

Department of Mental Health and Addiction Services

MHA53000

Position Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Permanent Full-Time - GF	3,309	3,309	3,438	3,438	3,438	3,438

Budget Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Personal Services	179,941,338	192,414,701	205,578,670	208,141,328	205,578,670	208,141,328
Other Expenses	29,836,996	28,570,424	28,716,563	28,752,852	28,716,563	28,752,852
Equipment	0	1	0	0	0	0
Other Current Expenses						
Housing Supports and Services	15,831,798	20,721,576	23,221,576	24,221,576	23,221,576	24,221,576
Managed Service System	52,594,416	59,034,913	61,471,758	61,618,442	62,596,523	62,743,207
Legal Services	995,819	995,819	546,029	546,029	995,819	995,819
Connecticut Mental Health Center	8,664,154	8,865,721	7,637,002	7,637,002	8,398,341	8,509,163
Professional Services	13,032,507	11,788,898	11,488,898	11,488,898	11,488,898	11,488,898
General Assistance Managed Care	114,792,045	40,774,875	41,991,862	43,075,573	41,991,862	43,075,573
Workers' Compensation Claims	11,990,126	10,594,566	11,990,126	11,990,126	11,792,289	11,792,289
Nursing Home Screening	591,645	591,645	591,645	591,645	591,645	591,645
Young Adult Services	69,605,486	74,537,055	80,206,667	85,961,827	80,206,667	85,961,827
TBI Community Services	12,556,715	16,641,445	10,400,667	10,412,737	10,400,667	10,412,737
Jail Diversion	4,395,579	4,504,601	4,595,351	4,617,881	4,595,351	4,617,881
Behavioral Health Medications	5,705,547	6,169,095	5,860,641	5,860,641	5,783,527	5,860,641
Prison Overcrowding	6,591,995	6,699,982	6,330,189	6,352,255	6,330,189	6,352,255
Medicaid Adult Rehabilitation Option	4,803,175	4,803,175	4,816,334	4,803,175	4,816,334	4,803,175
Discharge and Diversion Services	17,408,589	20,062,660	24,447,924	27,347,924	24,447,924	27,347,924
Home and Community Based Services	9,104,716	16,032,096	20,566,913	26,901,275	19,612,854	25,947,617
Persistent Violent Felony Offenders Act	669,664	675,235	500,000	500,000	675,235	675,235
Nursing Home Contract	422,989	485,000	485,000	485,000	485,000	485,000
Pre-Trial Account	349,997	775,000	0	0	689,750	699,437
Other Than Payments to Local Governments						
Grants for Substance Abuse Services	20,596,092	17,567,934	17,567,934	17,567,934	22,667,934	22,667,934
Grants for Mental Health Services	66,134,709	58,909,714	58,325,041	58,325,041	72,280,480	73,780,480
Employment Opportunities	10,522,204	10,522,428	10,417,204	10,417,204	10,417,204	10,417,204
Nonfunctional - Change to Accruals	1,216,622	2,201,244	0	0	0	0
Agency Total - General Fund	658,354,923	614,939,803	637,753,994	657,616,365	658,781,302	680,341,697
Managed Service System	435,000	435,000	435,000	435,000	435,000	435,000
Agency Total - Insurance Fund	435,000	435,000	435,000	435,000	435,000	435,000
Total - Appropriated Funds	658,789,923	615,374,803	638,188,994	658,051,365	659,216,302	680,776,697
Additional Funds Available						
Carry Forward Funding	0	0	0	0	59,148	0
Federal Funds	46,119,352	44,502,795	42,567,798	42,055,768	42,567,798	42,055,768
Private Contributions & Other Restricted	18,898,100	20,851,455	19,009,742	18,478,294	19,009,742	18,478,294
Agency Grand Total	723,807,375	680,729,053	699,766,534	718,585,427	720,852,990	741,310,759

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Current Services

Adjust Funding to Reflect Wage and Compensation Related Cost

Personal Services	0	13,007,184	0	15,569,842	0	0	0	0
Managed Service System	0	536,845	0	683,529	0	0	0	0
General Assistance Managed Care	0	47,272	0	52,687	0	0	0	0
Young Adult Services	0	1,590,186	0	1,960,986	0	0	0	0
TBI Community Services	0	44,672	0	56,742	0	0	0	0
Jail Diversion	0	100,750	0	123,280	0	0	0	0
Prison Overcrowding	0	90,205	0	112,271	0	0	0	0
Home and Community Based Services	0	55,608	0	65,866	0	0	0	0
Total - General Fund	0	15,472,722	0	18,625,203	0	0	0	0

Governor

Provide funding of \$15,472,722 in FY 16 and \$18,625,203 in FY 17 to reflect current services wage-related adjustments such as annual increments, cost of living adjustments (COLAs) and other compensation-related adjustments.

