

Analysis of Finance Advisory Committee Meeting Items

June 6, 2019 Agenda



OFFICE OF FISCAL ANALYSIS

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FAC 2019-23 State Comptroller - Fringe Benefits

Account	Original Appropriation	Prior Policy Actions ¹	Proposed FAC Transfer		Available Funding Post FAC Action
			FROM (Decrease)	TO (Increase)	
Retired State Employees Health Service Cost	687,599,000	-	(16,910,000)	-	670,689,000
State Employees Retirement Contributions	1,165,586,416	-	-	1,900,000	1,167,486,416
Pensions and Retirements - Other Statutory	1,657,248	-	-	210,000	1,867,248
Employers Social Security Tax	199,077,427	-	-	12,000,000	211,077,427
Other Post Employment Benefits	91,200,000	-	-	2,800,000	94,000,000
TOTAL - General Fund			(16,910,000)	16,910,000	

Funding is available for transfer from this account due to the following:

- **Retired State Employees Health Service Cost** - the budget assumed a higher retiree population that actually experienced. In addition, the increased premium share for new retirees pursuant to the SEBAC 2017 agreement being higher than anticipated.

Funding is needed for transfer to these accounts due to the following:

- **State Employees Retirement Contributions (SERS)** - 1% Tier IV defined contribution payments charged to the SERS appropriation for which there was not a corresponding budget adjustment in the FY 19 budget.
- **Pensions and Retirements - Other Statutory** - additional retirees being charged to the appropriation that anticipated in the budget.
- **Employers Social Security Tax** - the SEBAC lump sum payments of \$2,000 and extra FY 19 longevity payment (the April 2018 payment was payable in July 2019) which resulted in expenditures being more than anticipated in the budget. This account is directly related to payroll.
- **Other Post Employment Benefits** - the SEBAC lump sum payments of \$2,000 and extra FY 19 longevity payment (the April 2018 payment was payable in July 2019) which resulted in expenditures being more than anticipated in the budget. Similar to social security, this account is directly related to payroll.

Holdbacks and lapses:

There are no holdbacks applied to these accounts.

¹ Prior policy actions may include holdbacks (forced lapses), rescissions, and transfers.

FAC 2019-24 Department of Administrative Services

Account	Original Appropriation	Prior Policy Actions ¹	Proposed FAC Transfer		Available Funding Post FAC Action
			FROM (Decrease)	TO (Increase)	
Personal Services	45,853,884	(2,069,808)	(900,000)	-	42,884,076
State Insurance and Risk Mgmt Operations	10,917,391	3,300,000	-	900,000	15,117,391
TOTAL - General Fund			(900,000)	900,000	

Funding is available for transfer from this account due to the following:

- **Personal Services** - There have been delays in filling vacant positions; currently the agency has 186 vacant positions.

Funding is needed for transfer to these accounts due to the following:

- **State Insurance and Risk Mgmt Operations (IRM)** - The IRM account funds: 1) premiums associated with policies purchased by the State to insure against losses; 2) claims and judgments issued against the State for losses that occur within the self-insured deductible amounts; and 3) payment of an insurance broker and the Third Party Administrator. This fiscal year, there have some large fleet liability claim settlements that were outside of the Board's standard projections. In addition, some cases are scheduled for trial soon, which may result in additional exposure for this fiscal year. These large fleet liability claims create the anticipated shortfall in the IRM account of \$900,000.

Holdbacks and lapses:

This transfer will not affect the agency's ability to achieve the Personal Services holdback of \$229,270.

FAC 2019-25 Department of Emergency Services and Public Protection

Account	Original Appropriation	Prior Policy Actions ¹	Proposed FAC Transfer		Available Funding Post FAC Action
			FROM (Decrease)	TO (Increase)	
Workers' Compensation Claims	4,636,817	-	(900,000)	-	3,736,817
Personal Services	142,219,449	282,314	-	900,000	143,401,763
TOTAL - General Fund			(900,000)	900,000	

Funding is available for transfer from this account due to the following:

- **Workers' Compensation Claims** - There is funding within the account due to less than expected workers compensation claims.

Funding is needed for transfer to this account due to the following:

- **Personal Services** - Funding is needed in the account due to overtime expenses, which have increased due to unfilled vacancies.

Holdbacks and lapses:

There are no holdbacks or lapses expected in these accounts.

FAC 2019-26 Department of Motor Vehicles

Account	Original Appropriation	Prior Policy Actions ¹	Proposed FAC Transfer		Available Funding Post FAC Action
			FROM (Decrease)	TO (Increase)	
Personal Services	49,296,260	1,285,276	(5,000,000)	-	45,581,536
Real Time Online Registration System	-	-	-	5,000,000	5,000,000
TOTAL - Special Transportation Fund			(5,000,000)	5,000,000	

Funding is available for transfer from this account due to the following:

- **Personal Services** - Lower than budgeted expenditures due to delays in filling 78 vacant positions.

Funding is needed for transfer to this account due to the following:

- **Real Time Online Registration System** - Increased costs associated with upgrading the departments IT systems.

Holdbacks and lapses:

There are no holdbacks or lapses associated with these accounts.

