

Analysis of Finance Advisory Committee  
Meeting Items  
June 5, 2013 Agenda

**OFFICE OF FISCAL ANALYSIS**

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## **FAC 2013-19 Department of Agriculture**

A transfer of one (1) position is requested from the Department of Energy of Environmental Protection (DEEP) to the Department of Agriculture (DAG). The Environmental Analyst position at DEEP, within the agency's Air Management Bureau is transferred as a Supervising Environmental Analyst position in the Commissioner's Office in DAG.

## FAC 2013-20 Mental Health & Addiction Services

Account	Original Appropriation	Prior Policy Actions <sup>1</sup>	Proposed FAC Transfer		Available Funding Post FAC Action
			FROM (Decrease)	TO (Increase)	
Home and Community Based Services	9,799,089	(489,954)	(900,000)	-	8,409,135
Behavioral Health Medications	6,169,095	-	(500,000)	-	5,669,095
TBI Community Services	14,267,815	(71,390)	(400,000)	-	13,796,425
Personal Services	172,873,389	(1,437,902)	(350,000)	-	171,085,487
Other Expenses	27,812,305	-	-	1,100,000	28,912,305
Professional Services	11,788,898	-	-	650,000	12,438,898
Workers' Compensation Claims	10,594,566	-	-	400,000	10,994,566
<b>TOTAL</b>			<b>(2,150,000)</b>	<b>2,150,000</b>	<b>251,305,911</b>

*Funding is available for transfer from this account due to the following:*

- Personal Services - \$350,000 is available due to delays in hiring for vacant positions.
- TBI Community Services - \$400,000 is available due to slower than anticipated client placements in the community and placing several clients on the ABI waiver.
- Behavioral Health Medications - \$500,000 is available as a result of increased use of generic medications at inpatient facilities, and improved entitlement processing for clients awaiting approval.
- Home & Community Based Services - \$900,000 is available due to lower than anticipated service expenditures for clients transitioned to the community as well as fewer than anticipated client placements.

*Funding is needed in this account due to the following:*

- Other Expenses - \$1,100,000 is required due to unanticipated building repairs at inpatient facilities as well as increased fuel and utilities expenses.
- Professional Services - \$650,000 is required due to the increased use of contracted psychiatrists as a result of difficulties recruiting for such positions.
- Workers' Compensation Claims - \$400,000 is required due to several unanticipated medical payments.

### *Holdback and Lapses*

These transfers will not affect the agency's ability to achieve any holdbacks, lapses or rescissions.

<sup>1</sup> Prior policy actions may include holdbacks (forced lapses), rescissions, and transfers.

## **FAC 2013-21 Department of Transportation**

Norwalk Harbor (\$1.75 million) - The funds will support phase three of dredging the Norwalk Harbor to restore the Federal Navigation Project Congressionally authorized dimensions. The project will remove 150,000 cu yds. of sediment and is expected to start in October 2013. Phase one was completed in 2005 and phase two was completed in 2009.

## **FAC 2013-22 Department of Transportation**

Wethersfield Cove (\$1.1 million) - The funds will be used for maintenance dredging of Wethersfield Cove, along a six-foot channel extending from the Connecticut River into the Wethersfield Cove. In FY 10 the Congressional Authorization River and Harbor Act awarded this project \$100,000 as part of a Low Use Navigation Pilot Project. The pilot project includes surveys, tests, and an analysis conducted by the US Army Corps of Engineers on the dredging area, with funding for the dredging provided by the state or local government. The project is expected to start in October 2013. The area was last dredged in 1986.

## FAC 2013-23 Department of Transportation

Account	Original Appropriation	Prior Policy Actions	Proposed FAC Transfer		Available Funding Post FAC Action
			FROM (Decrease)	TO (Increase)	
Transit Improvement Program	1,905,532	(205,532)	(1,700,000)	-	-
Personal Services	148,127,154	(344,496)	(1,000,000)	-	146,782,658
Bus Operations	138,989,614	-	-	1,700,000	140,689,614
Other Expenses	51,220,834	4,413,214	-	1,000,000	56,634,048
		<b>TOTAL</b>	<b>(2,700,000)</b>	<b>2,700,000</b>	<b>344,106,320</b>

*Funding is available for transfer from these accounts due to the following:*

- Personal Services - \$1,000,000 is available due to delays in hiring.
- Transit Improvement - \$1,700,000 is available due to the release of holdbacks.

