

University of Connecticut

UOC67000

Position Summary

| Account | Actual FY 12 | Governor Estimated FY 13 | Governor Recommended | | Legislative | |
|--------------------------|--------------|--------------------------------|----------------------|-------|-------------|-------|
| | | | FY 14 | FY 15 | FY 14 | FY 15 |
| Permanent Full-Time - GF | 2,901 | 2,931 | 2,347 | 2,413 | 2,347 | 2,413 |
| Permanent Full-Time - OF | 1,952 | 2,617 | 2,617 | 2,617 | 2,617 | 2,617 |

Budget Summary

| Account | Actual FY 12 | Governor Estimated FY 13 | Governor Recommended | | Legislative | |
|---|----------------------|--------------------------------|----------------------|----------------------|----------------------|----------------------|
| | | | FY 14 | FY 15 | FY 14 | FY 15 |
| Other Current Expenses | | | | | | |
| Operating Expenses | 193,690,481 | 192,336,268 | 300,722,839 | 340,862,102 | 202,067,550 | 229,098,979 |
| Tuition Freeze | 4,267,696 | 4,267,696 | 0 | 0 | 0 | 0 |
| Regional Campus Enhancement | 7,538,003 | 7,538,003 | 0 | 0 | 0 | 0 |
| Veterinary Diagnostic Laboratory | 90,000 | 90,000 | 0 | 0 | 0 | 0 |
| CommPACT Schools | 0 | 500,000 | 0 | 0 | 475,000 | 475,000 |
| Connecticut Center for Advanced Technology | 0 | 500,000 | 0 | 0 | 0 | 0 |
| Other Than Payments to Local Governments | | | | | | |
| Kirklyn M. Kerr Grant Program | 0 | 400,000 | 0 | 0 | 400,000 | 400,000 |
| Agency Total - General Fund | 205,586,180 | 205,631,967 | 300,722,839 | 340,862,102 | 202,942,550 | 229,973,979 |
| Additional Funds Available | | | | | | |
| Federal & Other Restricted Act | 102,779,187 | 99,255,489 | 99,040,580 | 98,815,580 | 99,040,580 | 98,815,580 |
| UCONN Research Foundation | 32,879,173 | 33,785,000 | 33,358,107 | 33,636,457 | 33,358,107 | 33,636,457 |
| University of Connecticut Operating Fund | 686,494,310 | 716,276,918 | 757,461,456 | 793,792,081 | 757,461,456 | 793,792,081 |
| Agency Grand Total | 1,027,738,850 | 1,054,949,374 | 1,190,582,982 | 1,267,106,220 | 1,092,802,693 | 1,156,218,097 |

| Account | Legislative | | | | Difference from Governor Recommended | | | |
|---------|-------------|--------|-------|--------|--------------------------------------|--------|-------|--------|
| | FY 14 | | FY 15 | | FY 14 | | FY 15 | |
| | Pos. | Amount | Pos. | Amount | Pos. | Amount | Pos. | Amount |

Current Services

Annualize Costs of New Buildings

| | | | | | | | | |
|-----------------------------|----------|---------------|----------|----------------|----------|----------|----------|----------|
| Operating Expenses | 0 | 31,408 | 0 | 302,416 | 0 | 0 | 0 | 0 |
| Total - General Fund | 0 | 31,408 | 0 | 302,416 | 0 | 0 | 0 | 0 |

Governor

Provide funding of \$31,408 in FY 14 and \$302,416 in FY 15 for the annualization of new buildings.

Legislative

Same as Governor

Adjust Funding to Reflect Wage & Compensation Related Costs

| | | | | | | | | |
|-----------------------------|-----------|------------------|-----------|-------------------|----------|----------|----------|----------|
| Operating Expenses | 45 | 8,439,198 | 45 | 19,816,224 | 0 | 0 | 0 | 0 |
| Regional Campus Enhancement | 2 | 326,574 | 2 | 709,969 | 0 | 0 | 0 | 0 |
| Total - General Fund | 47 | 8,765,772 | 47 | 20,526,193 | 0 | 0 | 0 | 0 |

| Account | Legislative | | | | Difference from Governor Recommended | | | |
|---------|-------------|--------|-------|--------|--------------------------------------|--------|-------|--------|
| | FY 14 | | FY 15 | | FY 14 | | FY 15 | |
| | Pos. | Amount | Pos. | Amount | Pos. | Amount | Pos. | Amount |

Governor

Provide funding of \$8,765,772 in FY 14 and \$20,526,193 in FY 15 to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments.