Legislative

Same as Governor

Adjust Authorized Position Count

Permanent Full-Time	66	0	66	0	0	0	0	0
Total - General Fund	66	0	66	0	0	0	0	0

Governor

Increase position count by 66 to reflect programmatic changes resulting from FY 14- FY 15 budget initiatives (Assertive Community Treatment teams and Behavioral Health Homes), which are supported by the Managed Service System line item.

Legislative

Same as Governor

Adjust Operating Expenses to reflect Current Requirements

Other Expenses	0	896,139	0	932,428	0	0	0	0
Professional Services	0	(300,000)	0	(300,000)	0	0	0	0
General Assistance Managed Care	0	67,749	0	0	0	0	0	0
Medicaid Adult Rehabilitation Option	0	13,159	0	0	0	0	0	0
Home and Community Based Services	0	50,687	0	0	0	0	0	0
Total - General Fund	0	727,734	0	632,428	0	0	0	0

Governor

Provide funding of \$727,734 in FY 16 and \$632,428 in FY 17 in various accounts to reflect FY 16 and FY 17 anticipated expenditure requirements. These costs include lease increases, leap year adjustments and various other adjustments based on FY 15 expenditures.

Legislative

Same as Governor

Apply Inflationary Increases

Other Expenses	0	733,075	0	1,647,072	0	0	0	0
Behavioral Health Medications	0	236,893	0	478,105	0	0	0	0
Total - General Fund	0	969,968	0	2,125,177	0	0	0	0

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

Governor

Increase funding for Other Expenses and Behavioral Health Medications by \$969,968 in FY 16 and an additional \$1,155,209 in FY 17 (for a cumulative total of \$2,125,177 in the second year) to reflect inflationary increases.

Legislative

Same as Governor

Provide Funding for Fee for Service Increase

Medicaid Adult Rehabilitation Option	0	110,507	0	223,225	0	0	0	0
Total - General Fund	0	110,507	0	223,225	0	0	0	0

Background

Funds are to be used to support Mental Health Group Homes that are reimbursable through the Medicaid Rehabilitation Option program.

Governor

Provide funding of \$110,507 in FY 16 and \$223,225 in FY 17 to support the fee for service increase for the Medicaid Adult Rehabilitation Option line item.

Legislative

Same as Governor

Annualize Previous Year Partial Funding

Housing Supports and Services	0	500,000	0	500,000	0	0	0	0
Managed Service System	28	3,000,000	28	3,000,000	0	0	0	0
Total - General Fund	28	3,500,000	28	3,500,000	0	0	0	0

Background

Partial year funding may occur in the first year of implementation, when resources are provided for less than a 12-month period. Annualization refers to providing the amount of resources necessary to fund a full 12-month period of operation in the second year.

Governor

Provide funding of \$3.5 million in both FY 16 and FY 17 and 28 positions to reflect full year funding for the Governor's Mental Health Initiative as well as wrap around services for supportive housing units.

Legislative

Same as Governor

Update Expenditures for General Assistance Managed Care

General Assistance Managed Care	0	1,101,966	0	2,248,011	0	0	0	0
Total - General Fund	0	1,101,966	0	2,248,011	0	0	0	0

Background

Through a collaboration among the Department of Mental Health and Addiction Services and the Department of Social Services, recipients of General Assistance are assessed by behavioral health professionals for psychiatric illnesses and/or substance abuse problems and are referred to appropriate treatment. The agencies collaboratively manage all of the mental health and substance abuse care for these clients, including providing authorization and utilization review of the treatment as well as working with local providers to provide accessible services. Prior to the deappropriation of funding associated with the MCLIP program, formerly referred to as MLIA, approximately 80% of the appropriation supported the low-income Medicaid adult population.

Governor

Provide funding of \$1,101,966 in FY 16 and \$2,248,011 in FY 17 to support 4% caseload growth in the General Assistance Managed Care line item.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Legislative

Same as Governor

Update Expenditures for Workers' Compensation Claims

Workers' Compensation Claims	0	1,395,560	0	1,395,560	0	0	0	0
Total - General Fund	0	1,395,560	0	1,395,560	0	0	0	0

Governor

Provide funding of \$1,395,560 in both FY 16 and FY 17 to reflect anticipated funding requirements based on FY 15 expenditure levels.

