

**Analysis and Summary of Governor's  
FFY 21 Federal Block Grant Allocation Plans**

Joint Hearing of Committees on Appropriations, Human Services,  
Public Health

September 21, 2020

**OFFICE OF FISCAL ANALYSIS**

Room 5200, Legislative Office Building

Hartford, CT 06106 • (860) 240-0200

E-Mail: [ofa@cga.ct.gov](mailto:ofa@cga.ct.gov)

[www.cga.ct.gov/ofa](http://www.cga.ct.gov/ofa)

## INTRODUCTION

Pursuant to CGS Section 4-28b, the Governor has submitted for consideration proposed block grant allocation plans for the Community Mental Health Services Block Grant (CMHSBG), the Maternal and Child Health Services Block Grant (MCHBG), the Preventive Health and Health Services Block Grant (PHHSBG), the Social Services Block Grant (SSBG), and the Substance Abuse Prevention and Treatment Block Grant (SAPTBG). The allocation plans are effective beginning October 1, 2020.

## HIGHLIGHTS

The proposed allocation plans are based on assumed federal grant awards and estimated carry forward funding as Congress has yet to finalize the FFY 21 appropriations for these programs. A comparison of funding levels to the FFY 20 amounts is presented in the following table:

**FFY 21 Percentage Change from FFY 20**

<b>Block Grant</b>	<b>Assumed Block Grant Award</b>	<b>Estimated Funds Carried Forward</b>	<b>Total Funds Available</b>
CMHSBG	3.13%	-34.26%	-8.11%
SAPTBG	-0.02%	15.45%	0.34%
SSBG	-	16.49%	4.00%
MCHBG	-	-	-
PHHSBG	-	-	-

## FEDERAL BUDGET ACTION

Final Congressional action has yet to be taken on FFY 21 appropriations for these grants. CMHSBG assumes a slight increase in the base award but a decrease in the carry forward for a net 8.1% decrease in overall funds available. SAPTBG and SSBG assume level base grant awards but increases in carry forward funding resulting in slight increases in overall funds available for expenditure in FFY 21. MCHBG and PHHSBG assume level base awards (there are no carryover funds in these block grant programs).

## CONTINGENCY PLAN

If funding is more or less than the amount assumed in each proposal, program funding and services may be adjusted. Per CGS Section 4-28b, any proposed transfer over \$50,000 to or from any specific allocation or any transfer amount that is 10% of any specific allocation (whichever is less), must be submitted to the speaker and president pro tempore to be approved, modified or rejected by relevant committees.

## MAJOR RECOMMENDED CHANGES

Major recommended changes are described for each block grant. A table summarizing the various block grant objectives and allocation processes can be found on page 12.

## **Community Mental Health Services Block Grant**

The CMHSBG is administered by the Department of Mental Health and Addiction Services (DMHAS) and the Department of Children and Families (DCF).

### ADULT SERVICES:

**Emergency Services** – The proposed funding increase will support the new Adult Crisis Telephone Interventions and Options Network (ACTION Line) and enhance their existing National Suicide Prevention Lifeline (NSPL) services. DMHAS is contracting with United Way to staff and operate this 24/7, statewide crisis line for adults who are in the community and dealing with a psychiatric, behavioral or emotional crisis. Services include telephonic support, referral to one of the 14 DMHAS mobile crisis teams, information about resources and services, and direct connection to 911. The ACTION Line is targeted to be fully implemented statewide by December 2, 2020.

**Early Serious Mental Illness (ESMI)/ First Episode Psychosis (FEP) 10% Set-Aside** – Funding is increased to reflect the 10% set-aside requirement.

### CHILDREN’S SERVICES:

**FAVOR Family Peer Support Specialist Development and Direct Family Advocacy** – Funding is proposed at the FY 20 allocation amount. This supports two Family Peer Support Specialists and a Family and Youth Engagement Specialist.

**Best Practices Promotion & Program Evaluation** – Funding is proposed to decrease by \$20,000, which is not anticipated to result in a programmatic impact. Funding will continue to support the recommendations in the Children’s Behavioral Health Plan (PA 13-178) and the development of ongoing linkages between behavioral health and primary care providers. Funding will also support training to identify and treat children with or at risk for developing early psychosis.

