

General Assembly

Amendment

January Session, 2011

LCO No. 8406

HB0661808406HD0

Offered by:

REP. RITTER E., 38th Dist. SEN. GERRATANA, 6th Dist.

To: Subst. House Bill No. **6618**

File No. 544

Cal. No. 343

"AN ACT CONCERNING VARIOUS REVISIONS TO PUBLIC HEALTH RELATED STATUTES."

- 1 Change the effective dates of sections 1, 2 and 6 to "Effective July 1,
- 2 2011"
- 3 Change the effective dates of sections 30 and 36 to "Effective from
- 4 passage"
- 5 In line 73, strike "and shall" and substitute "in taking such
- 6 disciplinary action." in lieu thereof
- 7 Strike lines 74 and 75 in their entirety
- 8 In line 103, after the period, insert: "Nothing in this section shall be
- 9 construed to prohibit a hospital from designating persons who are
- authorized to transport a patient with a portable oxygen source."
- Strike sections 3 to 5, inclusive, in their entirety and renumber the
- 12 remaining sections and internal references accordingly

Strike lines 327 and 328 in their entirety and substitute the following in lieu thereof:

- 15 "surviving spouse; (6) <u>a conservator of the person appointed for</u> 16 such person; (7) members of genealogical societies"
- 17 Strike section 16 in its entirety and substitute the following in lieu 18 thereof:
- "Sec. 16. Section 10-204a of the general statutes is repealed and the following is substituted in lieu thereof (*Effective October 1, 2011*):
- 21 (a) Each local or regional board of education, or similar body 22 governing a nonpublic school or schools, shall require each child to be 23 protected by adequate immunization against diphtheria, pertussis, 24 tetanus, poliomyelitis, measles, mumps, rubella, hemophilus 25 influenzae type B and any other vaccine required by the schedule for 26 active immunization adopted pursuant to section 19a-7f, as amended 27 by this act, before being permitted to enroll in any program operated 28 by a public or nonpublic school under its jurisdiction. Before being 29 permitted to enter seventh grade, a child shall receive a second 30 immunization against measles. Any such child who (1) presents a 31 certificate from a physician, physician assistant, advanced practice 32 registered nurse or local health agency stating that initial 33 immunizations have been given to such child and additional 34 immunizations are in process under guidelines and schedules 35 specified by the Commissioner of Public Health; or (2) presents a 36 certificate from a physician, physician assistant or advanced practice 37 registered nurse stating that in the opinion of such physician, 38 physician assistant or advanced practice registered nurse such 39 immunization is medically contraindicated because of the physical 40 condition of such child; or (3) presents a statement from the parents or 41 guardian of such child that such immunization would be contrary to 42 the religious beliefs of such child; or (4) in the case of measles, mumps 43 or rubella, presents a certificate from a physician, physician assistant 44 or advanced practice registered nurse or from the director of health in

45 such child's present or previous town of residence, stating that the

- 46 child has had a confirmed case of such disease; or (5) in the case of
- 47 hemophilus influenzae type B has passed his fifth birthday; or (6) in
- 48 the case of pertussis, has passed his sixth birthday, shall be exempt
- 49 from the appropriate provisions of this section. If the parents or
- 50 guardians of any children are unable to pay for such immunizations,
- 51 the expense of such immunizations shall, on the recommendations of
- 52 such board of education, be paid by the town.
- 53 (b) The definitions of adequate immunization shall reflect the
- schedule for active immunization adopted pursuant to section 19a-7f,
- 55 <u>as amended by this act,</u> and be established by regulation adopted in
- accordance with the provisions of chapter 54 by the Commissioner of
- 57 Public Health, who shall also be responsible for providing procedures
- 58 under which said boards and said similar governing bodies shall
- 59 collect and report immunization data on each child to the Department
- of Public Health for compilation and analysis by said department.
- 61 (c) The Commissioner of Public Health may issue a temporary
- 62 waiver to the schedule for active immunization for any vaccine if the
- 63 National Centers for Disease Control and Prevention recognizes a
- 64 <u>nation-wide shortage of supply for such vaccine."</u>
- In line 653, strike "twenty" and insert "fifteen" in lieu thereof
- In line 915, bracket "health or his" and insert in lieu thereof "health,
- 67 [or his] the local director's"
- In line 919, bracket "either" and after the closing bracket insert "the
- 69 local director of health, the local director's authorized agent or the
- 70 <u>Department of Public Health</u>"
- 71 Strike section 33 in its entirety and insert the following in lieu
- 72 thereof:
- 73 "Sec. 33. Subdivisions (8) and (9) of section 19a-177 of the general
- 74 statutes are repealed and the following is substituted in lieu thereof