FAC 2019-27 Insurance Department

Account	Original Appropriation	Prior Policy Actions ¹	Proposed FAC Transfer		Available Funding Post FAC Action
			FROM (Decrease)	TO (Increase)	
Indirect Overhead	466,740	-	(194,901)	-	271,839
Other Expenses	1,727,807	-	-	194,901	1,922,708
TOTAL - Insurance Fund			(194,901)	194,901	

Funding is available for transfer from this account due to the following:

- **Indirect Overhead** - the enacted budget did not include a technical adjustment to match the recovery rate established by the Office of the State Comptroller for this account.

Funding is needed for transfer to this account due to the following:

- **Other Expenses** - timing related to exam reimbursement and related travel expenditures.

Holdbacks and lapses:

There are no holdbacks or lapses associated with these accounts.

FAC 2019-28 Labor Department

Account	Original Appropriation	Prior Policy Actions ¹	Proposed FAC Transfer		Available Funding Post FAC Action
			FROM (Decrease)	TO (Increase)	
Workforce Investment Act	36,662,281	-	(955,000)	-	35,707,281
Personal Services	8,503,989	252,082	-	875,000	9,631,071
Other Expenses	1,026,326	-	-	80,000	1,106,326
TOTAL - General Fund			(955,000)	955,000	

Funding is available for transfer from this account due to the following:

- **Workforce Investment Act** - The FY 19 state appropriation for this federal program exceeded the actual federal grant awarded.

Funding is needed for transfer to these accounts due to the following:

- **Personal Services** - Payouts for accumulated leaves for recently retired employees.
- **Other Expenses** - Delays in the processing of anticipated federal reimbursements.

Holdbacks and lapses:

There are no holdbacks associated with these accounts.

FAC 2019-29 Department of Economic and Community Development

Account	Original Appropriation	Prior Policy Actions ¹	Proposed FAC Transfer		Available Funding Post FAC Action
			FROM (Decrease)	TO (Increase)	
Personal Services	6,946,217	119,068	(275,000)	-	6,790,285
Office of Military Affairs	187,575	-	(25,000)	-	162,575
Capital Region Development Authority	6,249,121	-	-	300,000	6,549,121
TOTAL - General Fund			(300,000)	300,000	

Funding is available for transfer from these accounts due to the following:

- **Personal Services** - Lower than budgeted personal services expenditures due to delays in filling vacant positions.
- **Office of Military Affairs** - A delay in executing a contract.

Funding is needed for transfer to this account due to the following:

- **Capital Region Development Authority** - (1) reduced revenues in concession sales and parking fees at the Pratt and Whitney stadium at Rentschler Field and (2) a \$50,000 payment to the City of Hartford for XL Center security.

Holdbacks and lapses:

There are no holdbacks associated with these accounts.

FAC 2019-30 Office of the Chief Medical Examiner

Account	Original Appropriation	Prior Policy Actions ¹	Proposed FAC Transfer		Available Funding Post FAC Action
			FROM (Decrease)	TO (Increase)	
Other Expenses	1,435,536	-	(245,000)	-	1,190,536
Personal Services	4,789,527	96,736	-	245,000	5,131,263
TOTAL - General Fund			(245,000)	245,000	

Funding is available for transfer from this account due to the following:

- **Other Expenses** - Funding of \$245,000 is available in this account due to federal opioid surge grant reimbursements.

Funding is needed for transfer to this account due to the following:

- **Personal Services** - CME was able to hire professional staff (e.g., a ninth pathologist) due to opioid surge challenges. While a federal opioid surge grant provided the majority of Personal Services funding needed to support new staff, additional funding of \$245,000 remains to be needed.

Holdbacks and lapses:

There are no FY 19 holdbacks in this agency.

FAC 2019-31 Department of Mental Health and Addiction Services

Account	Original Appropriation	Prior Policy Actions ¹	Proposed FAC Transfer		Available Funding Post FAC Action
			FROM (Decrease)	TO (Increase)	
General Assistance Managed Care	41,339,713	67,690	(2,300,000)	-	39,107,403
TBI Community Services	8,596,174	68,428	(550,000)	-	8,114,602
Behavioral Health Medications	6,720,754	-	(200,000)	-	6,520,754
Home and Community Based Services	23,746,667	28,570	(4,100,000)	-	19,675,237
Other Expenses	23,191,753	-	-	3,250,000	26,441,753
Professional Services	11,200,697	-	-	2,100,000	13,300,697
Workers' Compensation Claims	11,405,512	-	-	1,800,000	13,205,512
TOTAL - General Fund			(7,150,000)	7,150,000	

Funding is available for transfer from these accounts due to the following:

- **General Assistance Managed Care** - Lower than budgeted expenditures. This represents approximately 5.6% of the original appropriation.
- **TBI Community Services** - Lower than budgeted expenditures. This represents approximately 6.3% of the original appropriation.
- **Home and Community Based Services** - Fewer than anticipated clients as well as lower than budgeted expenditures. This represents approximately 17.2% the original appropriation.
- **Behavioral Health Medications** - lower than budgeted expenditures. This represents approximately 3% of the original appropriation.

Funding is needed in these accounts due to the following:

- **Other Expenses** - Increased expenditures to support security and safety upgrades at CVH. This represents 14% of the total FY 19 available appropriation. In addition, the available appropriation is approximately \$1.7 million below FY 18 total expenditures of \$24.9 million.
- **Professional Services** - Increased use of contracted medical services. This represents 18.7% of the total FY 19 available appropriation. The available appropriation is approximately \$2 million below FY 18 total expenditures of \$13.2 million.
- **Workers' Compensation Claims** - The department is experiencing higher than budgeted workers' compensation claims. This represents 15.8% of the total FY 19 available appropriation. In addition, the available appropriation is approximately \$2.4 million below FY 18 total expenditures of \$13.8

Holdbacks and lapses:

There are no holdbacks associated with these accounts.

