

## Legislative Management

## OLM10000

## Position Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Permanent Full-Time - GF	439	439	454	454	450	450

## Budget Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Personal Services	40,423,236	50,150,198	51,867,728	54,601,263	48,856,926	50,744,676
Other Expenses	13,823,924	17,700,498	18,131,802	19,782,727	17,008,514	18,445,596
Equipment	778,823	325,100	1,648,600	544,600	375,100	475,100
<b>Other Current Expenses</b>						
Flag Restoration	0	75,000	75,000	75,000	70,312	71,250
Minor Capital Improvements	135,639	0	2,305,000	500,000	380,000	225,000
Interim Salary/Caucus Offices	605,086	495,478	641,942	493,898	641,942	493,898
Connecticut Academy of Science and Engineering	329,017	1,039,150	0	0	0	0
Old State House	541,367	581,500	599,710	620,620	569,724	589,589
<b>Other Than Payments to Local Governments</b>						
Interstate Conference Fund	361,530	399,080	415,040	431,640	394,288	410,058
New England Board of Higher Education	183,750	202,584	189,250	194,925	179,788	185,179
Nonfunctional - Change to Accruals	373,782	331,606	0	0	0	0
<b>Agency Total - General Fund</b>	<b>57,556,153</b>	<b>71,300,194</b>	<b>75,874,072</b>	<b>77,244,673</b>	<b>68,476,594</b>	<b>71,640,346</b>
<b>Additional Funds Available</b>						
Carry Forward Funding	0	0	0	0	898,150	0
Private Contributions & Other Restricted	2,550,282	3,230,000	2,530,000	2,530,000	3,230,000	3,230,000
<b>Agency Grand Total</b>	<b>60,106,435</b>	<b>74,530,194</b>	<b>78,404,072</b>	<b>79,774,673</b>	<b>72,604,744</b>	<b>74,870,346</b>

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Personal Services	0	1,122,250	0	3,819,532	0	0	0	0
Interim Salary/Caucus Offices	0	146,464	0	(1,580)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>1,268,714</b>	<b>0</b>	<b>3,817,952</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

*Current Services***Adjust Funding to Reflect Wage & Compensation Related Costs**

Personal Services	0	1,122,250	0	3,819,532	0	0	0	0
Interim Salary/Caucus Offices	0	146,464	0	(1,580)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>1,268,714</b>	<b>0</b>	<b>3,817,952</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Provide funding of \$1,268,714 in FY 16 and \$3,817,952 in FY 17 to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments.

**Legislative**

Same as Governor

**Apply Inflationary Increases**

Other Expenses	0	414,176	0	958,019	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>414,176</b>	<b>0</b>	<b>958,019</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Background**

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

**Governor**

Increase funding in Other Expenses by \$414,176 in FY 16 and an additional \$543,843 in FY 17 (for a cumulative total of \$958,019 in the second year) to reflect inflationary increases.

**Legislative**

Same as Governor

**Provide Funding for Minor Capital Improvements**

Minor Capital Improvements	0	2,305,000	0	500,000	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>2,305,000</b>	<b>0</b>	<b>500,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Provide \$2,305,000 in FY 16 and \$500,000 in FY 17 for minor capital improvements. This includes hearing room audio and delegate management system, Capitol and LOB air handling units, and bathroom upgrades.

**Legislative**

Same as Governor

**Provide Funding for Replacement Equipment**

Equipment	0	1,323,500	0	219,500	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>1,323,500</b>	<b>0</b>	<b>219,500</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Provide \$1,323,500 in FY 16 and \$219,500 in FY 17 for replacement equipment in this agency. This includes network switches, servers and an email archive solution system.

**Legislative**

Same as Governor

**Adjust Operating Expenses to Reflect Current Requirements**

Other Expenses	0	17,128	0	1,124,210	0	0	0	0
Old State House	0	18,210	0	39,120	0	0	0	0
Interstate Conference Fund	0	15,960	0	32,560	0	0	0	0
New England Board of Higher Education	0	(13,334)	0	(7,659)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>37,964</b>	<b>0</b>	<b>1,188,231</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Provide funding of \$37,964 in FY 16 and \$1,188,231 in FY 17 in various accounts to reflect FY 16 and FY 17 anticipated expenditure requirements. These costs include: employee training for the rollout of software updates, management consulting services to assist in LCO bill drafting software update, hardware lease for upgrade in FY 17, and the SAP annual maintenance contract.

