

Department of Correction

DOC88000

Permanent Full-Time Positions

Fund	Actual FY 15	Actual FY 16	Appropriation FY 17	Governor Recommended		Legislative	
				FY 18	FY 19	FY 18	FY 19
General Fund	6,352	6,216	6,117	6,117	6,117	6,117	6,117

Budget Summary

Account	Actual FY 15	Actual FY 16	Appropriation FY 17	Governor Recommended		Legislative	
				FY 18	FY 19	FY 18	FY 19
Personal Services	444,431,489	433,255,563	399,926,993	383,406,998	382,105,228	383,924,663	382,622,893
Other Expenses	77,718,060	74,327,692	71,015,325	67,151,773	66,906,331	66,973,023	66,727,581
Other Current Expenses							
Stress Management	9,234	24,280	-	-	-	-	-
Workers' Compensation Claims	28,218,144	26,454,667	23,677,850	26,871,594	26,871,594	26,871,594	26,871,594
Inmate Medical Services	85,967,101	86,746,265	85,297,457	80,426,658	80,426,658	80,426,658	72,383,992
Board of Pardons and Paroles	5,226,840	5,613,997	7,165,288	6,950,330	6,950,330	6,415,288	6,415,288
STRIDE	-	-	-	-	-	108,656	108,656
Program Evaluation	39,516	91,546	254,669	75,000	75,000	75,000	75,000
Other Than Payments to Local Governments							
Aid to Paroled and Discharged Inmates	2,738	3,102	7,623	3,000	3,000	3,000	3,000
Legal Services To Prisoners	827,065	815,986	773,446	797,000	797,000	797,000	797,000
Volunteer Services	121,500	127,500	137,180	129,460	129,460	129,460	129,460
Community Support Services	41,275,776	39,443,375	34,803,726	33,759,614	33,759,614	33,909,614	33,909,614
Nonfunctional - Change to Accruals	(129,162)	-	-	-	-	-	-
Agency Total - General Fund	683,708,301	666,903,974	623,059,557	599,571,427	598,024,215	599,633,956	590,044,078
Additional Funds Available							
Carry Forward Funding	-	-	-	-	-	337,536	-
Federal Funds	-	2,481,168	2,410,943	2,393,500	2,303,500	2,393,500	2,303,500
Private Contributions & Other Restricted	-	25,250,385	25,160,000	25,105,000	25,050,000	25,105,000	25,050,000
Private Contributions	-	402,297	502,000	552,000	552,000	552,000	552,000
Agency Grand Total	683,708,301	695,037,824	651,132,500	627,621,927	625,929,715	628,021,992	617,949,578

Account	Governor Recommended		Legislative		Difference from Governor	
	FY 18	FY 19	FY 18	FY 19	FY 18	FY 19

Policy Revisions

Modify Administration of Inmate Medical Services to Achieve Savings

Inmate Medical Services	-	-	-	(8,042,666)	-	(8,042,666)
Total - General Fund	-	-	-	(8,042,666)	-	(8,042,666)

Background

The Department of Correction contracts inmate medical services with an outside provider. The current contract is with the University of Connecticut Health Center.

Legislative

Funding is reduced by \$8.0 million beginning in FY 19 due to modifying the administration of inmate medical services.

Account	Governor Recommended		Legislative		Difference from Governor	
	FY 18	FY 19	FY 18	FY 19	FY 18	FY 19

Reduce Funding based on Facility and Unit Closures

Personal Services	(10,134,626)	(11,436,396)	(9,955,876)	(11,257,646)	178,750	178,750
Other Expenses	(1,734,642)	(1,980,084)	(1,913,392)	(2,158,834)	(178,750)	(178,750)
Inmate Medical Services	(3,000,000)	(3,000,000)	(3,000,000)	(3,000,000)	-	-
Total - General Fund	(14,869,268)	(16,416,480)	(14,869,268)	(16,416,480)	-	-

Background

Based on projected decreases in the state's prison population and additional Second Chance Society Initiatives contained in Public Act No. 17-145 the budget includes savings related to the closure of a full prison facility, a 250 bed housing unit, a 120 bed housing unit, and two additional housing units of unspecified size. Based on current population and facility utilization, a reduction of approximately 1,200 inmates would be necessary to achieve the closure of a facility and four housing units. Both the FY 16 -17 Budget and the FY 17 budget revisions contained savings related to a prison closure. The last full prison facility closure was completed in 2011.

Governor

Reduce funding by \$14,869,268 in FY 18 and \$16,416,480 in FY 19 to reflect the closure of a prison facility and four housing units and associated reductions to costs for inmate health care.

Legislative

Reduce funding by \$14,869,268 in FY 18 and \$16,416,480 in FY 19 to reflect the closure of a prison facility and four housing units and associated reductions to costs for inmate health care.