*Funding is needed in these accounts due to the following:*

- Bus Operations - The \$1,700,000 shortfall of:
  - Higher than budgeted subsidy requirements for the Municipal Grant Program (\$400,000) due to a greater number of municipalities applying for a grant in FY 13 compared to FY 12. The Program is a matching grant to municipalities for demand responsive transportation for the elderly and disabled that is available to residents age sixty and older.
  - Higher than budgeted subsidy requirements for the Capital Grant Matching Program (\$750,000) due to a greater number of municipalities applying for a grant in FY 13 compared to FY 12. This program provides a non-Federal Share match to Transit Districts for capital projects.
  - Higher than anticipated claims (\$550,000) in the Insurance Consortium managed under contract by the Greater Hartford Transit District. The Consortium was formed almost twenty years ago in order to pool the fleets of more than a dozen transit districts into one insurance pool outside of the state insurance programs. Transit Districts are municipalities under State Statutes and vehicles are registered by the Districts. They are not state-owned or state-registered. The Consortium is managed under contract to the Department, by Greater Hartford Transit District. Payments consist of insurance premiums and claims. Claims associated with the Insurance Consortium span a wide range of liability and property damage claims, from injuries and property damage associated with a serious collision to a windshield glass repair or small personal liability claim for someone getting a minor injury while riding the bus.
- Other Expenses - The \$1,000,000 shortfall of:
  - It was previously anticipated that these costs would be partially offset by a FEMA reimbursement from Storm Sandy, but funding is not anticipated to be received before the end of the fiscal year.
  - Equipment maintenance and repair of the department's fleet. As a result of the intense winter conditions and the aging DOT fleet, the department has experienced higher than budgeted costs for equipment repair. The department was able to purchase 117 new

plow trucks between FY 12 and FY 13. Currently, 251 trucks or 40 percent of the fleet are past the 12 year useful lifecycle.

- Higher than budgeted expenditures for heating oil.

<b>Heating Oil Budget vs. Expenditures</b>					
	<b>Initial Other Expenses Budget \$</b>	<b>Expenditures \$</b>	<b>(Shortfall) Surplus \$</b>	<b>Gallons Rec'd</b>	<b>Avg. Price/Gal \$</b>
<b>FY 10</b>	783,846	889,364	(105,518)	411,674.9	2.1604
<b>FY 11</b>	800,500	1,267,450	(466,950)	459,853.8	2.7562
<b>FY 12</b>	795,500	1,129,260	(333,760)	343,642.6	3.2861
<b>FY 13</b>	1,019,011	1,277,815	(258,804)	401,580.0	3.1820

*Holdback and Lapses*

These transfers will not affect the agency's ability to achieve any holdbacks, lapses or rescissions.

*Deficit Mitigation*

This transfer does not affect the agency's ability to meet the reductions authorized in PA 12-1 DSS AAC Deficit Mitigation for the Fiscal Year Ending June 30, 2013, of \$337,090 in the Personal Services account.

## FAC 2013-24 Department of Social Services

Account	Original Appropriation	Prior Policy Actions	Proposed FAC Transfer		Available Funding Post FAC Action
			FROM (Decrease)	TO (Increase)	
Disproportionate Share-Medical Emergency Assistance	268,486,847	-	(67,121,711)	-	201,365,136
Other Expenses	109,624,735	-	(19,524,802)	-	90,099,933
Medicaid - Administrative Services & Adjustments	39,472,944	34,900,000	(16,560,509)	-	57,812,435
Medicaid - Professional Medical Care	806,152,531	-	(13,454,548)	-	792,697,983
Temporary Assistance to Families - TANF	113,187,034	(60,000)	(6,300,409)	-	106,826,625
Connecticut Home Care Program	47,316,100	-	(5,140,111)	-	42,175,989
Child Care Services-TANF/CCDBG	104,440,819	(1,600,000)	(4,887,321)	-	97,953,498
Housing/Homeless Services	57,594,605	-	(4,779,730)	-	52,814,875
HUSKY B Program	29,890,000	(1,200,000)	(2,218,454)	-	26,471,546
Aid To The Disabled	60,649,215	-	(1,669,408)	-	58,979,807
Medicaid - Other Long Term Care Facilities	176,893,798	-	(1,016,397)	-	175,877,401
Children's Trust Fund	13,133,084	-	(838,910)	-	12,294,174
State Food Stamp Supplement	1,333,966	-	(740,812)	-	593,154
Old Age Assistance	36,417,524	(777,822)	(681,178)	-	34,958,524
Transportation for Employment Independence Program	3,171,386	-	(358,678)	-	2,812,708
CT Pharmaceutical Assistance Contract to the Elderly	310,000	-	(292,912)	-	17,088
Services To The Elderly	3,929,683	-	(196,484)	-	3,733,199
Child Care Quality Enhancements	3,764,506	-	(188,225)	-	3,576,281
Human Service Infrastructure Community Action Program	3,436,148	-	(171,807)	-	3,264,341
Community Services	1,641,524	-	(146,975)	-	1,494,549
Alzheimer Respite Care	2,294,388	-	(114,719)	-	2,179,669
Safety Net Services	1,900,307	-	(95,015)	-	1,805,292
Teen Pregnancy Prevention	1,923,957	(60,653)	(85,544)	-	1,777,760
Healthy Start	1,497,708	-	(74,885)	-	1,422,823
Services for Persons With Disabilities	630,379	-	(54,518)	-	575,861
Charter Oak Health Plan	3,350,000	4,825,000	-	1,313,420	9,488,420
Medicaid - Acute Care Services	1,325,342,501	46,080,000	-	31,432,483	1,402,854,984
Medicaid - Home and Community-Based Services	490,628,527	(500,000)	-	20,640,949	510,769,476
Medicaid - Nursing Home Facilities	1,195,063,293	(38,525,000)	-	79,379,301	1,235,917,594
Medicaid - Other Medical Services	664,415,738	(4,545,000)	-	13,787,174	673,657,912
State Administered General Assistance	14,723,163	1,600,000	-	160,735	16,483,898
		<b>TOTAL</b>	<b>(146,714,062)</b>	<b>146,714,062</b>	<b>5,622,752,935</b>