**Legislative**

Same as Governor

**Policy Revisions****Reduce Various Accounts**

Personal Services	0	(2,750,000)	0	(3,569,532)	0	(2,750,000)	0	(3,569,532)
Other Expenses	0	(1,000,000)	0	(500,000)	0	(1,000,000)	0	(500,000)
<b>Total - General Fund</b>	<b>0</b>	<b>(3,750,000)</b>	<b>0</b>	<b>(4,069,532)</b>	<b>0</b>	<b>(3,750,000)</b>	<b>0</b>	<b>(4,069,532)</b>

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Legislative**

Reduce funding by \$3,750,000 in FY 16 (\$2,750,000 in Personal Services and \$1,000,000 in Other Expenses) and \$4,069,532 in FY 17 (\$3,569,532 in Personal Services and \$500,000 in Other Expenses) to reflect anticipated spending levels.

**Provide Funding for New Positions**

Personal Services	2	150,000	2	150,000	(6)	(445,280)	(6)	(481,533)
<b>Total - General Fund</b>	<b>2</b>	<b>150,000</b>	<b>2</b>	<b>150,000</b>	<b>(6)</b>	<b>(445,280)</b>	<b>(6)</b>	<b>(481,533)</b>

**Governor**

Provide funding of \$595,280 in FY 16 and \$631,533 in Personal Services to support eight positions; a Staff Attorney and a Facilities Assistant in management and six positions in the Information Technology department to support the OFA business analytics software, redistricting and technical security.

**Legislative**

Provide funding of \$150,000 in both FY 16 and FY 17 to support two positions.

**Adjust Authorized Position Count**

Permanent Full-Time	7	0	7	0	0	0	0	0
<b>Total - General Fund</b>	<b>7</b>	<b>0</b>	<b>7</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Increase the authorized position count by seven to reflect new security technicians needed as a result of the LOB/Capitol complex security enhancements.

**Legislative**

Same as Governor

**Provide Funding for Technology Positions**

Personal Services	2	180,000	2	190,000	2	180,000	2	190,000
<b>Total - General Fund</b>	<b>2</b>	<b>180,000</b>	<b>2</b>	<b>190,000</b>	<b>2</b>	<b>180,000</b>	<b>2</b>	<b>190,000</b>

**Legislative**

Provide funding of \$180,000 in FY 16 and \$190,000 in FY 17 for two positions within OFA to support OFA's technology initiatives. One of these positions may be at a supervisory level. If contracting for these services is more economically efficient, engaging consultants may be substituted.

These positions/services will be in addition to the support currently provided by the Office of Information Technology.

**Adjust Funding for CASE**

Connecticut Academy of Science and Engineering	0	(1,039,150)	0	(1,039,150)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(1,039,150)</b>	<b>0</b>	<b>(1,039,150)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Eliminate funding of \$1,039,150 for the Connecticut Academy of Science and Engineering (CASE) in both FY 16 and FY 17.

**Legislative**

Same as Governor

**Fund Legislative Equipment Request through CEPF**

Equipment	0	(1,273,500)	0	(69,500)	0	(1,273,500)	0	(69,500)
Minor Capital Improvements	0	(1,925,000)	0	(275,000)	0	(1,925,000)	0	(275,000)
<b>Total - General Fund</b>	<b>0</b>	<b>(3,198,500)</b>	<b>0</b>	<b>(344,500)</b>	<b>0</b>	<b>(3,198,500)</b>	<b>0</b>	<b>(344,500)</b>

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Background**

The Capital Equipment Purchase Fund (CEPF) is used by most executive branch agencies to purchase or lease equipment with a life span of at least five years. The state funds these purchases by issuing GO bonds with maturities of up to five years. The CEPF is authorized by CGS Sec. 4a-9 and administered by the Office of Policy and Management. The governor is prohibited from modifying the requested budget of legislative agencies under CGS Sec. 4-73(f).

**Governor**

The governor proposes reducing these appropriations through a lapse reduction and funding these items through CEPF (Bond Funds).

**Legislative**

Reduce funding by \$3,198,500 in FY 16 and \$344,500 in FY 17 for the purchase of various equipment and minor capital items for the agency from the General Fund and provide funding for these items through the CEPF (Bond Funds).