**Outcomes: Performance Improvement and Dashboard Development** – The proposed reduction reflects DCF support for only general maintenance and required SAMHSA data improvements in the Provider Information Exchange (PIE) behavioral health data system. The FFY 21 allocation will continue to support data reporting enhancements to meet federally required outcome measures, support for the collection of expanded measures, and further development of automated reporting.

**Workforce Development: Higher Education In-Home Curriculum Project** - The allocation plan proposes a \$10,000 reduction to this program. Funding supports the Current Trends curriculum administered by Wheeler Clinic, which recruits and trains professors of higher education to teach master's level students the Evidenced Based Practices (EBPs) of in-home family treatment, offered by DCF's provider community.

**Community Mental Health Services Block Grant  
FFY 21 Allocation Plan**

<b>Program Category</b>	<b>FFY 2019 Actual Expenditures \$</b>	<b>FFY 2020 Estimated Expenditures \$</b>	<b>FFY 2021 Proposed Expenditures \$</b>	<b>\$ Change 21 v. 20</b>	<b>% Change 21 v. 20</b>
<b>PROGRAM: ADULT SERVICES</b>					
Emergency Crisis	1,342,083	1,422,064	2,105,296	683,232	48.0%
Outpatient Services/Intensive Outpatient	433,527	433,525	433,525	-	0.0%
Residential Services/Supported Housing	405,891	1,115,041	1,115,041	-	0.0%
Social Rehabilitation	95,908	145,044	145,044	-	0.0%
Supported Employment/ Vocational Rehab	529,767	471,837	471,837	-	0.0%
Case Management	237,155	237,155	237,155	-	0.0%
Family Education/ Training	67,576	105,303	105,303	-	0.0%
Consumer Peer Support in Community Mental Health Provider Setting	104,648	104,648	104,648	-	0.0%
Parenting Support/Parental Rights	49,708	49,708	49,708	-	0.0%
Peer to Peer Support - Vocational Rehab.	52,234	52,851	52,851	-	0.0%
Admin- Regional Behavioral Health Action Organizations	417,051	418,906	418,906	-	0.0%
Early Serious Mental Illness (ESMI)/ First Episode Psychosis (FEP) 10% Set-Aside	464,304	474,277	489,081	14,804	3.1%
<b>SUBTOTAL ADULT EXPENDITURES</b>	<b>4,199,852</b>	<b>5,030,359</b>	<b>5,728,395</b>	<b>698,036</b>	<b>13.9%</b>
<b>PROGRAM: CHILDREN'S SERVICES</b>					
Respite Care for Families	461,112	450,000	450,000	-	0.0%
FAVOR Family Peer Support Specialist	519,450	569,446	720,000	150,554	26.4%
Youth Suicide Prevention/Mental Health Promotion	209,103	225,000	225,000	-	0.0%
CT Community KidCare (System of Care) Workforce Development/Training & Culturally Competent Care	65,000	65,000	65,000	-	0.0%
Extended Day Treatment: Model Development & Training	29,364	40,000	40,000	-	0.0%
Early Serious Mental Illness (ESMI)/ First Episode Psychosis (FEP) 10% Set-Aside	308,399	423,453	423,453	-	0.0%
Outpatient Care: System Treatment & Improvement	158,068	183,000	183,000	-	0.0%
Best Practices Promotion & Program Evaluation	98,802	250,000	230,000	(20,000)	-8.0%
Outcomes: Performance Improvement and Dashboard Development	191,420	100,000	50,000	(50,000)	-50.0%
Workforce Development: Higher Education In- Home Curriculum Project	79,040	75,000	65,000	(10,000)	-13.3%
Other Connecticut Community KidCare	6,734	45,000	45,000	-	0.0%
Emergency Crisis	-	300,000	300,000	-	0.0%
Refunds	-79,726	-	-	-	0.0%
<b>SUBTOTAL CHILDREN EXPENDITURES</b>	<b>2,046,766</b>	<b>2,725,899</b>	<b>2,796,453</b>	<b>70,554</b>	<b>2.6%</b>
<b>TOTAL EXPENDITURES</b>	<b>6,246,618</b>	<b>7,756,258</b>	<b>8,524,848</b>	<b>768,590</b>	<b>9.9%</b>
<b>SOURCE OF FUNDS</b>					
Block Grant	6,690,546	6,761,092	6,972,575	211,483	3.1%
Balance Forward From Previous Year	2,460,827	2,904,755	1,909,589	(995,166)	-34.3%
<b>TOTAL FUNDS AVAILABLE</b>	<b>9,151,373</b>	<b>9,665,847</b>	<b>8,882,164</b>	<b>(783,683)</b>	<b>-8.1%</b>

## Substance Abuse Prevention and Treatment Block Grant

The SAPTBG is administered by the Department of Mental Health and Addiction Services (DMHAS).