Provide Funding for EMERGE CT

Community Support Services	-	-	150,000	150,000	150,000	150,000
Total - General Fund	-	-	150,000	150,000	150,000	150,000

Background

EMERGE Connecticut is a nonprofit corporation committed to helping formerly incarcerated persons make a successful return to their families as responsible members, and to their communities as law-abiding, contributing citizens.

Legislative

Increase funding by \$150,000 in Community Support Services in FY 18 and FY 19 for EMERGE Connecticut.

Transfer STRIDE from DOL

STRIDE	-	-	108,656	108,656	108,656	108,656
Total - General Fund	-	-	108,656	108,656	108,656	108,656

Background

The STRIDE program supports a reentry transitional support workforce development program for people released from the York Correctional Institution and New Haven, Corrigan, Radgowski, and Bridgeport Correctional Centers.

Legislative

Transfers STRIDE from the Department of Labor to the Department of Correction at the funding level of \$108,656 for FY 18 and FY 19.

Reduce Funding for the Board of Pardons and Parole

Personal Services	(285,042)	(285,042)	-	-	285,042	285,042
Board of Pardons and Paroles	-	-	(535,042)	(535,042)	(535,042)	(535,042)
Total - General Fund	(285,042)	(285,042)	(535,042)	(535,042)	(250,000)	(250,000)

Background

The Board of Pardons and Parole lapsed approximately \$100,000 in FY 14 and \$200,000 in FY 15. In FY 16, the combined actions of a Finance Advisory Committee transfer, holdbacks, and two deficit mitigation plans reduced the agency's available appropriation by approximately \$1.5 million.

Governor

Reduce funding by \$285,042 in both FY 18 and FY 19 for the Board of Pardons and Parole.

Legislative

Reduce funding by \$535,042 in both FY 18 and FY 19 for the Board of Pardons and Parole. The Governor's budget misattributed the cut to the Personal Services account instead of the Board of Pardons and Parole account.

Account	Governor Recommended		Legislative		Difference from Governor	
	FY 18	FY 19	FY 18	FY 19	FY 18	FY 19

Reduce Overtime Training for Tactical Operations Squad

Personal Services	(53,873)	(53,873)	-	-	53,873	53,873
Total - General Fund	(53,873)	(53,873)	-	-	53,873	53,873

Background

Training for the agency's Tactical Operations Squad is conducted by utilizing overtime hours in excess of the officer's regular schedule. The agency is currently evaluating several options that will allow training to occur while reducing overtime usage.

Governor

Reduce funding by \$53,873 in both FY 18 and 19 to reflect overtime savings.

Legislative

Funding is maintained for the tactical operations squad.

Annualize FY 17 Holdbacks

Personal Services	(3,999,269)	(3,999,269)	(3,999,269)	(3,999,269)	-	-
Other Expenses	(1,950,430)	(1,950,430)	(1,950,430)	(1,950,430)	-	-
Workers' Compensation Claims	(710,335)	(710,335)	(710,335)	(710,335)	-	-
Inmate Medical Services	(2,558,923)	(2,558,923)	(2,558,923)	(2,558,923)	-	-
Board of Pardons and Paroles	(214,958)	(214,958)	(214,958)	(214,958)	-	-
Program Evaluation	(179,669)	(179,669)	(179,669)	(179,669)	-	-
Aid to Paroled and Discharged Inmates	(4,623)	(4,623)	(4,623)	(4,623)	-	-
Legal Services To Prisoners	(23,203)	(23,203)	(23,203)	(23,203)	-	-
Volunteer Services	(7,720)	(7,720)	(7,720)	(7,720)	-	-
Community Support Services	(1,044,112)	(1,044,112)	(1,044,112)	(1,044,112)	-	-
Total - General Fund	(10,693,242)	(10,693,242)	(10,693,242)	(10,693,242)	-	-

Background

The Governor implemented FY 17 holdbacks totaling \$149.5 million. The Governor's FY 18 and FY 19 Budget annualizes \$81.7 million of FY 17 holdbacks in FY 18 and \$81.8 million in FY 19 across various agencies.

Governor

Reduce funding by \$10,693,242 in both FY 18 and FY 19 to annualize FY 17 holdbacks.

Legislative

Same as Governor

Current Services

Adjust Funding to Reflect the FY 17 Deficiency

Workers' Compensation Claims	3,904,079	3,904,079	3,904,079	3,904,079	-	-
Total - General Fund	3,904,079	3,904,079	3,904,079	3,904,079	-	-

Background

HB 7026, the Governor's deficiency bill, results in no net increase to the General Fund. Increases of \$28.4 million are offset by funding reductions in various accounts. The bill includes \$3.5 million in deficiency funding in FY 17 for this agency in the Workers' Compensation Claims account. This funding is required due to unachieved budgeted savings. Expenditures through January 2017 are down 0.65% from last fiscal year, while the adjusted appropriation is 10.65% lower than the previous fiscal year.

Governor

Provide funding of \$3,904,079 in both FY 18 and FY 19 to reflect the annualization of the agency's FY 17 deficiency.