**Transfer Funding for the Capitol Day Care Center to OLM**

Other Expenses	0	120,888	0	120,888	0	120,888	0	120,888
<b>Total - General Fund</b>	<b>0</b>	<b>120,888</b>	<b>0</b>	<b>120,888</b>	<b>0</b>	<b>120,888</b>	<b>0</b>	<b>120,888</b>

**Background**

The governor is prohibited from modifying the requested budget of legislative agencies under CGS 4-73(f).

**Governor**

Transfer the Capitol Child Development Center (CCDC) lease from the Department of Administrative Services (DAS) to OLM. Funding of \$120,888 is not transferred to OLM.

**Legislative**

Transfer funding of \$120,888 in both FY 16 and FY 17 to reflect the transfer of CCDC lease payments from DAS to OLM.

**Provide Funding for an Education Strategic Plan**

Other Expenses	0	150,000	0	0	0	150,000	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>150,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>150,000</b>	<b>0</b>	<b>0</b>

**Legislative**

Provide funding of \$150,000 in FY 16 to retain consultants to assist in the development of a strategic master education plan.

**Provide Funding for Intern Program**

Personal Services	0	4,478	0	4,478	0	4,478	0	4,478
<b>Total - General Fund</b>	<b>0</b>	<b>4,478</b>	<b>0</b>	<b>4,478</b>	<b>0</b>	<b>4,478</b>	<b>0</b>	<b>4,478</b>

**Background**

The University of Connecticut's Department of Public Policy (DPP) provides state agencies, town governments, and non-profit organizations with 2nd-year Master of Public Administration students as a part-time member of their team for a full academic year through the Internship and Professional Practice (IPP) program. The IPP Program graduate students gain real-world working experience in career fields as well as financial assistance toward their education.

**Legislative**

Provide funding of \$4,478 to the Office of Fiscal Analysis to participate in the University of Connecticut DPP's Master of Public Administration IPP program. This amount represents the incremental cost to the agency to participate above the currently budgeted level of the nonpartisan Legislative Fellow Program for the full duration of the IPP program (August - May).

**Fund Appropriations Committee Accountability Initiative**

Other Expenses	0	20,000	0	0	0	20,000	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>20,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>20,000</b>	<b>0</b>	<b>0</b>

**Legislative**

Provide funding of \$20,000 in FY 16 for a one-year extension of the Charter Oak Group's Appropriations Committee accountability initiative.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Reduce Funding in Various Accounts

Flag Restoration	0	(4,688)	0	(3,750)	0	(4,688)	0	(3,750)
Old State House	0	(29,986)	0	(31,031)	0	(29,986)	0	(31,031)
Interstate Conference Fund	0	(20,752)	0	(21,582)	0	(20,752)	0	(21,582)
New England Board of Higher Education	0	(9,462)	0	(9,746)	0	(9,462)	0	(9,746)
<b>Total - General Fund</b>	<b>0</b>	<b>(64,888)</b>	<b>0</b>	<b>(66,109)</b>	<b>0</b>	<b>(64,888)</b>	<b>0</b>	<b>(66,109)</b>

#### Legislative

Reduce funding by a cumulative \$64,888 in FY 16 and by \$66,109 in FY 17 in the following accounts: Flag Restoration, Old State House, Interstate Conference Fund, and New England Board of Higher Education.

### Consolidate Funding for GAAP

Nonfunctional - Change to Accruals	0	(331,606)	0	(331,606)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(331,606)</b>	<b>0</b>	<b>(331,606)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Reduce funding by \$331,606 in FY 16 and FY 17 to reflect the consolidation of GAAP funding within the Office of the State Comptroller - Miscellaneous Accounts.

#### Legislative

Same as Governor

### Eliminate Inflationary Increases

Other Expenses	0	(414,176)	0	(958,019)	0	(414,176)	0	(958,019)
<b>Total - General Fund</b>	<b>0</b>	<b>(414,176)</b>	<b>0</b>	<b>(958,019)</b>	<b>0</b>	<b>(414,176)</b>	<b>0</b>	<b>(958,019)</b>

#### Legislative

Reduce Other Expenses by \$414,176 in FY 16 and \$958,019 in FY 17 to reflect the elimination of inflationary increases.