Legislative

Same as Governor

Update Expenditures for Young Adult Services

Young Adult Services	23	6,771,606	23	12,155,966	0	0	0	0
Total - General Fund	23	6,771,606	23	12,155,966	0	0	0	0

Background

Special population funding was created for high-risk youths who are transitioning from the Department of Children and Families. These youth are diagnosed with high-risk behaviors, such as Pervasive Developmental Disorders, that require close community supervision along with specialized clinical programming. These specialized services include 24-hour supervision, case management, education, daily living and vocational training, behavior monitoring and sex offender treatment.

Governor

Provide funding of \$6,771,606 in FY 16 and \$12,155,966 in FY 17 and 23 positions for Young Adult Services to support the annualization of FY 15 caseload (\$1,387,246) as well as 50 new clients in each year.

Legislative

Same as Governor

Update Expenditures for Discharge and Diversion Services

Discharge and Diversion Services	0	4,385,264	0	7,285,264	0	0	0	0
Total - General Fund	0	4,385,264	0	7,285,264	0	0	0	0

Background

Discharge and Diversion Services support the transition of DMHAS clients from inpatient settings to various levels of care.

Governor

Provide funding of \$4,385,264 in FY 16 and \$7,285,264 in FY 17 to reflect the annualization of FY 15 expenditures (\$1,010,264) as well as support for 50 additional placements across both years.

Legislative

Same as Governor

Update Expenditures for Home and Community Based Services

Home and Community Based Services	0	4,548,284	0	10,723,075	0	(1,400,000)	0	(1,600,000)
Total - General Fund	0	4,548,284	0	10,723,075	0	(1,400,000)	0	(1,600,000)

Background

Home and Community Based Services assist individuals transitioning out of institutional settings and into community settings with appropriate supports. DMHAS, which receives a transfer from the Department of Social Services, provides housing subsidies to money follows the person clients as part of these services.

Governor

Provide funding of \$5,928,284 in FY 16 and \$12,323,075 in FY 17 to reflect the annualization of FY 15 caseload (\$2,802,622) as well as additional support for Mental Health Waiver and Money Follows the Person placements.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Legislative

Provide funding of \$5,048,284 and \$11,423,075 in FY 17 to reflect the annualization of updated FY 15 expenditures, as well as additional support for Mental Health Waiver and Money Follows the Person placements.

Update Expenditures for TBI Community Services

TBI Community Services	0	(3,000,000)	0	(3,000,000)	0	0	0	0
Total - General Fund	0	(3,000,000)	0	(3,000,000)	0	0	0	0

Background

Funds provide support to DMHAS clients with acquired or traumatic brain injuries.

Governor

Reduce funding by \$3 million in both FY 16 and FY 17 to reflect funding requirements based on FY 15 expenditures.

Legislative

Same as Governor

Transfer Funding for the Acquired Brain Injury Waiver to DSS

TBI Community Services	0	(3,085,450)	0	(3,085,450)	0	0	0	0
Total - General Fund	0	(3,085,450)	0	(3,085,450)	0	0	0	0

Governor

Transfer funding of \$3,085,450 to the Department of Social Services to reflect support for the Acquired Brain Injury Waiver (ABI Waiver II) in the administering agency.

Legislative

Same as Governor

Transfer Staff to Central Contracting Unit

Personal Services	7	555,211	7	555,211	0	0	0	0
Total - General Fund	7	555,211	7	555,211	0	0	0	0

Governor

Transfer funding of \$555,211 in both FY 16 and FY 17 and seven positions from the Department of Social Services (DSS) to support the central contracting unit under DMHAS. This transfer is related to bonding projects for DSS. The unit also services the Office of Early Childhood (OEC), the Department of Rehabilitative Services (DORS), Department of Housing (DOH), and State Department on Aging (SDA).

Legislative

Same as Governor

Policy Revisions**Reduce Funding for Managed Service System**

Managed Service System	0	(175,235)	0	(175,235)	0	(175,235)	0	(175,235)
Total - General Fund	0	(175,235)	0	(175,235)	0	(175,235)	0	(175,235)

Legislative

Reduce funding by \$175,235 in both FY 16 and FY 17 to reflect a reduction to the Managed Service System line item.