Legislative

Same as Governor

Cancel Unfunded Positions

| | | | | | | | | |
|-----------------------------|--------------|----------|--------------|----------|----------|----------|----------|----------|
| Operating Expenses | (631) | 0 | (631) | 0 | 0 | 0 | 0 | 0 |
| Total - General Fund | (631) | 0 | (631) | 0 | 0 | 0 | 0 | 0 |

Governor

The authorized position count is reduced by 631 positions in both FY 14 and FY 15 through the cancellation process.

Legislative

Same as Governor

Policy Revisions**Rollout of FY 13 Rescissions**

| | | | | | | | | |
|--|----------|---------------------|----------|---------------------|----------|----------|----------|----------|
| Operating Expenses | 0 | (9,616,813) | 0 | (9,616,813) | 0 | 0 | 0 | 0 |
| Tuition Freeze | 0 | (213,384) | 0 | (213,384) | 0 | 0 | 0 | 0 |
| Regional Campus Enhancement | 0 | (376,900) | 0 | (376,900) | 0 | 0 | 0 | 0 |
| Veterinary Diagnostic Laboratory | 0 | (4,500) | 0 | (4,500) | 0 | 0 | 0 | 0 |
| CommPACT Schools | 0 | (25,000) | 0 | (25,000) | 0 | 0 | 0 | 0 |
| Connecticut Center for Advanced Technology | 0 | (25,000) | 0 | (25,000) | 0 | 0 | 0 | 0 |
| Total - General Fund | 0 | (10,261,597) | 0 | (10,261,597) | 0 | 0 | 0 | 0 |

Background

The Governor implemented General Fund rescissions in November of 2012 totaling \$170.4 million across state agencies. The Governor's FY 14 and FY 15 budget includes the rollout of \$69.7 million of FY 13 rescissions across various agencies.

Governor

Reduce funding of \$10,261,597 in both FY 14 and FY 15 to reflect the rollout of the Governor's FY 13 rescissions.

Legislative

Same as Governor

Adjust the Kirklyn M Kerr Veterinary Grant Program

| | | | | | | | | |
|-------------------------------|----------|----------|----------|----------|----------|----------------|----------|----------------|
| Kirklyn M. Kerr Grant Program | 0 | 0 | 0 | 0 | 0 | 400,000 | 0 | 400,000 |
| Total - General Fund | 0 | 0 | 0 | 0 | 0 | 400,000 | 0 | 400,000 |

Background

The Kirklyn M Kerr Veterinary grant program secures slots for Connecticut residents pursuing veterinary medicine at Iowa State University. The current agreement secures five slots at a rate of \$20,000 per slot. Total funding of \$400,000 provides for four cohorts.

Governor

Reduce funding by \$400,000 to reflect the elimination of the Kirklyn M Kerr Veterinary grant program.

Legislative

Funds totaling \$400,000 are maintained for the Kirklyn M Kerr Veterinary grant program to ensure continued access to veterinary programs for Connecticut residents.

| Account | Legislative | | | | Difference from Governor Recommended | | | |
|---------|-------------|--------|-------|--------|--------------------------------------|--------|-------|--------|
| | FY 14 | | FY 15 | | FY 14 | | FY 15 | |
| | Pos. | Amount | Pos. | Amount | Pos. | Amount | Pos. | Amount |

Provide Funding for Next Generation CT

| | | | | | | | | |
|-----------------------------|----------|----------|-----------|-------------------|----------|----------|----------|--------------------|
| Operating Expenses | 0 | 0 | 66 | 15,000,000 | 0 | 0 | 0 | (2,400,000) |
| Total - General Fund | 0 | 0 | 66 | 15,000,000 | 0 | 0 | 0 | (2,400,000) |

Background

Next Generation Connecticut is an initiative that includes the following:

- Increasing total enrollment by 6,580 (30%). Of those, almost 3,300 would be STEM students, including 70% more engineering students. About 5,000 of the students would be enrolled at the Storrs campus, and about 1,500 would attend UConn in Stamford.
- Revolutionizing STEM infrastructure at the Storrs campus by building facilities to house materials science, physics, biology, engineering, cognitive science, genomics studies, labs, and related disciplines. Aging infrastructure would also be updated to accommodate new faculty and students.
- Developing a residential living community in which those students can share their experiences, innovative ideas, and camaraderie beyond the classrooms and labs in which they will study.
- Relocating the Greater Hartford campus to downtown Hartford, and increasing digital media and risk management degrees at UConn-Stamford, where student housing would also be built.
- Hiring 259 new faculty members in addition to the 290 already in the current faculty hiring plan. Of the 259 additional new faculty hires, 200 would be dedicated specifically to STEM programs. This would be on top of the 175 STEM-specific faculty members already being hired under the previously announced faculty hiring plan.

Governor

Provide funding of \$17,400,000 in FY 15 along with 66 positions as a part of the phase-in of Next Generation Connecticut.

Legislative

Provide funding of \$15,000,000 in FY 15 along with 66 positions as a part of the phase-in of Next Generation Connecticut.

Transfer Fringe Benefit Costs for Higher Education Units

| | | | | | | | | |
|-----------------------------|----------|----------|----------|----------|----------|---------------------|----------|----------------------|
| Operating Expenses | 0 | 0 | 0 | 0 | 0 | (97,180,289) | 0 | (107,888,123) |
| Total - General Fund | 0 | 0 | 0 | 0 | 0 | (97,180,289) | 0 | (107,888,123) |

Background

Currently, the fringe benefits for the General Fund positions within the Higher Education units are budgeted centrally in the Office of the State Comptroller - Fringe Benefits accounts. This includes: Unemployment, State Employee Retirement System (SERS), Alternative Retirement Plan (ARP), Group Life, Social Security, and Medical Insurance.

Governor

Transfer funding of \$97,180,289 in FY 14 and \$107,888,123 in FY 15 from the OSC Fringe Benefit accounts to this agency to reflect the reallocation of fringe benefit costs for the Higher Education units.

Legislative

Funding for fringe benefits is maintained in the Office of the State Comptroller - Fringe Benefits accounts.

Reduce Funding for the CT Center for Advanced Technology

| | | | | | | | | |
|--|----------|------------------|----------|------------------|----------|----------|----------|----------|
| Connecticut Center for Advanced Technology | 0 | (225,000) | 0 | (225,000) | 0 | 0 | 0 | 0 |
| Total - General Fund | 0 | (225,000) | 0 | (225,000) | 0 | 0 | 0 | 0 |

Background

The Connecticut Center for Advanced Technology's (CCAT) core goal is to help companies to improve efficiencies in their day-to-day operations, and as part of that goal CCAT offers clients a range of services aimed at assisting companies in that mission.

Governor

Reduce funding by \$225,000 in FY 14 and FY 15 for CCAT.

Legislative

Same as Governor

| Account | Legislative | | | | Difference from Governor Recommended | | | |
|---------|-------------|--------|-------|--------|--------------------------------------|--------|-------|--------|
| | FY 14 | | FY 15 | | FY 14 | | FY 15 | |
| | Pos. | Amount | Pos. | Amount | Pos. | Amount | Pos. | Amount |

Transfer Funding to Streamline Budget Account Structure

| | | | | | | | | |
|--|----------|-------------|----------|-------------|----------|-----------|----------|-----------|
| Operating Expenses | 0 | 11,877,489 | 0 | 12,260,884 | 0 | (475,000) | 0 | (475,000) |
| Tuition Freeze | 0 | (4,054,312) | 0 | (4,054,312) | 0 | 0 | 0 | 0 |
| Regional Campus Enhancement | 0 | (7,487,677) | 0 | (7,871,072) | 0 | 0 | 0 | 0 |
| Veterinary Diagnostic Laboratory | 0 | (85,500) | 0 | (85,500) | 0 | 0 | 0 | 0 |
| CommPACT Schools | 0 | 0 | 0 | 0 | 0 | 475,000 | 0 | 475,000 |
| Connecticut Center for Advanced Technology | 0 | (250,000) | 0 | (250,000) | 0 | 0 | 0 | 0 |
| Total - General Fund | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

Background

The Governor's FY 14 and FY 15 budget consolidates the number of agency budgetary accounts from 675 to approximately 530.

