

APPENDIX K. FY 20 and FY 21 Budgeted Lapses and Agency Holdbacks

The budget (PA 19-117) includes lapses totaling \$239.5 million in FY 20 and \$341.1 million in FY 21. The lapses are identified below with a brief explanation.

FY 20 and FY 21 Budgeted Lapses

Description	FY 20	FY 21	Explanation
General Fund (GF) Lapses			
Unallocated Lapse	(29,015,570)	(26,215,570)	This reduction reflects an adjustment to gross appropriations due to an anticipated level of under spending across all GF agencies and accounts
Unallocated Lapse - Judicial	(5,000,000)	(5,000,000)	This reduction reflects an adjustment to gross appropriations due to an anticipated level of under spending across Judicial Branch accounts.
Statewide Hiring Reduction - Executive	(7,000,000)	(7,000,000)	Savings anticipated to be achieved by hiring reductions and other savings initiatives in a manner determined by OPM. All GF executive agency Personal Services accounts could be subject to this reduction
Contracting Standards Board Savings Initiatives	(5,000,000)	(15,000,000)	Savings associated with contracting savings initiatives in a manner determined by OPM.
Pension and Healthcare Savings	(163,200,000)	(256,200,000)	Sec. 14 of PA 19-117 allocates \$163.2 million in FY 20 and \$256.2 million in FY 21 in labor concession savings, accounted for as a bottom line lapse (savings) in the General fund. The budget assumes the savings will be achieved through (1) modifications to the State Employees' Retirement System (SERS) (\$113.2 million in FY 20 and \$121.2 million in FY 21) and (2) changes to active and retired state employee health program (\$50 million in FY 20 and \$135 million in FY 21)
Total GF Lapses	(209,215,570)	(309,415,570)	
Special Transportation Fund (STF) Lapses			
Unallocated Lapse	(12,000,000)	(12,000,000)	This reduction reflects an adjustment to gross appropriations due to an anticipated level of under spending across all STF agencies and accounts
Pension and Healthcare Savings	(18,300,000)	(19,700,000)	
Total STF Lapses	(30,300,000)	(31,700,000)	
TOTAL LAPSES	(239,515,570)	(341,115,570)	

The table below identifies the allocations (holdbacks) by lapse category, agency and account.

FY 20 Allocation of Lapses (Holdbacks)

Agency	Account	Unallocated Lapse	Statewide Hiring Reduction	Pension and Healthcare Savings	Contract Savings Initiatives	Total Withheld
General Fund						
Attorney General						
	Personal Services		(200,000)			(200,000)
	Other Expenses	(7,649)				(7,649)
Connecticut State Colleges and Universities						
	Community Tech College System	(707,205)				(707,205)
	Connecticut State University	(726,653)				(726,653)
	Board of Regents	(19,353)				(19,353)
	Developmental Services	(44,564)				(44,564)
	Outcomes-Based Funding Incentive	(6,010)				(6,010)
	Institute for Municipal and Regional Policy	(40,000)				(40,000)
Department of Administrative Services						
	Personal Services		(1,700,000)			(1,700,000)
	Other Expenses	(226,080)				(226,080)
	Rents and Moving	(79,287)				(79,287)
	IT Services				(69,596)	(69,596)
Department of Agriculture						
	Personal Services	(49,000)				(49,000)
Department of Children and Families						
	Other Expenses	(217,235)				(217,235)
	Differential Response System				(45,920)	(45,920)
	Regional Behavioral Health Consultation				(5,761)	(5,761)
	Health Assessment and Consultation				(4,955)	(4,955)
	Grants for Psychiatric Clinics for Children				(56,639)	(56,639)
	Day Treatment Centers for Children				(25,465)	(25,465)
	Child Abuse and Neglect Intervention				(34,559)	(34,559)
	Community Based Prevention Programs				(26,347)	(26,347)
	Family Violence Outreach and Counseling				(13,109)	(13,109)
	Supportive Housing				(69,601)	(69,601)
	Family Preservation Services				(23,079)	(23,079)
	Substance Abuse Treatment				(30,204)	(30,204)
	Child Welfare Support Services				(8,960)	(8,960)
	Individualized Family Supports				(20,598)	(20,598)
	Community Kidcare				(154,776)	(154,776)
	Youth Transition and Success Programs				(45,000)	(45,000)