*Funding is available for transfer from these account due to the following:*

- Other Expenses - After the release of the \$18.3 million in holdback funding and lower than anticipated expenses, funding of \$19.5 million is available for transfer.
- Children's Trust Fund - After the release of the \$656,654 in holdback funding and lower than anticipated expenses, funding of \$838,910 is available for transfer.
- State Funded Supplemental Nutrition Assistance Program - The FY 13 average cost per case is \$136, which is 12% lower than the \$155 that was projected. The FY 13 average monthly caseload of 403 is 46% below the projected monthly average of 753. After the release of \$66,698 in holdback funding and lower than anticipated expenditures, funding of \$740,812 is available for transfer.
- HUSKY B Program - The FY 13 average cost per case is \$155, which is 7.7% lower than the \$167 that was projected. The FY 13 average monthly caseload of 13,644 is 10% below the projected monthly average of 15,157. After the release of \$1.5 million in holdback funding and lower than anticipated expenditures, funding of \$2.2 million is available for transfer.
- Old Age Assistance - The FY 13 average cost per case is \$646, which is 3% lower than the \$668 that was projected. The FY 13 average monthly caseload of 4,607 is 1% below the projected monthly average of 4,639. After the release of \$681,178 in holdback funding and lower than anticipated expenditures, funding of \$681,178 is available for transfer.
- Aid to the Disabled - The FY 13 average cost per case is \$458, which is 3% lower than the \$472 that was projected. The FY 13 average monthly caseload of 10,660 is 2% below the projected monthly average of 11,017. After the release of \$964,000 in holdback funding and lower than anticipated expenditures, funding of \$1.7 million is available for transfer.
- Temporary Assistance to Families- TANF - The FY 13 average cost per case is \$480, which is 1% higher than the \$477 that was projected. The FY 13 average monthly caseload of 18,502 is 6% below the projected monthly average of 19,741. After the release of \$5.3 million in holdback funding and lower than anticipated expenditures, funding of \$6.3 million is available for transfer.
- ConnPACE - The FY 13 average monthly caseload of 116 is 31% below the projected monthly average of 168. In addition, higher third party liability recoveries and higher drug rebates offset program expenditures. The budget assumed \$75,958 in recoveries and \$414,024 is projected. The budget assumed \$117,619 in drug rebates and \$244,941 is projected. Funding of \$292,912 is available for transfer.
- Connecticut Home Care Program - The budget assumed a 1% increase in program enrollment; however FY 13 enrollment has remained relatively flat. In addition, 250 more clients than were originally projected transferred to the 1915i state plan option under Medicaid as of February 2013. After the release of \$2.4 million in holdback funding and lower than anticipated expenditures, funding of \$5.1 million is available for transfer.
- Services for Persons with Disabilities - After the release of the \$31,518 in holdback funding and lower than anticipated expenses, funding of \$54,518 is available for transfer.
- Child Care Services- TANF/CCDBG - The FY 13 average cost per case is \$590, which is 2%

higher than the \$579 that was projected. The FY 13 average monthly caseload of 13,797 is 3% below the projected monthly average of 14,472. After the release of \$2.2 million in holdback funding and lower than anticipated expenditures, funding of \$4.9 million is available for transfer.