**Residential Treatment** – The proposed increase for Residential Detox reflects block grant funding for providers partially supported by General Funds in FFY 20. This increase maintains the providers' overall FFY 20 funding level.

### Substance Abuse Prevention and Treatment Block Grant FFY 21 Allocation Plan

Program Category	FFY 2019 Actual Expenditures \$	FFY 2020 Estimated Expenditures \$	FFY 2021 Proposed Expenditures \$	\$ Change 21 v. 20	% Change 21 v. 20
<b>Community Treatment Services</b>	<b>1,618,909</b>	<b>2,292,210</b>	<b>2,292,210</b>	-	0.0%
Outpatient	1,370,713	2,055,526	2,055,526	-	0.0%
Methadone Maintenance	248,196	236,684	236,684	-	0.0%
<b>Residential Treatment</b>	<b>6,877,305</b>	<b>7,730,342</b>	<b>7,840,892</b>	<b>110,550</b>	<b>1.4%</b>
Residential Detox	1,645,805	1,708,962	1,819,512	110,550	6.5%
Residential Intensive	309,388	309,388	309,388	-	0.0%
Residential Long Term Treatment	3,674,238	4,465,041	4,465,041	-	0.0%
Shelter	1,247,874	1,246,951	1,246,951	-	0.0%
<b>Recovery Support Services</b>	<b>4,060,465</b>	<b>3,678,505</b>	<b>3,678,505</b>	-	0.0%
Case Management and Outreach	2,652,125	2,250,917	2,250,917	-	0.0%
Vocational Rehab	530,490	530,580	530,580	-	0.0%
Ancillary Services/ Transportation	877,850	897,008	897,008	-	0.0%
<b>Prevention &amp; Health Promotion</b>	<b>5,546,402</b>	<b>4,446,346</b>	<b>4,446,346</b>	-	0.0%
Primary Prevention	5,546,402	4,446,346	4,446,346	-	0.0%
<b>TOTAL EXPENDITURES</b>	<b>18,103,081</b>	<b>18,147,403</b>	<b>18,257,953</b>	<b>110,550</b>	<b>0.6%</b>
<b>SOURCE OF FUNDS</b>					
Block Grant	18,128,669	18,213,209	18,210,248	(2,961)	-0.02%
Balance Forward From Previous Year	660,028	425,803	491,609	65,806	15.45%
<b>TOTAL FUNDS AVAILABLE</b>	<b>18,788,697</b>	<b>18,639,012</b>	<b>18,701,857</b>	<b>62,845</b>	<b>0.34%</b>

## **Social Services Block Grant**

The SSBG is administered by the Department of Social Services (DSS) in conjunction with the Departments of Housing (DOH), Labor (DOL), Aging and Disability Services (ADS)<sup>1</sup>, and DMHAS.

Notable changes when comparing FFY 21 to FFY 20 include the following:

**Case Management Services** - The proposed increase reflects a reallocation from the Independent & Transitional Living services category, enabling the Department of Housing (DOH) to provide housing support case management services. These services are included in the agency's pandemic eviction prevention and housing stabilization activities.

**Employment Services** - The plan proposes a one-time reallocation of funding in this category from DSS to the Department of Labor (DOL) to support increased employment needs. The FFY 20 allocation level of \$308,433 was intended to support an employability pilot for Temporary Family Assistance households to assist with employment barriers. Planning for the pilot was delayed. If the pilot is able to be implemented this year, it can be supported through existing Safety Net Services resources.

**Family Planning Services** - The plan proposes a one-time increase to reflect increased block grant support for family planning services during the pandemic.