FAC 2019-32 Department of Social Services

Account	Original Appropriation	Prior Policy Actions ¹	Proposed FAC Transfer		Available Funding Post FAC Action
			FROM (Decrease)	TO (Increase)	
Aid To The Disabled	61,107,546	229,954	(1,500,000)	-	59,837,500
Old Age Assistance	39,826,302	292,668	-	1,500,000	41,618,970
TOTAL - General Fund			(1,500,000)	1,500,000	

Funding is available for transfer from this account due to the following:

- **Aid To The Disabled** - A decrease in the average cost per month of approximately \$240,000 compared to what was anticipated in the FY 19 budget.

Funding is needed for transfer to this account due to the following:

- **Old Age Assistance** - 5.8% higher than anticipated monthly expenditures compared to what was anticipated in the FY 19 budget or \$190,000 a month compared to the budget.

Holdbacks and lapses:

There are no holdbacks associated with these accounts.

FAC 2019-33 Department of Rehabilitation Services

Account	Original Appropriation	Prior Policy Actions ¹	Proposed FAC Transfer		Available Funding Post FAC Action
			FROM (Decrease)	TO (Increase)	
Employment Opportunities - Blind & Disabled	1,011,871	10,119	(750,000)	-	271,990
Vocational Rehabilitation - Disabled	7,207,005	72,070	-	750,000	8,029,075
TOTAL - General Fund			(750,000)	750,000	

Funding is available for transfer from this account due to the following:

- **Employment Opportunities - Blind & Disabled** - Due to restructuring of the program and the way funding for long-term supports is provided in order to maintain competitive employment.

Funding is needed for transfer to this account due to the following:

- **Vocational Rehabilitation - Disabled** - Funding is needed to meet the federal Maintenance of Effort requirement for the program. The FY 19 estimated expenditure in this account is projected to be \$8 million, which is \$200,000 less than the FY 18 expenditure of \$8.2 million. An additional \$1 million was provided through FAC transfer to this account in FY 18.

Holdbacks and lapses:

There are no holdbacks associated with these accounts.

FAC 2019-34 Department of Education

Account	Original Appropriation	Prior Policy Actions ¹	Proposed FAC Transfer		Available Funding Post FAC Action
			FROM (Decrease)	TO (Increase)	
Regional Vocational-Technical School System	130,188,101	3,000,155	(1,000,000)	-	132,188,256
Technical High Schools Other Expenses	22,668,577	-	-	1,000,000	23,668,577
TOTAL - General Fund			(1,000,000)	1,000,000	

Funding is available in this account due to the following:

- **CT Technical Education System** - Lower than budgeted personal services expenditures due to attrition and delays in filling vacant positions.

Funding is needed in this account due to the following:

- **Technical High School Other Expenses** - Increased infrastructure repair costs at schools awaiting renovations projects, expansion of the Information Systems Technology trade programs, and other contractual costs.

Holdbacks and Lapses:

There are no holdbacks associated with these accounts.

FAC 2019-35 Office of Early Childhood

Account	Original Appropriation	Prior Policy Actions ¹	Proposed FAC Transfer		Available Funding Post FAC Action
			FROM (Decrease)	TO (Increase)	
Personal Services	7,574,843	842,252	(450,000)	-	7,967,095
Early Care and Education	101,507,832	24,890,567	(339,906)	-	126,058,493
Birth to Three	21,446,804	1,100,000	-	789,906	23,336,710
TOTAL - General Fund			(789,906)	789,906	

Funding is available in these accounts due to the following:

- **Personal Services** - Delays in filling vacancies.
- **Early Care and Education** - A reflection of actual enrollment in the Child Care Services and School Readiness programs.

Funding is needed in this account due to the following:

- **Birth to Three** - Increased screening, identification and referral services costs in April and May. Funding of \$1.1 million was transferred to this account via FAC in April 2019. This additional shortfall represents approximately 3.7% of the original FY 19 appropriation, which was funded at the FY 18 expenditure level. The line item supports payments to providers for early intervention services for families with infants and toddlers with disabilities, from birth to age three.

Holdbacks and Lapses:

There are no holdbacks associated with these accounts.

FAC 2019-36 University of Connecticut

Account	Original Appropriation	Prior Policy Actions ¹	Proposed FAC Transfer		Available Funding Post FAC Action
			FROM (Decrease)	TO (Increase)	
Operating Expenses	171,494,997	4,436,882	(243,874)	-	175,688,005
Workers' Compensation Claims	2,271,228	-	-	243,874	2,515,102
TOTAL - General Fund			(243,874)	243,874	

Funding is available for transfer from this account due to the following:

- **Operating Expenses** - A holdback of \$857,475 (0.5% of the appropriation) was made to the block grant at the start of the fiscal year. The account also received an RSA transfer of \$5,050,483 in FY 19.

Funding is needed for transfer to this account due to the following:

- **Workers' Compensation Claims** - A shortfall of \$243,874 is projected due to higher than budgeted claims. This represents 11% of the available appropriation. Expenses vary depending on the number and amount of claims filed, but have been increasing annually since FY 17. The constituent units received control of their Workers' Compensation Claims in FY 16.