Provide Grants for Acute Care & Emergency Behavioral Health

Grants for Mental Health Services	0	1,500,000	0	3,000,000	0	1,500,000	0	3,000,000
Total - General Fund	0	1,500,000	0	3,000,000	0	1,500,000	0	3,000,000

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Legislative

Provide funding of \$1.5 million in FY 16 and \$3 million in FY 17 to support a new grant program for entities providing acute care and emergency behavioral health services. Section 355 of PA 15-5 JSS, a budget implementer, establishes the grant program and requires DMHAS to establish eligibility criteria as well as an application process.

Support Expiring IMD Pilot Beds at Natchaug Hospital

Managed Service System	0	1,200,000	0	1,200,000	0	1,200,000	0	1,200,000
Total - General Fund	0	1,200,000	0	1,200,000	0	1,200,000	0	1,200,000

Background

The Medicaid Emergency Psychiatric Demonstration, established under the Affordable Care Act to, aimed to test whether Medicaid programs could support higher quality care at a lower total cost by reimbursing private psychiatric hospitals for certain services. Historically, Medicaid does not reimburse psychiatric institutions, referred to in Medicaid as "institutions for mental disease" (IMDs) for services provided to Medicaid enrollees aged 21 to 64 (known as Medicaid's IMD exclusion. Chosen states were identified in 2012 and the program was scheduled to end December 2015; however, an April 2015 announcement stated the program would end early in June 2015.

Legislative

Provide funding of \$1.2 million in both FY 16 and FY 17 to support approximately 30 beds at Natchaug Hospital, previously supported via the Medicaid IMD pilot program.

Reduce Funding for Workers' Compensation Claims

Workers' Compensation Claims	0	(197,837)	0	(197,837)	0	(197,837)	0	(197,837)
Total - General Fund	0	(197,837)	0	(197,837)	0	(197,837)	0	(197,837)

Legislative

Reduce funding by \$197,837 in both FY 16 and FY 17 to reflect a workers' compensation savings initiative.

Support Grants for Mental Health & Substance Abuse Services

Grants for Substance Abuse Services	0	5,100,000	0	5,100,000	0	5,100,000	0	5,100,000
Grants for Mental Health Services	0	11,900,000	0	11,900,000	0	11,900,000	0	11,900,000
Total - General Fund	0	17,000,000	0	17,000,000	0	17,000,000	0	17,000,000

Background

Funding for the DMHAS grant accounts was reduced in the FY 14 and FY 15 Biennial Budget to reflect the anticipated reduction in need for services for the under and uninsured as of January 1, 2014 due to the provisions of the Affordable Care Act (ACA). Funding was reduced by \$15,262,500 in FY 14 and \$25,525,000 in FY 15. Section 21 of PA 14-47, the FY 15 Revised Budget, transferred funding of \$10 million for Grants for Substance Abuse Services (\$3 million) and Grants for Mental Health Services (\$7 million) from the Tobacco Settlement Fund. Additionally, \$4.2 million was provided in the Department of Social Services (DSS) to increase Medicaid rates for outpatient mental health services. This rate increase has not yet been approved by the Centers for Medicare and Medicaid Services (CMS).

Legislative

Provide funding of \$17 million in both FY 16 and FY 17 to support Grants for Mental Health Services and Grants for Substance Abuse Services. The majority of funds are anticipated to support mental health outpatient services.

Provide Funding For Second Chance Society Initiatives

Housing Supports and Services	0	1,000,000	0	2,000,000	0	0	0	0
Total - General Fund	0	1,000,000	0	2,000,000	0	0	0	0

Background

The Governor's proposed Second Chance Society initiatives include reducing or eliminating mandatory minimum sentences for nonviolent drug offenses, creating an expedited parole process, and reducing possession of narcotics to a misdemeanor. Funding is provided in the Department of Correction, State Department of Education, Department of Housing, Department of Mental Health and Addiction Services, Department of Labor and the Office of Policy and Management related to providing or managing additional

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

services related to these initiatives. Additionally, funding is reduced in the Department of Correction related to a reduction in the prison population.

Governor

Provide funding of \$1 million in FY16 and \$2 million in FY 17 to support wrap-around services for 100 units of supportive housing in FY 16 and 200 units in FY 17.