- Community Services - After the release of the \$82,076 in holdback funding and lower than anticipated expenses, funding of \$146,975 is available for transfer.
- Housing/Homeless Services - After the release of the \$2.9 million in holdback funding and lower than anticipated expenses in the State Rental Assistance Program and the Security Guarantee Program, funding of \$4.8 million is available for transfer.
- Disproportionate Share- Medical Emergency Assistance - After the release of \$67,121,711 in holdback funding, an equal amount is available for transfer.
- Various Accounts - After the release of the \$17.5 million in holdback funding and lower than anticipated expenses for various programs, funding of \$32.3 million is available for transfer.

*Funding is needed in these account due to the following:*

- Charter Oak Health Plan - The actual average per member per month (pmpm) cost of \$555 exceeds the originally budgeted pmpm of \$425.
- State Administered General Assistance - The actual caseload growth of 9.4% exceeds the originally budgeted caseload growth of 1%. In addition, the actual average cost per case of \$258 exceeds the originally budgeted cost per case of \$242.
- Medicaid Accounts - Funds are required primarily due to optimistic assumptions underlying the original appropriation, and continued growth in caseload. The adopted budget included several savings initiatives that are not anticipated to be implemented. These initiatives included a waiver to reduce the Medicaid for Low Income Adults (LIA) enrollment (\$37.5 million), general utilization management under the new Administrative Service Organization (ASO) structure (\$47 million), enhancing Medicaid recoveries from third-party payers (\$20 million), and medication administration changes (\$15.4 million). These delays have resulted in higher than projected expenditures. Additionally, the LIA population has continued its strong caseload increase, adding approximately 10,100 clients since June (a 12.7% increase), for a total population of 89,400 in April. The cost of these 10,100 clients represents approximately \$75.8 million in additional expenditures.
  - Medicaid- Acute Care Services - Funds are being transferred to cover a portion of the deficiency in this account. In addition, holdback funding of \$34.4 million will be released. Funding of \$80.5 million is included in the deficiency appropriation in the budget bill to address the remaining shortage of funds.
  - Medicaid- Other Medical Services - \$13.8 million is required to cover projected expenditures after the release of holdbacks.
  - Medicaid- Home and Community Based Services - \$20.6 million is required to cover projected expenditures after the release of holdbacks.
  - Medicaid- Nursing Home Facilities - \$79.4 million is required to cover projected expenditures through the remainder of the fiscal year.

## FAC 2013-25 Department of Education

Account	Original Appropriation	Prior Policy Actions	Proposed FAC Transfer		Available Funding Post FAC Action
			FROM (Decrease)	TO (Increase)	
Personal Services	19,950,048	(167,076)	(1,400,000)	-	18,382,972
Basic Skills Exam Teachers in Training	1,270,775	(63,538)	(400,000)	-	807,237
Teachers' Standards Implementation Program	3,096,508	(154,825)	(250,000)	-	2,691,683
Admin - Magnet Schools <sup>2</sup>	-	-	(400,000)	-	(400,000)
School Accountability	2,201,405	(110,070)	(350,000)	-	1,741,335
Regional Vocational-Technical School System	134,841,977	(2,324,539)	-	500,000	133,017,438
Health Foods Initiative	3,613,997	-	-	260,000	3,873,997
Transportation of School Children	24,884,748	-	-	37,000	24,921,748
Education Equalization Grants	2,007,594,057	(2,000,000)	(545,000)	-	2,005,049,057
Bilingual Education	1,916,130	-	(30,000)	-	1,886,130
Priority School Districts	121,875,581	(1,000,000)	(1,600,000)	-	119,275,581
Interdistrict Cooperation	10,131,935	(1,000,000)	(50,000)	-	9,081,935
Excess Cost - Student Based	139,805,731	-	-	60,000	139,865,731
Open Choice Program	22,090,956	-	-	4,400,000	26,490,956
Magnet Schools	242,361,711	(4,550,000)	(232,000)	-	237,579,711
<b>TOTAL</b>			<b>(5,257,000)</b>	<b>5,257,000</b>	<b>2,724,265,511</b>

*Funding is available for transfer from the following accounts:*

- Personal Services - Funding of \$1.4 million is available in the Personal Services account due to 24 vacant positions in the Central Office. The Central Office employs 233 full-time General Fund positions, of which 10 percent are currently vacant.
- Basic Skills Exam Teachers in Training - Funding of \$400,000 is available in this account (1) as a result of a vacant position and (2) a reliance on federal funds prior to utilizing state dollars.
- Teachers' Standards Implementation Program - Funding of \$250,000 is available in this account due to new contract negotiations.
- Admin - Magnet Schools - Funding of \$400,000 is available in this account due to restricting spending on administrative operations.
- School Accountability - Funding of \$350,000 is available in this account due to vacant positions funded through this account.
- Education Equalization Grants - Funding of \$545,000 is available in this account due to surpluses in the charter school grant. The per pupil grant amount for state charter schools was reduced from \$10,500 to \$10,200 through Public Act 12-1, December Special Session. The change in the per pupil grant coupled with the revised October 2012 student count, accounts for the surplus.
- Bilingual Education - Funding of \$30,000 is available in this account due to the fact that several grantees have declined their grants.