Holdbacks and lapses:

The transfer of \$243,874 will reduce the amount of the Operating Expenses holdback to \$613,601.

FAC 2019-37 Teachers' Retirement Board

Account	Original Appropriation	Prior Policy Actions ¹	Proposed FAC Transfer		Available Funding Post FAC Action
			FROM (Decrease)	TO (Increase)	
Personal Services	1,561,604	43,662	(60,000)	-	1,545,266
Other Expenses	444,727	-	-	60,000	504,727
TOTAL - General Fund			(60,000)	60,000	

Funding is available for transfer from this account due to the following:

- **Personal Services** - Due to attrition in four positions and planned delays in refilling these vacancies.

Funding is needed for transfer to this account due to the following:

- **Other Expenses** - Additional expenses related to actuarial services, new auditing services and mailing costs associated with the unclaimed funds project.

Holdbacks and lapses:

No holdbacks have been applied to these accounts in FY 19.

FAC 2019-38 Connecticut State Colleges and Universities

Account	Original Appropriation	Prior Policy Actions ¹	Proposed FAC Transfer		Available Funding Post FAC Action
			FROM (Decrease)	TO (Increase)	
Connecticut State University	138,303,424	5,772,122	(399,552)	-	143,675,994
Workers' Compensation Claims	3,289,276	-	-	399,552	3,688,828
TOTAL - General Fund			(399,552)	399,552	

Funding is available for transfer from this account due to the following:

- **Connecticut State University** - A holdback of \$691,517 (0.5% of the appropriation) was executed at the start of the fiscal year. The account additionally received an RSA transfer of \$6,064,087 earlier in FY 19.

Funding is needed for transfer to this account due to the following:

- **Workers' Compensation Claims** - A shortfall of \$399,552 is projected due to higher than budgeted claims. This represents 12% of the available appropriation. A large settlement executed at the end of FY 18 and beginning of FY 19 contributed to the deficiency. Expenses vary depending on the number and amount of claims filed, but have been increasing annually since FY 17. The constituent units received control of their Workers' Compensation Claims in FY 16.

Holdbacks and lapses:

The transfer of \$399,552 will reduce the amount of the Connecticut State University holdback to \$291,965.

FAC 2019-39 Department of Children and Families

Account	Original Appropriation	Prior Policy Actions ¹	Proposed FAC Transfer		Available Funding Post FAC Action
			FROM (Decrease)	TO (Increase)	
Personal Services	266,242,164	2,689,821	(665,000)	-	268,266,985
Board and Care for Children - Short-term and Residential	90,339,295	(117,697)	(4,390,535)	-	85,831,063
Individualized Family Supports	6,552,680	-	(700,000)	-	5,852,680
Other Expenses	28,887,225	-	-	590,000	29,477,225
Workers' Compensation Claims	12,578,720	(1,500,000)	-	150,000	11,228,720
No Nexus Special Education	2,151,861	-	-	600,000	2,751,861
Substance Abuse Treatment	9,840,612	60,507	-	2,665,535	12,566,654
Board and Care for Children - Adoption	98,735,921	-	-	250,000	98,985,921
Board and Care for Children - Foster	135,345,435	80,820	-	1,500,000	136,926,255
TOTAL - General Fund			(5,755,535)	5,755,535	

Funding is available for transfer from these accounts due to the following:

- **Personal Services** - Funding of \$665,000 is available due to delays in refilling vacant positions.
- **Board and Care for Children - Short-term and Residential** - Funding of approximately \$4.4 million is available due to a 103 placement decline in the average monthly congregate care placements from July to April.
- **Individualized Family Supports** - Funding of \$700,000 is available based expenditure trends to date. This account primarily supports a discretionary funding stream for the purchase of individualized services based on family need.

Funding is needed for transfer to these accounts due to the following:

- **Other Expenses** - Funding of \$590,000 is needed due to unanticipated expenses to remove ligature risks at DCF's state-operated psychiatric facility and address other health and safety issues identified during site inspections. These improvements were necessary to implement require corrective actions and to meet accreditation standards.
- **Workers' Compensation Claims** - Funding of \$150,000 is needed due to higher than anticipated medical treatment expenditures.
- **No Nexus Special Education** - Funding of \$600,000 is needed due to an increase in the number of no nexus children and more specialized education services required by children's Individual Education Plans.
- **Substance Abuse Treatment** - Funding of approximately \$2.7 million is needed to increase program capacity to provide services to parents with identified substance abuse issues that are at risk of having their children removed from home, or parents that have a goal of family reunification.
- **Board and Care for Children - Adoption** - Funding of approximately \$250,000 is needed due to an increasing caseload. The average monthly adoption placements increased by 221 from July to April.
- **Board and Care for Children - Foster** - Funding of \$1.5 million is needed due to the increased use of clinical foster care levels that allow children to reside in homes, rather than in congregate care settings. In addition, DCF experienced increased child day care costs due to a

recent temporary closure of the Care4Kids program.

Holdbacks and lapses:

The agency has no holdbacks.