Legislative

Same as Governor

Fund Wrap-Around Services for Chronically Homeless

Housing Supports and Services	0	1,000,000	0	1,000,000	0	0	0	0
Total - General Fund	0	1,000,000	0	1,000,000	0	0	0	0

Background

Zero: 2016 is the second step in a two-part national campaign to meet federal goals set by the President to eliminate chronic homelessness by 2016. Connecticut was among the four states whose application was selected for this initiative. The state is not required to provide matching funds.

Governor

Provide funding of \$1 million in both FY 16 and FY 17 to support wrap-around services for chronically homeless individuals.

Legislative

Same as Governor

Provide Funding for Latino Behavioral Health Services

Connecticut Mental Health Center	0	126,000	0	126,000	0	126,000	0	126,000
Total - General Fund	0	126,000	0	126,000	0	126,000	0	126,000

Legislative

Provide funding of \$126,000 in both FY 16 and FY 17 to support Latino behavioral health services at the Connecticut Mental Health Center (CMHC).

Reduce Funding for YAS Caseload Growth

Young Adult Services	0	(2,692,180)	0	(2,692,180)	0	0	0	0
Total - General Fund	0	(2,692,180)	0	(2,692,180)	0	0	0	0

Governor

Reduce funding by \$2,692,180 in both FY 16 and FY 17 for Young Adult Services caseload growth.

Legislative

Same as Governor

Adjust Funding for Connecticut Mental Health Center (CMHC)

Connecticut Mental Health Center	0	(39,272)	0	(39,272)	0	746,161	0	746,161
Total - General Fund	0	(39,272)	0	(39,272)	0	746,161	0	746,161

Background

Funds support a contract with Yale University for management and operation of the Connecticut Mental Health Center.

Governor

Reduce funding by \$785,433 in both FY 16 and FY 17 for the Connecticut Mental Health Center.

Legislative

Reduce funding by \$39,272 in both FY 16 and FY 17 to reflect a 5% reduction to the Connecticut Mental Health Center (CMHC).

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Adjust the General Fund Pre-Trial Account Line Item

Pre-Trial Account	0	(36,812)	0	(36,813)	0	699,438	0	699,437
Total - General Fund	0	(36,812)	0	(36,813)	0	699,438	0	699,437

Background

The non-appropriated Pre-Trial Account supports alcohol and drug education programs, as well as the Governor's Partnership to Protect Connecticut's Workforce and Regional Action Councils (RACs). The General Fund line item was created in the FY 14-FY 15 Budget to supplement the non-appropriated account.

Governor

Reduce funding of \$736,250 in FY 16 and FY 17 to reflect the elimination of General Fund support for the Pre-Trial Account line item.

Legislative

Reduce funding by \$36,813 in both FY 16 and FY 17 to reflect a 5% reduction to the General Fund Pre-Trial Account line item.

Adjust Funding for the Gatekeeper Program

Home and Community Based Services	0	(71,816)	0	(71,816)	0	646,342	0	646,342
Total - General Fund	0	(71,816)	0	(71,816)	0	646,342	0	646,342

Background

The Gatekeeper Program is an evidence-based program that educates the community (such as postal workers and hairdressers.) to identify and refer older adults who may be in need of assistance to remain safe in their homes. The program is located in the five mental health regions across the state via four organizations.

Governor

Reduce funding by \$718,158 in both FY 16 and FY 17 to reflect the elimination of the Gatekeeper Program.

Legislative

Reduce funding by \$71,816 in both FY 16 and FY 17 to reflect a ten percent reduction to the Gatekeeper program.

Adjust Funding for Regional Mental Health Boards

Grants for Mental Health Services	0	(29,234)	0	(29,234)	0	555,439	0	555,439
Total - General Fund	0	(29,234)	0	(29,234)	0	555,439	0	555,439

Background

Regional Mental Health Boards are located in the five mental health regions across the state. They are known as the Southwest (Norwalk), South Central (Middletown), Eastern (Norwich), North Central (Newington), and Northwest (Waterbury) Regional Mental Health Boards. The boards involve community members in the mental health services provided by the Department of Mental Health and Addiction Services (DMHAS).

Governor

Reduce funding by \$584,673 in both FY 16 and FY 17 for regional mental health boards.

Legislative

Reduce funding by \$29,234 in both FY 16 and FY 17 to reflect a five percent reduction to the Regional Mental Health Boards.