<sup>2</sup> Although this account does not receive an appropriation, this account does receive funding through transfers from the Magnet School account and therefore has sufficient funds to support the \$400,000 transfer.

- Priority School Districts - Funding of \$1,600,000 is available in this account as a result of 1) start up delays associated with the 750-student expansion contained in the FY 13 Revised Budget, and 2) normal attendance fluctuations as children move in and out of programs over the course of the year.
- Interdistrict Cooperation - Funding of \$50,000 is available in this account as the Department received late notifications that several programs would not operate for FY 13.
- Magnet Schools - Funding of \$232,000 is available in this account as a result of lower than budgeted participation.

*Funding is needed in the following accounts:*

- Regional Vocational-Technical School System - This account is anticipated to have a shortfall of approximately \$500,000. The shortfall in this account is due to several one-time costs attributed to the October storms, the February blizzard and higher-than-expected utility costs.
- Health Foods Initiative - This account is anticipated to have a shortfall of approximately \$260,000. In FY 13 there were nine additional districts participating in the program, for a total of 141.
- Transportation of School Children - This account is anticipated to have a shortfall of approximately \$37,000. The final calculation for this grant indicated that several regional school districts will owe refunds as a result of prior year audit adjustments. The prior year adjustments will not be recovered in time to meet current payments.
- Excess Cost – Student Based - This account is anticipated to have a shortfall of approximately \$60,000. The final Excess Cost calculation indicated that several grantees in their initial submission overestimated their costs and, as a result, were overpaid. The prior year adjustments will not be recovered in time to meet current payments.
- OPEN Choice Program - This account is anticipated to have a shortfall of \$4,400,000. The shortfall has two components: (1) the attendance grant, a per student grant (not to exceed \$3,250) is provided to districts for each student enrolled as of September 1st (both ACES and CREC experienced program growth in FY 13); (2) higher than budgeted costs for transportation. The OPEN choice program provides supplemental transportation for districts where the \$3,250 attendance grant does not cover transportation costs. The Department received over \$4 million in supplemental transportation requests.

*Holdbacks, Lapses and Rescissions*

These transfers will not affect the agency's ability to achieve any holdbacks, lapses or rescissions.

## FAC 2013-26 Public Defender Services Commission

Account	Original Appropriation	Prior Policy Actions	Proposed FAC Transfer		Available Funding Post FAC Action
			FROM (Decrease)	TO (Increase)	
Personal Services	37,618,994	34,184	(225,000)	-	37,428,178
Contracted Attorneys Related Expenses	151,577	(60,000)	(15,000)	-	76,577
Assigned Counsel - Criminal	8,522,248	1,950,000	-	240,000	10,712,248
		<b>TOTAL</b>	<b>(240,000)</b>	<b>240,000</b>	<b>48,217,003</b>

*Funding is available for transfer from these accounts due to the following:*

- Personal Services - \$225,000 is available due to delayed hirings and freezing of vacant positions
- Contracted Attorneys Related Expenses - \$15,000 is available due to overall lower costs in child protection cases

*Funding is needed in these accounts due to the following:*

- Assigned Counsel - Criminal - The \$240,000 shortfall is the result of 2 factors - 1) the implementation of a more efficient system to assign habeas cases and process the associated assigned counsel billing, which has reduced the backlog of cases and has resulted in a greater number of bills settled this fiscal year and 2) costs associated with ongoing costly capital cases, especially the Racial Disparity litigation.

*Holdbacks and Lapses*

The transfer from the Assigned Counsel - Child Protection account will not affect the agency's ability to achieve the \$44,806 in holdbacks and lapses in this account.

*Rescissions*

The transfer from the Assigned Counsel - Child Protection account will not affect the agency's ability to achieve the Governor's November 2012 rescission of \$641,142 in this account.