### Transfer the Council on Environmental Quality

#### Governor

The responsibilities of the Council on Environmental Quality (CEQ) are being transferred to OLM without resources. CEQ's current services budget was \$184,027 in FY 16 and \$185,484 in FY 17, including two positions.

#### Legislative

Do not transfer CEQ to OLM.

### Adjust Funding for Legislative Management

#### Background

The governor is prohibited from modifying the requested budget of legislative agencies under CGS 4-73(f).

#### Governor

Reduce funding (through a lapse reduction) by \$3,065,496 in FY 16 and \$3,803,188 in FY 17 in the agency to achieve savings. Note that the total "Reduce Funding in Excess of Current Services" lapse reduction for the legislative branch is \$3,863,606 in FY 16 and \$4,744,027 in FY 17.

#### Legislative

Do not reduce funding through a bottom line lapse reduction. Reductions are made in agency accounts.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Carry Forward

#### Carry Forward Funding for Various Accounts

Other Expenses	0	213,500	0	0	0	213,500	0	0
Connecticut Academy of Science and Engineering	0	684,650	0	0	0	684,650	0	0
<b>Total - Carry Forward Funding</b>	<b>0</b>	<b>898,150</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>898,150</b>	<b>0</b>	<b>0</b>

#### Legislative

PA 15-244, the FY 16 and FY 17 budget, carries forward \$522,900 in various accounts from FY 15 to be available in FY 16 and FY 17, this includes:

- Section 37(a) carries forward \$70,000 in the Other Expenses account for the purpose of conducting a tax study;
- Section 37(b) carries forward \$299,400 in the Connecticut Academy of Science and Engineering account for a disparity study;
- Section 37(c) carries forward \$96,000 in the Other Expenses account for the purpose of a contract with National Center for Higher Education Management Systems;
- Section 37(d) carries forward \$47,500 in the Other Expenses account for the purpose of consulting services by the Charter Oak Group for the Appropriations Committee Accountability Initiative; and
- Section 37(e) carries forward \$10,000 in the Connecticut Academy of Science and Engineering account for a Family Violence study.

Section 464 of PA 15-5 JSS, a budget implementer, carries forward \$375,250 in the Connecticut Academy of Science and Engineering account from FY 15 to be available in FY 16 and FY 17 for a childhood discontinuity study.

### Totals

Budget Components	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	439	71,300,194	439	71,300,194	0	0	0	0
Current Services	0	5,349,354	0	6,683,702	0	0	0	0
Policy Revisions	11	(8,172,954)	11	(6,343,550)	(4)	(7,397,478)	(4)	(5,604,327)
<b>Total Recommended - GF</b>	<b>450</b>	<b>68,476,594</b>	<b>450</b>	<b>71,640,346</b>	<b>(4)</b>	<b>(7,397,478)</b>	<b>(4)</b>	<b>(5,604,327)</b>

### Other Significant Legislation

#### PA 15-244, An Act Concerning the State Budget for the Biennium Ending June 30, 2017, and Making Appropriations Therefor, and Other Provisions Related to Revenue, Deficiency Appropriations and Tax Fairness and Economic Development

Sections 10, 11, 12, 38 and 41 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a General Lapse of \$32,219, a Statewide Hiring Reduction of \$591,429, and a General Employee Lapse of \$654,892. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

**PA 15-5 JSS, An Act Implementing Provisions of the State Budget for the Biennium Ending June 30, 2017, Concerning General Government, Education, Health and Human Services and Bonds of the State**

Section 156 contains provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a Targeted Savings of \$294,291. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

Account	Appropriation \$	Reduction Amount \$	Net Remaining \$	% Reduction
Personal Services	48,856,926	(1,278,540)	47,578,386	2.62%
Other Expenses	17,008,514	(255,127)	16,753,387	1.50%
Equipment	375,100	(5,626)	369,474	1.50%
Flag Restoration	70,312	(1,054)	69,258	1.50%
Minor Capital Improvements	380,000	(5,700)	374,300	1.50%
Interim Salary/Caucus Offices	641,942	(9,629)	632,313	1.50%
Old State House	569,724	(8,545)	561,179	1.50%
Interstate Conference Fund	394,288	(5,914)	388,374	1.50%
New England Board of Higher Education	179,788	(2,696)	177,092	1.50%