Reduce Funding for Legal Services

Legal Services	0	0	0	0	0	400,000	0	400,000
Total - General Fund	0	0	0	0	0	400,000	0	400,000

Background

Funding is provided to the Connecticut Legal Rights Project, Inc. as a result of a consent order to provide legal advocacy services to the Department's inpatient facilities.

Governor

Reduce funding by \$400,000 in both FY 16 and FY 17 for Legal Services.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Legislative

Maintain funding of \$400,000 in both FY 16 and FY 17 for Legal Services.

Reduce Funding for Prison Overcrowding

Prison Overcrowding	0	(359,998)	0	(359,998)	0	0	0	0
Total - General Fund	0	(359,998)	0	(359,998)	0	0	0	0

Governor

Reduce funding by \$359,998 in both FY 16 and FY 17 for Prison Overcrowding.

Legislative

Same as Governor

Adjust Funding for Pilot for Alcohol Dependent Individuals

Managed Service System	0	0	0	0	0	100,000	0	100,000
Total - General Fund	0	0	0	0	0	100,000	0	100,000

Background

The FY 14-FY 15 Budget provided funding to support services for alcohol dependent persons who are discharged from hospitals in the New Haven area, per section 74 of PA 13-247, the general government implementer. The program connects individuals with outpatient and community supports, including housing.

Governor

Reduce funding by \$100,000 in both FY 16 and FY 17 to reflect the elimination of funding for a pilot program for alcohol-dependent individuals.

Legislative

Maintain funding of \$100,000 in both FY 16 and FY 17 for a pilot program for alcohol-dependent individuals.

Adjust Funding for Persistent Violent Offenders

Persistent Violent Felony Offenders Act	0	0	0	0	0	175,235	0	175,235
Total - General Fund	0	0	0	0	0	175,235	0	175,235

Governor

Reduce funding by \$175,235 in both FY 16 and FY 17 to reflect funding a portion of the Persistent Violent Felony Offenders Act line item via Pre-Trial education receipts.

Legislative

Maintain the current funding source for the Persistent Violent Felony Offenders Act line item.

Transfer DVA's Substance Abuse Program to DMHAS

Personal Services	5	351,574	5	351,574	0	0	0	0
Total - General Fund	5	351,574	5	351,574	0	0	0	0

Governor

Transfer funding of \$351,574 and five positions in both FY 16 and FY 17 from the Department of Veterans' Affairs to reflect their Substance Abuse Program under DMHAS. The substance abuse program within the Department of Veterans Affairs deals with approximately 33-38 clients per day.

Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Remove Funding for Fee for Service Increase

Medicaid Adult Rehabilitation Option	0	(110,507)	0	(223,225)	0	0	0	0
Total - General Fund	0	(110,507)	0	(223,225)	0	0	0	0

Governor

Reduce funding by \$110,507 in FY 16 and \$223,225 in FY 17 to reflect the elimination of the fee for service increase under the Medicaid Adult Rehabilitation Option.

Legislative

Same as Governor

Rollout of FY 15 Rescissions and Reduce Various Accounts

Personal Services	0	(750,000)	0	(750,000)	0	0	0	0
Other Expenses	0	(750,000)	0	(750,000)	0	0	0	0
Managed Service System	0	(1,000,000)	0	(1,000,000)	0	0	0	0
Legal Services	0	0	0	0	0	49,790	0	49,790
Connecticut Mental Health Center	0	(554,108)	0	(443,286)	0	(110,822)	0	0
TBI Community Services	0	(200,000)	0	(200,000)	0	0	0	0
Jail Diversion	0	(10,000)	0	(10,000)	0	0	0	0
Behavioral Health Medications	0	(385,568)	0	(308,454)	0	(77,114)	0	0
Prison Overcrowding	0	(100,000)	0	(100,000)	0	0	0	0
Home and Community Based Services	0	(1,002,005)	0	(801,604)	0	(200,401)	0	0
Pre-Trial Account	0	(48,438)	0	(38,750)	0	(9,688)	0	0
Employment Opportunities	0	(105,224)	0	(105,224)	0	0	0	0
Total - General Fund	0	(4,905,343)	0	(4,507,318)	0	(348,235)	0	49,790

Background

The Governor implemented General Fund rescissions totaling \$86.3 million across state agencies. The Governor's FY 16 and FY 17 budget includes the rollout of \$31.7 million of FY 15 rescissions across various agencies.

Governor

Reduce funding of \$4,557,108 in both FY 16 and FY 17 to reflect the rollout of the Governor's FY 15 rescissions.