Appendix A: Projected Account Balances for Agencies on this Agenda (After Proposed FAC Transfer(s))

State Comptroller - Fringe Benefits				
	Original Appropriation²	Available Funding³	Estimated Expenditures	Estimated Surplus/(Deficiency)
Unemployment Compensation	6,465,764	6,465,764	3,665,764	2,800,000
State Employees Retirement Contributions	1,165,586,416	1,167,486,416	1,167,486,416	-
Higher Education Alternative Retirement System	1,000	1,000	(18,070,439)	18,071,439
Pensions and Retirements - Other Statutory	1,657,248	1,867,248	1,863,040	4,208
Judges and Compensation Commissioners Retirement	27,427,480	27,427,480	27,427,480	-
Insurance - Group Life	8,270,468	8,270,468	7,763,925	506,543
Employers Social Security Tax	199,077,427	211,077,427	211,077,427	-
State Employees Health Service Cost	655,811,120	655,811,120	637,801,130	18,009,990
Retired State Employees Health Service Cost	687,599,000	670,689,000	665,596,283	5,092,717
Tuition Reimbursement - Training and Travel	-	3,377,500	3,377,500	-
Other Post Employment Benefits	91,200,000	94,000,000	94,000,000	-
TOTAL - General Fund	2,843,095,923	2,846,473,423	2,801,988,526	44,484,897

Department of Administrative Services				
	Original Appropriation²	Available Funding³	Estimated Expenditures	Estimated Surplus/(Deficiency)
Personal Services	45,853,884	42,884,076	42,884,076	-
Other Expenses	27,377,295	28,077,295	28,077,295	-
Tuition Reimbursement - Training and Travel	-	382,000	382,000	-
Labor - Management Fund	-	75,000	75,000	-
Loss Control Risk Management	92,634	92,634	92,634	-
Employees' Review Board	17,611	17,611	17,611	-
Placement And Training Fund	-	2,527	2,527	-
Surety Bonds for State Officials and Employees	147,524	147,524	147,524	-
Quality of Work-Life	-	200,000	200,000	-
Refunds Of Collections	21,453	21,453	21,453	-
Rents and Moving	11,318,952	8,918,952	8,918,952	-
W. C. Administrator	5,000,000	5,000,000	5,000,000	-
State Insurance and Risk Mgmt Operations	10,917,391	15,117,391	15,117,391	-
IT Services	11,759,563	12,759,563	12,759,563	-
Firefighters Fund	400,000	400,000	-	400,000
TOTAL - General Fund	112,906,307	114,096,026	113,696,026	400,000

Department of Emergency Services and Public Protection				
	Original Appropriation²	Available Funding³	Estimated Expenditures	Estimated Surplus/(Deficiency)
Personal Services	142,219,449	143,401,763	143,401,763	-
Other Expenses	25,280,114	25,280,114	25,280,114	-
Stress Reduction	25,354	25,354	25,354	-
Fleet Purchase	6,581,737	6,581,737	6,581,737	-
Workers' Compensation Claims	4,636,817	3,736,817	3,736,817	-
Criminal Justice Information System	2,739,398	2,739,398	2,739,398	-
Fire Training School - Willimantic	150,076	150,076	150,076	-

² Includes appropriated accounts from all appropriated funds.

³ Includes all anticipated and enacted holdbacks (forced lapses), rescissions, and transfers.

Department of Emergency Services and Public Protection				
	Original Appropriation²	Available Funding³	Estimated Expenditures	Estimated Surplus/(Deficiency)
Maintenance of County Base Fire Radio Network	19,528	19,528	19,528	-
Maintenance of State-Wide Fire Radio Network	12,997	12,997	12,997	-
Police Association of Connecticut	172,353	172,353	172,353	-
Connecticut State Firefighter's Association	176,625	176,625	176,625	-
Fire Training School - Torrington	81,367	81,367	81,367	-
Fire Training School - New Haven	48,364	48,364	48,364	-
Fire Training School - Derby	37,139	37,139	37,139	-
Fire Training School - Wolcott	100,162	100,162	100,162	-
Fire Training School - Fairfield	70,395	70,395	70,395	-
Fire Training School - Hartford	169,336	169,336	169,336	-
Fire Training School - Middletown	68,470	68,470	68,470	-
Fire Training School - Stamford	55,432	55,432	55,432	-
TOTAL - General Fund	182,645,113	182,927,427	182,927,427	-

Department of Motor Vehicles				
	Original Appropriation²	Available Funding³	Estimated Expenditures	Estimated Surplus/(Deficiency)
Personal Services	49,296,260	45,581,536	45,581,536	-
Other Expenses	15,397,378	15,397,378	15,397,378	-
Equipment	468,756	468,756	468,756	-
Real Time Online Registration System	-	5,000,000	5,000,000	-
Commercial Vehicle Information Systems and Networks Project	214,676	214,676	214,676	-
TOTAL - Special Transportation Fund	65,377,070	66,662,346	66,662,346	-

Insurance Department				
	Original Appropriation²	Available Funding³	Estimated Expenditures	Estimated Surplus/(Deficiency)
Personal Services	13,796,046	14,021,042	14,021,042	-
Other Expenses	1,727,807	1,922,708	1,921,707	1,001
Equipment	52,500	52,500	52,500	-
Fringe Benefits	10,938,946	10,938,946	12,538,946	(1,600,000)
Indirect Overhead	466,740	271,839	271,839	-
TOTAL - Insurance Fund	26,982,039	27,207,035	28,806,034	(1,598,999)