## Appendix A: Projected Account Balances for Agencies on This Agenda (After Proposed FAC Transfer(s))

Department of Education				
	Original Appropriation <sup>3</sup>	Available Funding <sup>4</sup>	Estimated Expenditures	Estimated Surplus/(Deficiency)
Personal Services	19,950,048	18,382,972	18,382,972	-
Other Expenses	3,726,563	3,540,235	3,540,235	-
Equipment	1	1	1	-
Basic Skills Exam Teachers in Training	1,270,775	807,237	807,237	-
Teachers' Standards Implementation Program	3,096,508	2,691,683	2,691,683	-
Early Childhood Program	6,022,489	6,022,489	6,022,489	-
Admin - Magnet Schools <sup>5</sup>	-	(400,000)	-	(400,000)
Development of Mastery Exams Grades 4, 6, and 8	19,050,559	18,098,031	18,098,031	-
Admin-Interdistrict Cooperation	-	-	-	-
Primary Mental Health	507,294	481,930	481,930	-
Leadership, Education, Athletics in Partnership (LEAP)	765,000	726,750	726,750	-
Adult Education Action	240,687	240,687	240,687	-
Connecticut Pre-Engineering Program	262,500	262,500	262,500	-
Resource Equity Assessments	299,683	224,699	224,699	-
Neighborhood Youth Centers	1,338,300	1,271,386	1,271,386	-
Longitudinal Data Systems	1,500,000	1,425,000	1,425,000	-
School Accountability	2,201,405	1,741,335	1,741,335	-
Sheff Settlement	14,293,799	13,174,110	13,174,110	-
Community Plans for Early Childhood	450,000	427,500	427,500	-
Improving Early Literacy	150,000	142,500	142,500	-
Parent Trust Fund Program	500,000	475,000	475,000	-
Regional Vocational-Technical School System	134,841,977	133,017,438	133,017,438	-
Child Care Services	18,419,752	18,419,752	18,419,752	-
Science Program for Educational Reform Districts	455,000	-	-	-
Wrap Around Services	450,000	427,500	427,500	-
Parent Universities	250,000	237,500	237,500	-
School Health Coordinator Pilot	200,000	190,000	190,000	-
Commissioner's Network	7,500,000	4,700,000	4,700,000	-
Technical Assistance for Regional Cooperation	100,000	95,000	95,000	-
New or Replicated Schools	200,000	190,000	190,000	-
Bridges to Success	712,500	676,876	676,876	-
K-3 Reading Assessment Pilot	2,700,000	2,565,000	2,565,000	-
Talent Development	3,500,000	2,325,000	2,325,000	-
American School For The Deaf	10,264,242	10,247,030	10,247,030	-
Regional Education Services	1,384,613	1,315,382	1,315,382	-
Head Start Services	2,748,150	2,610,743	2,610,743	-
Head Start Enhancement	1,773,000	1,684,350	1,684,350	-
Family Resource Centers	7,981,488	7,582,414	7,582,414	-
Youth Service Bureau Enhancement	620,300	620,300	620,300	-

<sup>3</sup> Includes appropriated accounts from all appropriated funds.

<sup>4</sup> Includes all anticipated and enacted holdbacks (forced lapses), rescissions, and transfers.

<sup>5</sup> Although this account does not receive an appropriation, this account does receive funding through transfers from the Magnet School account and therefore has sufficient funds to support the \$400,000 transfer.

<b>Department of Education</b>				
	<b>Original Appropriation<sup>3</sup></b>	<b>Available Funding<sup>4</sup></b>	<b>Estimated Expenditures</b>	<b>Estimated Surplus/(Deficiency)</b>
Head Start - Early Childhood Link	2,090,000	1,985,500	1,985,500	-
Child Nutrition State Match	2,354,000	2,354,000	2,354,000	-
Health Foods Initiative	3,613,997	3,873,997	3,873,997	-
EvenStart	500,000	475,000	475,000	-
Vocational Agriculture	6,485,565	6,485,565	6,485,565	-
Transportation of School Children	24,884,748	24,921,748	24,921,748	-
Adult Education	21,025,690	21,025,690	21,025,690	-
Health and Welfare Services Pupils Private Schools	4,297,500	4,297,500	4,297,500	-
Education Equalization Grants	2,007,594,057	2,005,049,057	2,005,049,057	-
Bilingual Education	1,916,130	1,886,130	1,886,130	-
Priority School Districts	121,875,581	119,275,581	119,275,581	-
Young Parents Program	229,330	229,330	229,330	-
Interdistrict Cooperation	10,131,935	9,081,935	9,081,935	-
School Breakfast Program	2,220,303	2,220,303	2,220,303	-
Excess Cost - Student Based	139,805,731	139,865,731	139,865,731	-
Non-Public School Transportation	3,595,500	3,595,500	3,595,500	-
School To Work Opportunities	213,750	213,750	213,750	-
Youth Service Bureaus	2,989,268	2,989,268	2,989,268	-
Open Choice Program	22,090,956	26,490,956	26,490,956	-
Magnet Schools	242,361,711	237,579,711	230,711,711	6,868,000
After School Program	4,500,000	4,050,000	4,050,000	-
School Readiness Quality Enhancement	4,100,678	3,895,645	3,895,645	-
	2,898,603,063	2,878,482,227	2,872,014,227	6,468,000