**Home-Based Services** - FFY 21 funding is proposed to support current program activity levels. While funding is increased from FFY 20 estimated amounts, it is below the FFY 20 allocated amount. This funding, combined with unspent funds in prior years, is carried forward to support the proposed reallocation to the Independent & Transitional Living (and thus Case Management Services) category as well as the proposed increases to Family Planning Services and Home Delivered Meals.

**Home Delivered Meals** - The plan proposes a one-time increase to support anticipated need during the pandemic.

**Independent & Transitional Living Services** - The FFY 21 funding level supports the annualization of Emergency Housing Funds at \$75,000, an increase from the FFY 20 level of \$45,000. While funding for this category appears to be decreasing, FFY 20 estimated expenditures include funding carried forward from FFY 19, thereby inflating FFY 20 expenditures.

**Protective Services for Adults** - Funding is adjusted to reflect levels identified in the FY 21 state budget.

**Social Services Block Grant  
FFY 21 Allocation Plan**

Program Category	FFY 2019 Actual Expenditures \$	FFY 2020 Estimated Expenditures \$	FFY 2021 Proposed Expenditures \$	\$ Change 21 v. 20	% Change 21 v. 20
<b>Case Management Services</b>	2,489,246	2,636,338	3,436,338	800,000	30.3%
DSS	2,262,192	2,409,284	2,409,284	-	0.0%
DMHAS	227,054	227,054	227,054	-	0.0%
DOH	-	-	800,000	800,000	100.0%
<b>Counseling Services</b>	83,051	83,051	83,051	-	0.0%
DMHAS	83,051	83,051	83,051	-	0.0%
<b>Employment Services</b>	-	-	308,433	308,433	100.0%
DOL	-	-	308,433	308,433	100.0%
<b>Family Planning Services</b>	889,152	889,152	1,289,152	400,000	45.0%
DSS	889,152	889,152	1,289,152	400,000	45.0%
<b>Home-Based Service</b>	2,442,735	1,900,000	2,879,264	979,264	51.5%
DSS	2,442,735	1,900,000	2,879,264	979,264	51.5%
<b>Home Delivered Meals</b>	390,860	427,500	1,027,500	600,000	140.4%
ADS	390,860	427,500	1,027,500	600,000	140.4%
<b>Independent &amp; Transitional Living Services</b>	6,232,514	6,748,217	6,733,217	(15,000)	-0.2%
DSS	-	90,000	75,000	(15,000)	-16.7%
DOH	6,075,042	6,500,745	6,500,745	-	0.0%
DMHAS	157,472	157,472	157,472	-	0.0%
<b>Legal Services</b>	512,733	683,644	683,644	-	0.0%
DSS	512,733	683,644	683,644	-	0.0%
<b>Protective Services for Adults</b>	481,209	820,372	782,272	(38,100)	-4.6%
DSS	297,857	625,000	586,900	(38,100)	-6.1%
ADS	183,352	195,372	195,372	-	0.0%



**SSBG FFY 21 Allocation Plan (Continued)**

<b>Program Category</b>	<b>FFY 2019 Actual Expenditures \$</b>	<b>FFY 2020 Estimated Expenditures \$</b>	<b>FFY 2021 Proposed Expenditures \$</b>	<b>\$ Change 21 v. 20</b>	<b>% Change 21 v. 20</b>
<b>Special Services for Persons with Developmental or Physical Disabilities</b>	<b>33,036</b>	<b>60,101</b>	<b>60,101</b>	<b>-</b>	<b>0.0%</b>
ADS	33,036	60,101	60,101	-	0.0%
<b>Substance Abuse Services</b>	<b>1,332,365</b>	<b>1,332,365</b>	<b>1,332,365</b>	<b>-</b>	<b>0.0%</b>
DMHAS	1,332,365	1,332,365	1,332,365	-	0.0%
<b>Other Services</b>	<b>1,103,470</b>	<b>1,017,231</b>	<b>1,017,231</b>	<b>-</b>	<b>0.0%</b>
DSS	1,103,470	1,017,231	1,017,231	-	0.0%
<b>TOTAL EXPENDITURES</b>	<b>15,990,371</b>	<b>16,597,971</b>	<b>19,632,568</b>	<b>3,034,597</b>	<b>18.3%</b>
<b>SOURCE OF FUNDS</b>					
Block Grant	17,468,267	17,524,135	17,524,135	-	-
Balance Forward From Previous Year	4,136,935	5,614,831	6,540,995	926,164	16.5%
<b>TOTAL FUNDS AVAILABLE</b>	<b>21,605,202</b>	<b>23,138,966</b>	<b>24,065,130</b>	<b>926,164</b>	<b>4.0%</b>

## **Maternal and Child Health Services Block Grant**

The MCHSBG is administered by the Department of Public Health (DPH).