Labor Department				
	Original Appropriation²	Available Funding³	Estimated Expenditures	Estimated Surplus/(Deficiency)
Personal Services	8,503,989	9,631,071	9,631,071	-
Other Expenses	1,026,326	1,106,326	1,106,326	-
CETC Workforce	557,632	558,818	558,818	-
Workforce Investment Act	36,662,281	35,707,281	24,936,836	10,770,445
Job Funnels Projects	73,342	73,342	73,342	-
Connecticut's Youth Employment Program	4,000,000	4,000,020	4,000,020	-
Jobs First Employment Services	12,482,645	12,499,528	12,499,528	-
Apprenticeship Program	465,342	476,900	476,900	-
Spanish-American Merchants Association	300,367	300,367	300,367	-
Connecticut Career Resource Network	153,113	155,567	155,567	-
STRIVE	76,058	76,058	76,058	-
Opportunities for Long Term Unemployed	1,753,994	1,754,114	1,754,114	-
Veterans' Opportunity Pilot	227,606	231,767	231,767	-

Labor Department				
	Original Appropriation²	Available Funding³	Estimated Expenditures	Estimated Surplus/(Deficiency)
Second Chance Initiative	311,403	311,443	311,443	-
Cradle To Career	100,000	-	-	-
New Haven Jobs Funnel	344,241	201,931	201,931	-
Healthcare Apprenticeship Initiative	500,000	-	-	-
Manufacturing Pipeline Initiative	1,000,000	900,719	900,719	-
TOTAL - General Fund	68,538,339	67,985,252	57,214,807	10,770,445

Department of Economic and Community Development				
	Original Appropriation²	Available Funding³	Estimated Expenditures	Estimated Surplus/(Deficiency)
Personal Services	6,946,217	6,790,285	6,790,285	-
Other Expenses	500,968	500,968	500,968	-
Office of Military Affairs	187,575	162,575	162,575	-
Capital Region Development Authority	6,249,121	6,549,121	6,549,121	-
TOTAL - General Fund	13,883,881	14,002,949	14,002,949	-

Office of the Chief Medical Examiner				
	Original Appropriation²	Available Funding³	Estimated Expenditures	Estimated Surplus/(Deficiency)
Personal Services	4,789,527	5,131,263	5,131,263	-
Other Expenses	1,435,536	1,190,536	1,190,536	-
Equipment	23,310	23,310	23,310	-
Medicolegal Investigations	22,150	22,150	22,150	-
TOTAL - General Fund	6,270,523	6,367,259	6,367,259	-

Department of Mental Health and Addiction Services				
	Original Appropriation²	Available Funding³	Estimated Expenditures	Estimated Surplus/(Deficiency)
Personal Services	179,918,858	184,269,892	187,869,892	(3,600,000)
Other Expenses	23,191,753	26,441,753	26,441,753	-
Housing Supports and Services	22,804,287	22,966,163	22,966,163	-
Managed Service System	55,325,363	55,931,028	55,931,028	-
Legal Services	700,144	706,179	706,179	-
Connecticut Mental Health Center	7,848,323	7,848,323	7,848,323	-
Professional Services	11,200,697	13,300,697	13,300,697	-
General Assistance Managed Care	41,339,713	39,107,403	39,107,403	-
Workers' Compensation Claims	11,405,512	13,205,512	13,805,512	(600,000)
Nursing Home Screening	623,625	623,625	623,625	-
Young Adult Services	75,125,743	75,823,757	75,823,757	-
TBI Community Services	8,596,174	8,114,602	8,114,602	-
Jail Diversion	95,000	95,000	95,000	-
Behavioral Health Medications	6,720,754	6,520,754	6,520,754	-
Medicaid Adult Rehabilitation Option	4,184,260	4,184,260	4,184,260	-
Discharge and Diversion Services	24,043,142	24,216,478	24,216,478	-
Home and Community Based Services	23,746,667	19,675,237	19,675,237	-
Nursing Home Contract	409,594	409,594	409,594	-
Katie Blair House	15,000	15,150	15,150	-
Forensic Services	9,922,892	10,013,086	10,013,086	-
Grants for Substance Abuse Services	17,788,229	17,913,225	17,363,225	550,000
Grants for Mental Health Services	65,874,535	66,316,598	65,466,598	850,000
Employment Opportunities	8,723,779	8,791,514	8,591,514	200,000

Department of Mental Health and Addiction Services				
	Original Appropriation²	Available Funding³	Estimated Expenditures	Estimated Surplus/(Deficiency)
TOTAL - General Fund	599,604,044	606,489,830	609,089,830	(2,600,000)

