" projects.						
Pay-As-You-Go Transportation Projects	0	14,000,000	0	9,000,000	0	0
Total - Special Transportation Fund	0	14,000,000	0	9,000,000	0	0
Purchase and Replace Heavy Equipment						
(Governor) Provide funding of \$5,000,000 in FY 12 in the Highway and Bridge Equipment account to reflect FY 12 anticipated expenditure requirements. These costs include the purchase and replacement of an aging fleet of heavy equipment such as trucks and pay-loaders used in highway and bridge maintenance and snow removal.						
Highway and Bridge Renewal-Equipment	0	5,000,000	0	0	0	0
Total - Special Transportation Fund	0	5,000,000	0	0	0	0
Implement Municipal Personal Property Taxation System						
Currently, aircraft are exempt from local personal property taxes. Proposed HB 6387, AAC Personal Property Tax Exemptions, imposes a personal property tax on aircraft in the state at a state-wide rate of 20 mills beginning with assessment years commencing on or after October 1, 2011. There are approximately 1,200 aircraft registered in Connecticut.						
(Governor) Provide \$50,000 in FY 12 and FY 13 in the Other Expenses account to contract for services to provide municipalities data regarding aircraft located in the municipality to facilitate subjecting them to the local personal property taxes.						
Other Expenses	0	50,000	0	50,000	0	0
Total - Special Transportation Fund	0	50,000	0	50,000	0	0
Transfer Insurance Cost to DAS						
(Governor) Transfer funding to the Department of Administrative to reflect its assumption of the purchase of insurance currently included as part of DOT's Rail Operations and Bus Operations accounts.						
Rail Operations	0	(1,200,509)	0	(1,237,725)	0	0
Bus Operations	0	(3,142,548)	0	(3,142,548)	0	0
Total - Special Transportation Fund	0	(4,343,057)	0	(4,380,273)	0	0
Eliminate Funding for Vacant Positions						
(Governor) Reduce funding by \$4,150,000 in FY 12 and \$4,000,000 in FY 13 in the Personal Services account to reflect elimination of funding of vacant positions.						
Personal Services	0	(4,150,000)	0	(4,000,000)	0	0
Total - Special Transportation Fund	0	(4,150,000)	0	(4,000,000)	0	0

	Gov Rec FY 12 Pos.	Gov Rec FY 12 Amount	Gov Rec FY 13 Pos.	Gov Rec FY 13 Amount	Pos.	Amount
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Cap Funding for Demand Responsive Matching Grant Program Grant At \$3 Million

This dial-a-ride grant program was established by the legislature in 1999 to provide matching grants to municipalities based on an allocation formula. The formula provides half of the municipality's apportionment based on its relative share of the state's elderly population and half based on its relative square mileage compared to the total area of the state. Municipalities must apply for the grants through a regional planning organization or transit district and must collaborate on service design to determine how to use the funding most effectively in the municipality and its surrounding region.

Projects funded by the municipal grant program must serve both seniors (age 60+) and people with disabilities. Each municipality applying for the grant funds must provide a fifty percent match. Grant amounts are determined using an allocation formula based on a municipality's elderly and disabled population and the geographic size of the town. If a municipality chooses not to apply, its portion reverts to the state.

**Matching Grant for Municipal
Dial-A-Ride Programs
Annual Expenditures
(Amounts in Millions)**

	<u>Expenditures</u>
FY 07	\$3.161
FY 08	\$3.406
FY 09	\$3.688
FY 10	\$3.532
FY 11	\$4.000 (awarded)
FY 12	\$4.140 (est. OFA)
FY 13	\$4.290 (est. OFA)

(Governor) Cap the amount available for towns under this state matching grant program at \$3,000,000.

Bus Operations	0	(895,000)	0	(1,015,745)	0	0
Total - Special Transportation Fund	0	(895,000)	0	(1,015,745)	0	0

	Gov Rec FY 12 Pos.	Gov Rec FY 12 Amount	Gov Rec FY 13 Pos.	Gov Rec FY 13 Amount	Pos.	Amount
Eliminate Funding for First Transit Administration						
First Transit is the operator for the state of the Hartford, New Haven, and Stamford transit systems. The contract for this service expires on September 30, 2011. First Transit also provides services to other transit district operations within the state. First Transit is a national company that provides public transit management services such as:						
<ul style="list-style-type: none"> • Fixed route operations, • Paratransit services, • Shuttle buses, • Transit system management, • NEMT and ADA brokerage and call centers, and • Consulting and bus line inspection. 						
(Governor) Reduce funding by \$1,133,734 in FY 12 and \$1,168,880 in FY 13 in the Bus Operations account. These reductions reflect the elimination of five managers at First Transit and the transfer of administration of the Hartford, New Haven, and Stamford transit districts to the agency.						
Bus Operations	0	(1,133,734)	0	(1,168,880)	0	0
Total - Special Transportation Fund	0	(1,133,734)	0	(1,168,880)	0	0
Cap Funding for Capital Grants at \$1 Million						
The Capital Grants program funds non-bondable public transportation projects; projects with less than five years of life. Past projects include resurfacing of commuter and bus parking lots, canopies, and repair or replacement of equipment.						
(Governor) Cap the amount available to transit districts for the non-federal match for small capital projects at \$1,000,000.						
Bus Operations	0	(963,841)	0	(1,012,937)	0	0
Total - Special Transportation Fund	0	(963,841)	0	(1,012,937)	0	0
Eliminate Inflationary Increases						
(Governor) Reduce various accounts by \$2,193,679 in FY 12 and an additional \$2,540,250 in FY 13 (for a cumulative total of \$4,733,929 in the second year) to reflect the elimination of inflationary increases.						
Other Expenses	0	(1,321,936)	0	(3,105,555)	0	0
Minor Capital Projects	0	(8,313)	0	(18,878)	0	0
Rail Operations	0	(302,939)	0	(664,561)	0	0
Bus Operations	0	(264,180)	0	(599,952)	0	0
Highway and Bridge Renewal	0	(281,902)	0	(312,260)	0	0
Non-ADA Dial-A-Ride Program	0	(14,409)	0	(32,723)	0	0
Total - Special Transportation Fund	0	(2,193,679)	0	(4,733,929)	0	0
Policy Adjustments Subtotals	0	5,370,689	0	(7,261,764)	0	0
Total Recommended - TF	3,297	602,047,234	3,297	603,255,340	0	0