**Other** – Funding is reduced to reflect a reduction of in-person training and travel. FFY 21 funding is proposed to support upgraded laboratory software (\$50,000), website enhancement and social media outreach (\$40,000), additional questions on the Behavioral Risk Factor Surveillance System survey (\$10,000) and personal protective equipment supplies for school based health centers (\$11,833).

**Administrative Expenditures** – The proposed increases in Personal Services and Fringe Benefits reflect increases due to collective bargaining.

**Maternal and Child Health Services Block Grant  
FFY 21 Allocation Plan**

<b>Program Category</b>	<b>FFY 2019 Actual Expenditures \$</b>	<b>FFY 2020 Estimated Expenditures \$</b>	<b>FFY 2021 Proposed Expenditures \$</b>	<b>\$ Change 21 v. 20</b>	<b>% Change 21 v. 20</b>
<b>Maternal &amp; Child Health</b>					
Perinatal Case Management	278,066	350,287	350,287	-	0.0%
Family Planning	16,092	16,092	16,092	-	0.0%
Information and Referral	197,009	201,690	201,690	-	0.0%
School Based Health Services	273,571	273,691	273,691	-	0.0%
Genetics	25,200	36,000	36,000	-	0.0%
Other	162,564	214,388	111,833	(102,555)	-47.8%
Program Subtotal	952,502	1,092,148	989,593	(102,555)	-9.4%
Administrative Expenditures	1,807,831	1,630,304	1,735,235	104,931	6.4%
<b>MCH Total</b>	<b>2,760,333</b>	<b>2,722,452</b>	<b>2,724,828</b>	<b>2,376</b>	<b>0.1%</b>
<b>Children &amp; Youth with Special Health Care Needs</b>					
Medical Home Community Based Care Coordination Services	826,561	811,561	811,561	-	0.0%
Family Planning	2,405	2,405	2,405	-	0.0%
Genetics	2,800	4,000	4,000	-	0.0%
Information and Referral	40,351	41,310	41,310	-	0.0%
School Based Health Services	14,398	14,405	14,405	-	0.0%
Other	66,683	71,462	-	(71,462)	-100.0%
Program Subtotal	953,198	945,143	873,681	(71,462)	-7.6%
Administrative Expenditures	954,344	1,000,279	1,069,366	69,087	6.9%
<b>CYSHCN Total</b>	<b>1,907,542</b>	<b>1,945,422</b>	<b>1,943,047</b>	<b>(2,375)</b>	<b>-0.1%</b>
<b>TOTAL EXPENDITURES</b>	<b>4,667,875</b>	<b>4,667,874</b>	<b>4,667,875</b>	<b>1</b>	<b>0.0%</b>
<b>SOURCE OF FUNDS</b>					
Block Grant <sup>1</sup>	4,667,875	4,667,875	4,667,875	-	0.0%
<b>TOTAL FUNDS AVAILABLE</b>	<b>4,667,875</b>	<b>4,667,875</b>	<b>4,667,875</b>	<b>-</b>	<b>0.0%</b>

<sup>1</sup> Block grant funds are available for expenditure over a two-year period. There are no carryover funds in the MCHSBG program.

## Preventive Health and Health Services Block Grant

The PHHSBG is administered by the Department of Public Health (DPH).

Allocations by program category remain the same as estimated FFY 20 levels. Minor adjustments are made within program categories to reflect updated personnel and operational costs but are not anticipated to impact direct services.