Agency	Account	Unallocated Lapse	Statewide Hiring Reduction	Pension and Healthcare Savings	Contract Savings Initiatives	Total Withheld
Department of Consumer Protection						
	Personal Services	(62,000)				(62,000)
	Other Expenses	(8,654)				(8,654)
Department of Correction						
	Community Support Services				(119,453)	(119,453)
Department of Developmental Services						
	Personal Services	(863,501)				(863,501)
	Other Expenses	-				-
	Family Support Grants				(12,953)	(12,953)
	Clinical Services				(8,191)	(8,191)
	Behavioral Services Program				(80,656)	(80,656)
	Supplemental Payments for Medical Services				(11,317)	(11,317)
	ID Partnership Initiatives				(5,352)	(5,352)
	Emergency Placements				(19,705)	(19,705)
	Rent Subsidy Program				(16,738)	(16,738)
	Employment Opportunities and Day Services				(878,770)	(878,770)
Department of Economic and Community Development						
	Personal Services	(25,000)				(25,000)
	Other Expenses	(33,219)				(33,219)
	Spanish-American Merchants Association	(25,000)				(25,000)
	CCAT-CT Manufacturing Supply Chain	(10,000)				(10,000)
	Manufacturing Growth Initiative	(15,000)				(15,000)
Department of Education						
	Personal Services	(125,172)				(125,172)
	Other Expenses	(26,140)				(26,140)
	Development of Mastery Exams Grades 4, 6, and 8	(78,372)				(78,372)
	Leadership, Education, Athletics in Partnership (LEAP)	(31,221)				(31,221)
	Connecticut Writing Project	(20,250)				(20,250)
	Neighborhood Youth Centers	(61,387)				(61,387)
	Parent Trust Fund Program	(26,719)				(26,719)
	Commissioner's Network	(80,047)				(80,047)
	Local Charter Schools	-				-
	Talent Development	(16,234)				(16,234)
	Technical High Schools Other Expenses	(170,014)				(170,014)
	EdSight				(3,835)	(3,835)
	Curriculum and Standards- Math, Literacy, Science, Social Studies, Civics and Arts	(16,618)				(16,618)
	American School For The Deaf	(425,000)				(425,000)

Agency	Account	Unallocated Lapse	Statewide Hiring Reduction	Pension and Healthcare Savings	Contract Savings Initiatives	Total Withheld
Department of Emergency Services and Public Protection						
	Other Expenses	(209,119)				(209,119)
	Fleet Purchase	(81,737)				(81,737)
Department of Energy and Environmental Protection						
	Personal Services	(125,000)				(125,000)
	Emergency Spill Response	(50,000)				(50,000)
Department of Housing						
	Elderly Rental Registry and Counselors				(3,552)	(3,552)
	Homeless Youth				(8,025)	(8,025)
	Subsidized Assisted Living Demonstration	-				-
	Congregate Facilities Operation Costs				-	-
	Elderly Congregate Rent Subsidy				(6,798)	(6,798)
	Housing/Homeless Services				(406,524)	(406,524)
Department of Mental Health and Addiction Services						
	Other Expenses	(188,787)				(188,787)
	Housing Supports and Services				(80,382)	(80,382)
	Managed Service System				(195,734)	(195,734)
	Professional Services	(96,755)				(96,755)
	General Assistance Managed Care				(141,321)	(141,321)
	Young Adult Services				(268,363)	(268,363)
	TBI Community Services				(29,348)	(29,348)
	Medicaid Adult Rehabilitation Option				(14,645)	(14,645)
	Discharge and Diversion Services				(84,758)	(84,758)
	Home and Community Based Services				(73,430)	(73,430)
	Forensic Services				(35,508)	(35,508)
	Grants for Substance Abuse Services				(62,696)	(62,696)
	Grants for Mental Health Services				(232,108)	(232,108)
	Employment Opportunities				(30,770)	(30,770)
Department of Public Health						
	Personal Services	(100,000)				(100,000)
	Other Expenses	(57,293)				(57,293)
	Community Health Services				(5,204)	(5,204)
Department of Rehabilitation Services						
	Personal Services		(200,000)			(200,000)
	Other Expenses	(10,669)				(10,669)
	Programs for Senior Citizens				(11,476)	(11,476)
Department of Revenue Services						
	Personal Services		(400,000)			(400,000)
	Other Expenses	(58,370)				(58,370)