Legislative

Same as Governor

Account	Governor Recommended		Legislative		Difference from Governor	
	FY 18	FY 19	FY 18	FY 19	FY 18	FY 19

Annualize Various FY 17 Agency Operation Changes

Personal Services	(2,047,185)	(2,047,185)	(2,047,185)	(2,047,185)	-	-
Other Expenses	(178,480)	(178,480)	(178,480)	(178,480)	-	-
Total - General Fund	(2,225,665)	(2,225,665)	(2,225,665)	(2,225,665)	-	-

Background

Annualization refers to providing the amount of resources necessary for a 12 month period of operation.

Governor

Reduce funding of \$2,225,665 in both FY 18 and FY 19 to reflect full year funding for the closure of a unit at Osborne Correctional Institute based on population reductions, a memorandum of agreement between NP-4 and the agency for Correctional Maintenance Supervisors, and the net staff changes from FY 17.

Legislative

Same as Governor

Provide Funding for Projected Pharmaceutical Cost Growth

Inmate Medical Services	688,124	688,124	688,124	688,124	-	-
Total - General Fund	688,124	688,124	688,124	688,124	-	-

Background

The Correctional Managed Health Care system is projecting drug cost increases for drugs including those that treat Hepatitis C, HIV, other autoimmune disorders, chemotherapy drugs and antipsychotic drugs. Total drug costs for FY 17 are projected to total \$12.5 million.

Governor

Provide funding of \$688,124 in both FY 18 and 19 for projected drug cost increases.

Legislative

Same as Governor

Provide Funding for Legal Services to Prisoners Contract

Legal Services To Prisoners	46,757	46,757	46,757	46,757	-	-
Total - General Fund	46,757	46,757	46,757	46,757	-	-

Background

The Legal Services to Prisoners account provides prisoners access to the judicial system and legal counsel/assistance for civil matters through a contract with an outside law firm.

Governor

Provide funding of \$46,575 in both FY 18 and 19 to reflect projected costs based on the current contract.

Legislative

Same as Governor

Carry Forward

Carry Forward for Community Support Services

Community Support Services	-	-	295,606	-	295,606	-
Total - Carry Forward Funding	-	-	295,606	-	295,606	-

Background

The Community Support Services account provides funding to various programs designed to help offenders re-enter society and to prevent recidivism.

Legislative

Pursuant to CGS Sec. 4-89(c), \$295,606 is carried forward into FY 18 in the Community Support Services account.

Account	Governor Recommended		Legislative		Difference from Governor	
	FY 18	FY 19	FY 18	FY 19	FY 18	FY 19

Carry Forward for Stress Management

Stress Management	-	-	41,930	-	41,930	-
Total - Carry Forward Funding	-	-	41,930	-	41,930	-

Background

The stress management account is contractually mandated and provides funding for stress management programs and/or procedures for correctional officers.

Legislative

Pursuant to Article 29 of the NP-4 Contract Section 3, \$41,930 is carried forward into FY 18 in the Stress Management account.

Totals

Budget Components	Governor Recommended		Legislative		Difference from Governor	
	FY 18	FY 19	FY 18	FY 19	FY 18	FY 19
FY 17 Appropriation - GF	623,059,557	623,059,557	623,059,557	623,059,557	-	-
Policy Revisions	(25,901,425)	(27,448,637)	(25,838,896)	(35,428,774)	62,529	(7,980,137)
Current Services	2,413,295	2,413,295	2,413,295	2,413,295	-	-
Total Recommended - GF	599,571,427	598,024,215	599,633,956	590,044,078	62,529	(7,980,137)

Positions	Governor Recommended		Legislative		Difference from Governor	
	FY 18	FY 19	FY 18	FY 19	FY 18	FY 19
FY 17 Appropriation - GF	6,117	6,117	6,117	6,117	-	-
Total Recommended - GF	6,117	6,117	6,117	6,117	-	-

Other Significant Legislation

PA 17-2 JSS, An Act Concerning the State Budget for the Biennium Ending June 30, 2019

Sections 12 - 16 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 18 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 17-2 JSS and amended in PA 17-4 JSS. This includes a Hiring Reduction Savings of \$857,276, a Labor Concessions Savings of \$11,052,686, a Targeted Savings of \$3,428,713, and a Delayed Start Savings of \$72,327. See the FY 18 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

Account	Appropriation \$	Reduction Amount \$	Net Remaining \$	% Reduction
Personal Services	383,924,663	(11,734,179)	372,190,484	3.1%
Other Expenses	66,973,023	(3,348,651)	63,624,372	5.0%
Board of Pardons and Paroles	6,415,288	(175,783)	6,239,505	2.7%
STRIDE	108,656	(35,314)	73,342	32.5%
Program Evaluation	75,000	(75,000)	-	100.0%
Volunteer Services	129,460	(42,075)	87,385	32.5%