Department of Social Services				
	Original Appropriation²	Available Funding³	Estimated Expenditures	Estimated Surplus/(Deficiency)
Personal Services	117,199,907	119,885,375	117,285,375	2,600,000
Other Expenses	139,311,834	139,311,834	139,311,834	-
Genetic Tests in Paternity Actions	81,906	81,906	81,906	-
HUSKY B Program	5,320,000	5,320,000	5,320,000	-
Medicaid	2,608,368,000	2,608,368,000	2,590,368,000	18,000,000
Old Age Assistance	39,826,302	41,618,970	41,618,970	-
Aid To The Blind	584,005	584,005	484,005	100,000
Aid To The Disabled	61,107,546	59,837,500	57,337,500	2,500,000
Temporary Family Assistance - TANF	75,131,712	75,131,712	63,531,712	11,600,000
Emergency Assistance	1	1	1	-
Food Stamp Training Expenses	9,832	9,832	9,832	-
DMHAS-Disproportionate Share	108,935,000	108,935,000	108,935,000	-
Connecticut Home Care Program	44,350,000	44,350,000	32,350,000	12,000,000
Human Resource Development-Hispanic Programs	1,197,307	1,206,885	1,206,885	-
Community Residential Services	562,902,640	578,909,298	582,421,640	(3,512,342)
Protective Services to the Elderly	785,204	-	-	-
Safety Net Services	1,326,321	1,334,544	1,334,544	-
Refunds Of Collections	94,699	94,699	94,699	-
Services for Persons With Disabilities	273,897	276,362	276,362	-
Nutrition Assistance	743,095	749,040	749,040	-
State Administered General Assistance	19,334,722	19,334,722	19,334,722	-
Connecticut Children's Medical Center	10,125,737	10,125,737	10,125,737	-
Community Services	688,676	275,376	275,376	-
Human Services Infrastructure Community Action Program	3,149,619	3,292,432	3,292,432	-
Teen Pregnancy Prevention	1,245,860	1,255,827	1,255,827	-
Family Programs - TANF	29,337	-	-	-
Domestic Violence Shelters	5,247,072	5,289,049	5,289,049	-
Hospital Supplemental Payments	496,340,138	496,340,138	493,331,102	3,009,036
Teen Pregnancy Prevention - Municipality	98,281	98,281	98,281	-
TOTAL - General Fund	4,303,808,650	4,322,016,525	4,275,719,831	46,296,694

Department of Rehabilitation Services				
	Original Appropriation²	Available Funding³	Estimated Expenditures	Estimated Surplus/(Deficiency)
Personal Services	6,630,843	6,766,308	6,557,308	209,000
Other Expenses	1,435,685	1,435,685	1,433,685	2,000
Educational Aid for Children - Blind or Visually Impaired	3,952,579	4,038,115	3,916,515	121,600
Employment Opportunities - Blind & Disabled	1,011,871	271,990	261,990	10,000
Vocational Rehabilitation - Disabled	7,207,005	8,029,075	8,029,075	-
Supplementary Relief and Services	44,847	44,847	44,847	-
Special Training for the Deaf Blind	262,643	265,269	265,269	-
Connecticut Radio Information Service	20,194	20,194	20,194	-
Independent Living Centers	309,407	312,501	312,501	-

Department of Rehabilitation Services				
	Original Appropriation²	Available Funding³	Estimated Expenditures	Estimated Surplus/(Deficiency)
Programs for Senior Citizens	3,268,993	3,278,743	3,278,743	-
Elderly Nutrition	4,626,390	2,626,390	2,626,390	-
TOTAL - General Fund	28,770,457	27,089,117	26,746,517	342,600

Department of Education				
	Original Appropriation²	Available Funding³	Estimated Expenditures	Estimated Surplus/(Deficiency)
Personal Services	15,811,046	16,001,164	16,001,164	-
Other Expenses	3,098,843	3,498,843	3,498,843	-
Admin - Adult Education	-	4,402	4,402	-
Development of Mastery Exams Grades 4, 6, and 8	10,410,723	10,436,130	10,436,130	-
Primary Mental Health	345,288	345,288	345,288	-
Leadership, Education, Athletics in Partnership (LEAP)	312,211	312,211	312,211	-
Adult Education Action	194,534	194,534	194,534	-
Connecticut Writing Project	20,250	20,250	20,250	-
Neighborhood Youth Centers	438,866	438,866	438,866	-
Longitudinal Data Systems	1,091,650	1,093,877	1,093,877	-
Sheff Settlement	11,027,361	11,038,756	11,038,756	-
Parent Trust Fund Program	267,193	267,193	267,193	-
Regional Vocational-Technical School System	130,188,101	132,188,256	133,188,256	(1,000,000)
Commissioner's Network	10,009,398	9,609,398	9,359,398	250,000
Local Charter Schools	540,000	492,000	492,000	-
Bridges to Success	27,000	27,000	27,000	-
K-3 Reading Assessment Pilot	2,215,782	2,215,782	2,215,782	-
Talent Development	2,150,000	2,156,897	2,156,897	-
School-Based Diversion Initiative	900,000	900,000	900,000	-
Technical High Schools Other Expenses	22,668,577	23,668,577	22,668,577	1,000,000
American School For The Deaf	7,857,514	7,432,514	7,432,514	-
Regional Education Services	262,500	262,500	262,500	-
Family Resource Centers	5,802,710	5,802,710	5,802,710	-
Charter Schools	116,964,132	116,964,132	114,864,132	2,100,000
Youth Service Bureau Enhancement	583,973	583,973	583,973	-
Child Nutrition State Match	2,354,000	2,354,000	2,354,000	-
Health Foods Initiative	4,151,463	4,151,463	4,151,463	-
Vocational Agriculture	13,759,589	13,759,589	13,759,589	-
Adult Education	20,383,960	20,383,960	20,383,960	-
Health and Welfare Services Pupils Private Schools	3,438,415	3,438,415	3,438,415	-
Education Equalization Grants	2,016,728,682	2,016,728,682	2,016,728,682	-
Bilingual Education	3,177,112	3,177,112	3,177,112	-
Priority School Districts	37,150,868	37,150,868	37,150,868	-
Young Parents Program	71,657	71,657	71,657	-
Interdistrict Cooperation	1,537,500	1,537,500	1,537,500	-
School Breakfast Program	2,158,900	2,158,900	2,158,900	-
Excess Cost - Student Based	140,619,782	140,619,782	140,619,782	-
Youth Service Bureaus	2,598,486	2,598,486	2,598,486	-
Open Choice Program	39,138,373	39,138,373	39,138,373	-
Magnet Schools	326,508,158	326,508,158	326,508,158	-
After School Program	4,720,695	4,720,695	4,720,695	-
TOTAL - General Fund	2,961,685,292	2,964,452,893	2,962,102,893	2,350,000