Legislative

Reduce funding of \$4,507,318 in both FY 16 and FY 17 to reflect the rollout of the Governor's FY 15 rescissions and additionally reduce various accounts by \$398,025 in FY 16. Funding for Legal Services is maintained.

Eliminate Inflationary Increases

Other Expenses	0	(733,075)	0	(1,647,072)	0	0	0	0
Behavioral Health Medications	0	(236,893)	0	(478,105)	0	0	0	0
Total - General Fund	0	(969,968)	0	(2,125,177)	0	0	0	0

Governor

Reduce funding by \$969,968 in FY 16 and \$2,125,177 in FY 17 to reflect the elimination of inflationary increases.

Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Consolidate Funding for GAAP

Nonfunctional - Change to Accruals	0	(2,201,244)	0	(2,201,244)	0	0	0	0
Total - General Fund	0	(2,201,244)	0	(2,201,244)	0	0	0	0

Governor

Reduce funding by \$2,201,244 in both FY 16 and FY 17 to reflect the consolidation of GAAP funding within the Office of the State Comptroller - Miscellaneous Accounts.

Legislative

Same as Governor

Obtain Equipment Through CEPF

Equipment	0	(1)	0	(1)	0	0	0	0
Total - General Fund	0	(1)	0	(1)	0	0	0	0

Background

The Capital Equipment Purchase Fund (CEPF) is used by most executive branch agencies to purchase or lease equipment with a life span of at least five years. The state funds these purchases by issuing GO bonds with maturities of up to five years. The CEPF is authorized by CGS Sec. 4a-9 and administered by the Office of Policy and Management.

Governor

Remove funding for the purchase of various equipment items for the agency from the General Fund and provide funding for these items through the CEPF (Bond Funds).

Legislative

Same as Governor

Carry Forward

Carry Forward Other Expenses Funding

Other Expenses	0	59,148	0	0	0	59,148	0	0
Total - Carry Forward Funding	0	59,148	0	0	0	59,148	0	0

Legislative

Pursuant to CGS Sec. 4-89(c), funding of \$59,148 is carried forward for the Frontier Telecom November bill.

Totals

Budget Components	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	3,309	614,939,803	3,309	614,939,803	0	0	0	0
Current Services	124	33,453,372	124	53,383,670	0	(1,400,000)	0	(1,600,000)
Policy Revisions	5	10,388,127	5	12,018,224	0	22,427,308	0	24,325,332
Total Recommended - GF	3,438	658,781,302	3,438	680,341,697	0	21,027,308	0	22,725,332
Governor Estimated - IF	0	435,000	0	435,000	0	0	0	0
Total Recommended - IF	0	435,000	0	435,000	0	0	0	0

Other Significant Legislation

PA 15-244, An Act Concerning the State Budget for the Biennium Ending June 30, 2017, and Making Appropriations Therefor, and Other Provisions Related to Revenue, Deficiency Appropriations and Tax Fairness and Economic Development

Sections 10, 11, 12, 38 and 41 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a General Lapse of \$1,613,193, a Statewide Hiring Reduction of \$4,345,953, a General Employee Lapse of \$480,953, and Overtime Savings of \$2,065,680. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

PA 15-5 JSS, An Act Implementing Provisions of the State Budget for the Biennium Ending June 30, 2017, Concerning General Government, Education, Health and Human Services and Bonds of the State

Section 156 contains provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a Targeted Savings of \$607,632. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

Account	Appropriation \$	Reduction Amount \$	Net Remaining \$	% Reduction
Personal Services	205,578,670	(7,541,984)	198,036,686	3.67%
Other Expenses	28,716,563	(524,522)	28,192,041	1.83%
Managed Service System	62,596,523	(195,524)	62,400,999	0.31%
General Assistance Managed Care	41,991,862	(134,273)	41,857,589	0.32%
Workers' Compensation Claims	11,792,289	(176,884)	11,615,405	1.50%
Young Adult Services	80,206,667	(389,603)	79,817,064	0.49%
TBI Community Services	10,400,667	(31,144)	10,369,523	0.30%
Jail Diversion	4,595,351	(16,167)	4,579,184	0.35%
Behavioral Health Medications	5,783,527	(17,318)	5,766,209	0.30%
Prison Overcrowding	6,330,189	(21,684)	6,308,505	0.34%
Home and Community Based Services	19,612,854	(64,308)	19,548,546	0.33%