Governor

Transfer funding of \$12,352,489 in FY 14 and \$12,735,884 in FY 15 from various accounts to the Operating Expenses account to reflect the streamlining of agency budgetary accounts.

Legislative

Transfer funding of \$11,877,489 in FY 14 and \$12,260,884 in FY 15 from various accounts to the Operating Expenses account to reflect the streamlining of agency budgetary accounts.

Reduce Funding for Block Grant

| | | | | | | | | |
|-----------------------------|----------|--------------------|----------|--------------------|----------|--------------------|----------|--------------------|
| Operating Expenses | 0 | (1,000,000) | 0 | (1,000,000) | 0 | (1,000,000) | 0 | (1,000,000) |
| Total - General Fund | 0 | (1,000,000) | 0 | (1,000,000) | 0 | (1,000,000) | 0 | (1,000,000) |

Legislative

Reduce funding of \$1,000,000 in both FY 14 and FY 15 in Operating Expenses.

Totals

| Budget Components | Legislative | | | | Difference from Governor Recommended | | | |
|-------------------------------|--------------|--------------------|--------------|--------------------|--------------------------------------|---------------------|----------|----------------------|
| | FY 14 | | FY 15 | | FY 14 | | FY 15 | |
| | Pos. | Amount | Pos. | Amount | Pos. | Amount | Pos. | Amount |
| Governor Estimated - GF | 2,931 | 205,631,967 | 2,931 | 205,631,967 | 0 | 0 | 0 | 0 |
| Current Services | (584) | 8,797,180 | (584) | 20,828,609 | 0 | 0 | 0 | 0 |
| Policy Revisions | 0 | (11,486,597) | 66 | 3,513,403 | 0 | (97,780,289) | 0 | (110,888,123) |
| Total Recommended - GF | 2,347 | 202,942,550 | 2,413 | 229,973,979 | 0 | (97,780,289) | 0 | (110,888,123) |

Other Significant Legislation

PA 13-233, An Act Concerning Next Generation Connecticut

The act authorizes \$1,551 million in new bonds for "Next Generation Connecticut," a capital improvement program under the UConn 2000 infrastructure program.

This act specifies the purpose of the Next Generation program and requires UConn to develop a comprehensive plan to guide the program's investments. It requires UConn to: (1) develop the plan in consultation with various groups, including leaders in the science, technology, engineering, and math-related industries and (2) annually report to the legislature, beginning January 1, 2016, on its progress towards achieving the plan's goals. It also requires UConn to assess its progress in meeting the Next Generation program's purposes by December 31, 2019 and five years thereafter. Lastly, this act requires UConn to develop a strategic master plan that: (1) encompasses all of its academic programs and (2) establishes strategic goals and objectives for the university and such programs. UConn must submit the plan, by July 1, 2015, to the Higher Education and Finance committees. The committees must hold a joint hearing on the plan within 30 days of receiving it. Funding of \$15 million and 66 positions is included in FY 15 in UConn's budget as part of the phase-in of Next Generation Connecticut.

PA 13-247, An Act Implementing Provisions of the State Budget for the Biennium Ending June 30, 2015 Concerning General Government

Section 57 transfers up to \$100,000 appropriated to UConn in FY 14 to the Department of Energy and Environmental Protection (DEEP) for purposes of performing an investigation into the quality of groundwater flow in bedrock. Section 56 of the act requires DEEP to conduct an assessment of the practices employed at the UConn Plant Science Research and Education Facility including examination of procedures for storage and application of pesticides and an evaluation of the water testing regimen.

PA 13-184, An Act Concerning Expenditures and Revenue for the Biennium Ending June 30, 2015

Sections 11, 12 and 49 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 14 appropriation levels will be reduced by the amounts shown in the table to achieve the lapses included in PA 13-184. This includes a General Lapse of \$845,634. See the FY 14 Holdbacks schedule in Section VI of this document for a further description of these statewide savings targets.

| Account | Appropriation \$ | Reduction Amount \$ | Net Remaining \$ | % Reduction |
|--------------------|-------------------------|--------------------------------|-----------------------------|--------------------|
| Operating Expenses | 202,067,550 | (845,634) | 201,221,916 | 0.42% |