Office of Early Childhood

	Original Appropriation²	Available Funding³	Estimated Expenditures	Estimated Surplus/(Deficiency)
Personal Services	7,574,843	7,967,095	7,967,095	-
Other Expenses	391,141	391,141	391,141	-
Birth to Three	21,446,804	23,336,710	23,336,710	-
Evenstart	295,456	295,456	295,456	-
2Gen - TANF	412,500	511,773	511,773	-
Nurturing Families Network	10,230,303	10,278,822	10,278,822	-
Head Start Services	5,083,238	5,083,238	5,083,238	-
Care4Kids TANF/CCDF	130,032,034	103,053,951	117,353,951	(14,300,000)
Child Care Quality Enhancements	6,855,033	6,855,033	6,855,033	-
Early Head Start-Child Care Partnership	1,130,750	1,430,750	1,430,750	-
Early Care and Education	101,507,832	126,058,493	126,058,493	-
Smart Start	3,325,000	3,325,000	3,325,000	-
TOTAL - General Fund	288,284,934	288,587,462	302,887,462	(14,300,000)

University of Connecticut

	Original Appropriation²	Available Funding³	Estimated Expenditures	Estimated Surplus/(Deficiency)
Operating Expenses	171,494,997	175,688,005	175,688,005	-
Workers' Compensation Claims	2,271,228	2,515,102	2,515,102	-
Next Generation Connecticut	16,865,367	16,781,040	16,781,040	-
TOTAL - General Fund	190,631,592	194,984,147	194,984,147	-

Teachers' Retirement Board

	Original Appropriation²	Available Funding³	Estimated Expenditures	Estimated Surplus/(Deficiency)
Personal Services	1,561,604	1,545,266	1,481,454	63,812
Other Expenses	444,727	504,727	504,727	-
Retirement Contributions	1,292,314,000	1,292,314,000	1,292,314,000	-
Retirees Health Service Cost	14,575,250	14,575,250	14,575,250	-
Municipal Retiree Health Insurance Costs	4,644,673	4,644,673	4,644,673	-
TOTAL - General Fund	1,313,540,254	1,313,583,916	1,313,520,104	63,812

Connecticut State Colleges and Universities

	Original Appropriation²	Available Funding³	Estimated Expenditures	Estimated Surplus/(Deficiency)
Workers' Compensation Claims	3,289,276	3,688,828	3,688,828	-
Charter Oak State College	2,950,543	3,104,715	3,104,715	-
Community Tech College System	134,043,547	139,947,626	139,947,626	-
Connecticut State University	138,303,424	143,675,994	143,675,994	-
Board of Regents	366,875	371,362	371,362	-
Developmental Services	8,912,702	8,912,702	8,912,702	-
Outcomes-Based Funding Incentive	1,202,027	1,202,027	1,202,027	-
Institute for Municipal and Regional Policy	450,000	300,000	300,000	-
TOTAL - General Fund	289,518,394	301,203,254	301,203,254	-

Department of Children and Families				
	Original Appropriation²	Available Funding³	Estimated Expenditures	Estimated Surplus/(Deficiency)
Personal Services	266,242,164	268,266,985	268,176,664	90,321
Other Expenses	28,887,225	29,477,225	29,477,878	(653)
Workers' Compensation Claims	12,578,720	11,228,720	11,038,310	190,410
Family Support Services	867,677	877,048	877,048	-
Differential Response System	7,764,046	7,819,053	7,826,903	(7,850)
Regional Behavioral Health Consultation	1,619,023	1,620,776	1,620,776	-
Health Assessment and Consultation	1,082,532	1,095,889	1,096,209	(320)
Grants for Psychiatric Clinics for Children	14,979,041	16,187,715	16,189,050	(1,335)
Day Treatment Centers for Children	6,759,728	6,827,025	6,827,025	-
Child Abuse and Neglect Intervention	10,116,287	9,941,390	9,945,884	(4,494)
Community Based Prevention Programs	7,637,305	7,168,425	7,172,361	(3,936)
Family Violence Outreach and Counseling	2,547,289	3,607,702	3,610,090	(2,388)
Supportive Housing	18,479,526	19,877,972	19,887,392	(9,420)
No Nexus Special Education	2,151,861	2,751,861	2,869,137	(117,276)
Family Preservation Services	6,070,574	6,106,992	6,110,061	(3,069)
Substance Abuse Treatment	9,840,612	12,566,654	12,644,990	(78,336)
Child Welfare Support Services	1,757,237	1,771,847	1,772,570	(723)
Board and Care for Children - Adoption	98,735,921	98,985,921	99,019,701	(33,780)
Board and Care for Children - Foster	135,345,435	136,926,255	140,724,140	(3,797,885)
Board and Care for Children - Short-term and Residential	90,339,295	85,831,063	85,493,960	337,103
Individualized Family Supports	6,552,680	5,852,680	5,782,338	70,342
Community Kidcare	37,968,191	41,234,500	42,231,233	(996,733)
Covenant to Care	133,548	135,142	135,142	-
Total - General Fund	768,455,917	776,158,840	780,528,862	(4,370,022)