Agency	Account	Unallocated Lapse	Statewide Hiring Reduction	Pension and Healthcare Savings	Contract Savings Initiatives	Total Withheld
Department of Social Services						
	Personal Services		(4,200,000)			(4,200,000)
	Other Expenses	(1,156,533)				(1,156,533)
	Safety Net Services				(4,671)	(4,671)
	Community Services				(500,000)	(500,000)
	Human Service Infrastructure Community Action Program				(11,524)	(11,524)
	Teen Pregnancy Prevention				(4,395)	(4,395)
Department of Veterans' Affairs						
	Personal Services	(525,000)				(525,000)
	Other Expenses	(21,774)				(21,774)
Division of Criminal Justice						
	Personal Services	(195,000)				(195,000)
	Other Expenses	(17,957)				(17,957)
Judicial Department						
	Personal Services	(3,112,226)				(3,112,226)
	Alternative Incarceration Program	(754,139)				(754,139)
	Juvenile Alternative Incarceration	(301,055)				(301,055)
	Youthful Offender Services	(142,980)				(142,980)
	Board and Care for Children - Short-term and Residential	(130,881)				(130,881)
Labor Department						
	Other Expenses	(8,062)				(8,062)
	Job Funnels Projects	(586,658)				(586,658)
	Jobs First Employment Services	(62,608)				(62,608)
	Apprenticeship Program	(10,000)				(10,000)
	Opportunities for Long Term Unemployed				(67,512)	(67,512)
	Veterans' Opportunity Pilot	(80,000)				(80,000)
	Cradle To Career				(100,000)	(100,000)
	New Haven Jobs Funnel				(10,000)	(10,000)
	Healthcare Apprenticeship Initiative				(250,000)	(250,000)
	Manufacturing Pipeline Initiative				(55,067)	(55,067)
	Workforce Training Authority	(50,000)				(50,000)
Military Department						
	Personal Services	(20,829)				(20,829)
	Other Expenses	(16,284)				(16,284)
Office of Early Childhood						
	Personal Services		(200,000)			(200,000)
	2Gen - TANF	(100,000)				(100,000)
	Nurturing Families Network	(77,091)				(77,091)
	Head Start Services	(38,124)				(38,124)
	Child Care Quality Enhancements	(51,413)				(51,413)
	Early Head Start-Child Care Partnership	(8,481)				(8,481)
	Smart Start	(24,938)				(24,938)
Office of Health Strategy						

Agency	Account	Unallocated Lapse	Statewide Hiring Reduction	Pension and Healthcare Savings	Contract Savings Initiatives	Total Withheld
	Other Expenses	(7,785)				(7,785)
Office of Higher Education						
	Personal Services	(25,000)				(25,000)
Office of Policy and Management						
	Other Expenses	(9,253)				(9,253)
	Project Longevity	(49,938)				(49,938)
	MRDA				(25,000)	(25,000)
Office of the Chief Medical Examiner						
	Personal Services	-				-
	Other Expenses	(35,816)				(35,816)
Public Defender Services Commission						
	Assigned Counsel - Criminal	(558,719)				(558,719)
Secretary of the State						
	Personal Services	(20,109)				(20,109)
	Other Expenses	(12,049)				(12,049)
State Comptroller						
	Personal Services		(100,000)			(100,000)
	Other Expenses	(39,007)				(39,007)
State Comptroller - Fringe Benefits						
	State Employees Health Service Cost			(33,320,000)		(33,320,000)
	Retired State Employees Health Service Cost			(14,100,000)		(14,100,000)
	State Employees Retirement Contributions - UAL			(115,780,000)		(115,780,000)
State Treasurer						
	Personal Services	(21,776)				(21,776)
Teachers' Retirement Board						
	Personal Services	(25,000)				(25,000)
University of Connecticut						
	Operating Expenses	(990,418)				(990,418)
University of Connecticut Health Center						
	Operating Expenses	(548,926)				(548,926)
	Bioscience	(77,000)				(77,000)
General Fund Total		(15,133,143)	(7,000,000)	(163,200,000)	(4,510,350)	(189,843,493)
Special Transportation Fund						
	State Employees Health Service Cost			(2,580,000)		(2,580,000)
	State Employees Retirement Contributions - UAL			(15,720,000)		(15,720,000)
Special Transportation Fund Total				(18,300,000)		(18,300,000)
GRAND TOTAL		(15,133,143)	(7,000,000)	(181,500,000)	(4,510,350)	(208,143,493)