<b>Department of Mental Health and Addiction Services</b>				
	<b>Original Appropriation</b>	<b>Available Funding</b>	<b>Estimated Expenditures</b>	<b>Estimated Surplus/(Deficiency)</b>
Personal Services	172,873,389	171,085,487	170,935,487	150,000
Other Expenses	27,812,305	28,912,305	28,912,305	-
Equipment	1	1	1	-
Housing Supports And Services	16,299,667	15,559,985	15,559,985	-
Managed Service System	39,915,163	39,915,163	39,915,163	-
Legal Services	817,481	776,607	776,607	-
Connecticut Mental Health Center	8,665,721	8,232,435	8,232,435	-
Professional Services	11,788,898	12,438,898	12,438,898	-
General Assistance Managed Care	167,189,353	179,689,353	179,689,353	-
Workers' Compensation Claims	10,594,566	10,994,566	10,994,566	-
Nursing Home Screening	622,784	591,645	591,645	-
Young Adult Services	63,981,110	63,801,616	62,201,615	1,600,001
TBI Community Services	14,267,815	13,796,425	12,696,425	1,100,000
Jail Diversion	4,506,446	4,341,060	4,341,060	-
Behavioral Health Medications	6,169,095	5,669,095	5,669,095	-
Prison Overcrowding	6,540,370	6,527,549	6,527,549	-
Medicaid Adult Rehabilitation Option	4,783,262	4,783,262	4,783,262	-
Discharge and Diversion Services	14,025,649	13,856,788	13,856,788	-
Home and Community Based Services	9,799,089	8,409,135	6,421,560	1,987,575
Persistent Violent Felony Offenders Act	671,701	671,701	671,701	-
Nursing Home Contract	300,000	285,000	285,000	-
Grants for Substance Abuse Services	24,929,551	24,929,551	24,929,551	-
Grants for Mental Health Services	76,475,894	76,475,894	76,475,894	-
Employment Opportunities	10,470,087	10,470,087	10,470,087	-

<b>Department of Mental Health and Addiction Services</b>				
	<b>Original Appropriation</b>	<b>Available Funding</b>	<b>Estimated Expenditures</b>	<b>Estimated Surplus/(Deficiency)</b>
	693,499,397	702,213,608	697,376,021	4,837,587

<b>Department of Social Services</b>				
	<b>Original Appropriation</b>	<b>Available Funding</b>	<b>Estimated Expenditures</b>	<b>Estimated Surplus/(Deficiency)</b>
Personal Services	106,676,774	113,746,548	113,746,548	-
Other Expenses	109,624,735	90,099,933	90,099,933	-
Equipment	1	1	1	-
Children's Trust Fund	13,133,084	12,294,174	12,294,174	-
Children's Health Council	219,000	208,050	208,050	-
HUSKY Information and Referral	335,564	318,786	318,786	-
Genetic Tests in Paternity Actions	191,142	181,585	181,585	-
State Food Stamp Supplement	1,333,966	593,154	593,154	-
HUSKY B Program	29,890,000	26,471,546	26,471,546	-
Charter Oak Health Plan	3,350,000	9,488,420	9,488,420	-
Old Age Assistance	36,417,524	34,958,524	34,958,524	-
Aid To The Blind	758,644	720,712	720,712	-
Aid To The Disabled	60,649,215	58,979,807	58,979,807	-
Temporary Assistance to Families - TANF	113,187,034	106,826,625	106,826,625	-
Emergency Assistance	1	1	1	-
Food Stamp Training Expenses	12,000	11,400	11,400	-
CT Pharmaceutical Assistance Contract to the Elderly	310,000	17,088	17,088	-
Healthy Start	1,497,708	1,422,823	1,422,823	-
DMHAS-Disproportionate Share	108,935,000	108,935,000	108,935,000	-
Connecticut Home Care Program	47,316,100	42,175,989	42,175,989	-
Human Resource Development-Hispanic Programs	941,034	893,983	893,983	-
Services To The Elderly	3,929,683	3,733,199	3,733,199	-
Safety Net Services	1,900,307	1,805,292	1,805,292	-
Transportation for Employment Independence Program	3,171,386	2,812,708	2,812,708	-
Refunds Of Collections	57,792	114,903	114,903	-
Services for Persons With Disabilities	630,379	575,861	575,861	-
Child Care Services-TANF/CCDBG	104,440,819	97,953,498	97,953,498	-
Nutrition Assistance	449,912	427,417	427,417	-
Housing/Homeless Services	57,594,605	52,814,875	52,814,875	-
Disproportionate Share-Medical Emergency Assistance	268,486,847	201,365,136	201,365,136	-
State Administered General Assistance	14,723,163	16,483,898	16,483,898	-
Child Care Quality Enhancements	3,764,506	3,576,281	3,576,281	-
Connecticut Children's Medical Center	10,579,200	10,579,200	10,579,200	-
Community Services	1,641,524	1,494,549	1,494,549	-
Alzheimer Respite Care	2,294,388	2,179,669	2,179,669	-
Human Service Infrastructure				
Community Action Program	3,436,148	3,264,341	3,264,341	-
Teen Pregnancy Prevention	1,923,957	1,777,760	1,777,760	-
Medicaid - Acute Care Services	1,325,342,501	1,402,854,984	1,402,854,984	-
Medicaid - Professional Medical Care	806,152,531	792,697,983	792,697,983	-
Medicaid - Other Medical Services	664,415,738	673,657,912	673,657,912	-
Medicaid - Home and Community-Based Services	490,628,527	510,769,476	510,769,476	-
Medicaid - Nursing Home Facilities	1,195,063,293	1,235,917,594	1,235,917,594	-