### Preventive Health and Health Services Block Grant FFY 21 Allocation Plan

Program Category	FFY 2019 Actual Expenditures \$	FFY 2020 Estimated Expenditures \$	FFY 2021 Proposed Expenditures \$	\$ Change 21 v. 20	% Change 21 v. 20
Administrative Support	120,336	120,089	120,089	-	0.0%
Cancer Prevention	45,438	45,438	45,438	-	0.0%
Cardiovascular Disease Prevention	22,255	22,255	22,255	-	0.0%
Emergency Medical Services	0	18,546	18,546	-	0.0%
Local Health Departments	1,085,805	1,113,322	1,113,322	-	0.0%
Rape Crisis Services	79,914	79,914	79,914	-	0.0%
Surveillance and Evaluation	325,169	325,169	325,169	-	0.0%
Youth Suicide Prevention	102,003	102,003	102,003	-	0.0%
Nutrition and Weight Status	15,000	15,000	15,000	-	0.0%
Public Health Infrastructure	451,346	452,212	452,212	-	0.0%
<b>TOTAL EXPENDITURES</b>	<b>2,247,266</b>	<b>2,293,948</b>	<b>2,293,948</b>	-	<b>0.0%</b>
<b>SOURCE OF FUNDS</b>					
Block Grant <sup>2</sup>	2,266,431	2,293,948	2,293,948	-	0.0%
<b>TOTAL FUNDS AVAILABLE</b>	<b>2,266,431</b>	<b>2,293,948</b>	<b>2,293,948</b>	-	<b>0.0%</b>

<sup>2</sup> Block grant funds are available for expenditure over a two-year period. There are no carryover funds in the PHHSBG program.

## FEDERAL BLOCK GRANTS DESCRIPTIVE SUMMARY

Block Grant	Lead Agency	Program Objective	Federal Allotment Process	State Allotment Process
Community Mental Health Services Block Grant (CMHSBG)	DMHAS / DCF	Provide grants to support community mental health services for adults with a serious mental illness and for children with a serious emotional disturbance.	Based on the Population at Risk (relative risk of mental health problems in the state), Cost of Services Index (cost of providing related treatment services in the state), and the Fiscal Capacity Index (ability of state to pay for related services).	Adult Services - Based on a statewide advisory structure that includes the Regional Behavioral Health Action Organizations, and the Behavioral Health Planning Council. Children's Services - Based on input from the Children's Behavioral Health Advisory Committee, which serves as the Children's Mental Health Planning Council.
Maternal and Child Health Services Block Grant (MCHBG)	DPH	Provide grants to support programs related to maternal and child health. Funds address reducing adverse perinatal outcomes, providing and ensuring access to care, reducing health disparities and health inequities, and other areas identified in the statewide needs assessment.	Based on the proportion of funds allocated to states that existed when the original eight categorical grants were consolidated in 1981. Amounts appropriated above the level of fiscal year 1983 funding are allocated to states in proportion to the number of low- income children in the state.	Based on various performance measures, with focus provided by the MCH Statewide Needs Assessment conducted every five years. The application must reflect that three dollars of state matching funds are provided for each four dollars in federal funding. The FFY 21 state match is estimated at \$3,500,906 and the maintenance of effort requirement is \$6,780,000.
Preventive Health and Health Services Block Grant (PHHSBG)	DPH	Provide grants to support the reduction of preventable morbidity and mortality, and the improvement of the health status of targeted populations.	Based on the amount of 1981 funds provided to the state for the original categorical health grants that were combined into the block grant. Additionally, a sex offense set-aside based on a state's population is required.	Based on the recommendations of the Preventive Health and Health Services Block Grant Advisory Committee. Supported programs include cancer, cardiovascular disease, diabetes, tobacco cessation, policy and environmental change strategies, emergency medical services, data surveillance, and other related services. The state's FFY 21 maintenance of effort requirement is estimated at \$2,353,850.
Social Services Block Grant (SSBG)	DSS	Provide grants to encourage self-sufficiency and prevent and reduce dependency on public assistance for individuals with incomes at and below 150% FPL.	Based on state population data (Department of Commerce census data).	Based on the state's focus within the 29 federal service categories. FFY 21 funding will support 12 categories, including case management, home based services, independent & transitional living, and substance abuse services.
Substance Abuse Prevention and Treatment Block Grant (SAPTBG)	DMHAS	Provide grants for alcohol and other drug abuse services, which include community treatment, residential and recovery support services, and prevention and health promotion services.	Based on the Population at Risk (relative risk of substance abuse problems in the state), Cost of Services Index (cost of providing related prevention and treatment services in the state), and the Fiscal Capacity Index (ability of state to pay for related services).	Based on surveys, needs assessments, analysis of DMHAS service data, and input from Connecticut-based advisory boards.