<b>Department of Social Services</b>				
	<b>Original Appropriation</b>	<b>Available Funding</b>	<b>Estimated Expenditures</b>	<b>Estimated Surplus/(Deficiency)</b>
Medicaid - Other Long Term Care Facilities	176,893,798	175,877,401	175,877,401	-
Medicaid - Administrative Services & Adjustments	39,472,944	57,812,435	57,812,435	-
Human Resource Development-Hispanic Programs - Municipality	5,337	5,071	5,071	-
Teen Pregnancy Prevention - Municipality	144,321	137,105	137,105	-
Services to the Elderly - Municipality	44,629	42,398	42,398	-
Housing/Homeless Services - Municipality	637,212	605,352	605,352	-
Community Services - Municipality	87,707	83,322	83,322	-
	5,812,691,680	5,859,763,769	5,859,763,769	-

<b>Department of Transportation</b>				
	<b>Original Appropriation</b>	<b>Available Funding</b>	<b>Estimated Expenditures</b>	<b>Estimated Surplus/(Deficiency)</b>
Personal Services	148,127,154	146,782,658	146,782,658	-
Other Expenses	51,220,834	56,634,048	56,634,048	-
Equipment	1,743,000	1,253,939	1,253,939	-
Minor Capital Projects	332,500	272,139	272,139	-
Highway and Bridge Renewal-Equipment	7,000,000	5,376,942	5,376,942	-
Highway Planning And Research	3,105,000	3,105,000	3,105,000	-
Rail Operations	145,588,220	142,488,220	142,488,220	-
Bus Operations	138,989,614	140,689,614	140,689,614	-
Tweed-New Haven Airport Grant	1,500,000	1,500,000	1,500,000	-
ADA Para-transit Program	28,820,850	28,820,850	28,820,850	-
Non-ADA Dial-A-Ride Program	576,361	576,361	576,361	-
Pay-As-You-Go Transportation Projects	22,687,740	19,688,462	19,688,462	-
Transit Improvement Program	1,905,532	-	-	-
	551,596,805	547,188,233	547,188,233	-

<b>Public Defender Services Commission</b>				
	<b>Original Appropriation</b>	<b>Available Funding</b>	<b>Estimated Expenditures</b>	<b>Estimated Surplus/(Deficiency)</b>
Personal Services	37,618,994	37,428,178	37,166,817	261,361
Other Expenses	1,471,204	1,496,204	1,496,204	-
Assigned Counsel - Criminal	8,522,248	10,712,248	10,712,248	-
Expert Witnesses	2,125,000	1,925,000	1,894,438	30,562
Training And Education	95,219	80,219	80,102	117
Assigned Counsel - Child Protection	9,981,524	7,238,061	7,238,061	-
Contracted Attorneys Related Expenses	151,577	76,577	68,861	7,716
Family Contracted Attorneys/AMC	608,149	608,149	606,708	1,441
<b>Total</b>	60,573,915	59,564,636	59,263,433	301,203