75 (*Effective from passage*):

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(8) (A) Not later than October 1, 2001, develop or cause to be developed a data collection system that will follow a patient from initial entry into the emergency medical service system through arrival at the emergency room and, within available appropriations, may expand the data collection system to include clinical treatment and patient outcome data. The commissioner shall, on a quarterly basis, collect the following information from each licensed ambulance service or certified ambulance service that provides emergency medical services: (i) The total number of calls for emergency medical services received by such licensed ambulance service or certified ambulance service through the 9-1-1 system during the reporting period; (ii) each level of emergency medical services, as defined in regulations adopted pursuant to section 19a-179, required for each such call; (iii) the response time for each licensed ambulance service or certified ambulance service during the reporting period; (iv) the number of passed calls, cancelled calls and mutual aid calls during the reporting period; and (v) for the reporting period, the prehospital data for the nonscheduled transport of patients required by regulations adopted pursuant to subdivision (6) of this section. The information required under this subdivision may be submitted in any written or electronic form selected by such licensed ambulance service or certified ambulance service and approved by the commissioner, provided the commissioner shall take into consideration the needs of such licensed ambulance service or certified ambulance service in approving such written or electronic form. The commissioner may conduct an audit of any such licensed ambulance service or certified ambulance service as the commissioner deems necessary in order to verify the accuracy of such reported information.

(B) The commissioner shall prepare a report to the Emergency Medical Services Advisory Board, established pursuant to section 19a-178a, that shall include, but not be limited to, the following information: (i) The total number of calls for emergency medical services received during the reporting year by each licensed

ambulance service or certified ambulance service; (ii) the level of emergency medical services required for each such call; (iii) the name of the provider of each such level of emergency medical services furnished during the reporting year; (iv) the response time, by time ranges or fractile response times, for each licensed ambulance service or certified ambulance service, using a common definition of response time, as provided in regulations adopted pursuant to section 19a-179; and (v) the number of passed calls, cancelled calls and mutual aid calls during the reporting year. The commissioner shall prepare such report in a format that categorizes such information for each municipality in which the emergency medical services were provided, with each such municipality grouped according to urban, suburban and rural classifications. [Not later than March 31, 2002, and annually thereafter, the commissioner shall submit such report to the joint standing committee of the General Assembly having cognizance of matters relating to public health, shall make such report available to the public and shall post such report on the Department of Public Health web site on the Internet.]

(C) If any licensed ambulance service or certified ambulance service does not submit the information required under subparagraph (A) of this subdivision for a period of six consecutive months, or if the commissioner believes that such licensed ambulance service or certified ambulance service knowingly or intentionally submitted incomplete or false information, the commissioner shall issue a written order directing such licensed ambulance service or certified ambulance service to comply with the provisions of subparagraph (A) of this subdivision and submit all missing information or such corrected information as the commissioner may require. If such licensed ambulance service or certified ambulance service fails to fully comply with such order not later than three months from the date such order is issued, the commissioner (i) shall conduct a hearing, in accordance with chapter 54, at which such licensed ambulance service or certified ambulance service shall be required to show cause why the primary service area assignment of such licensed ambulance service or certified

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ambulance service should not be revoked, and (ii) may take such disciplinary action under section 19a-17, as amended by this act, as the commissioner deems appropriate.

- (D) [On and after October 1, 2006, the] <u>The</u> commissioner shall collect the information required by subparagraph (A) of this subdivision, in the manner provided in said subparagraph, from each person or emergency medical service organization licensed or certified under section 19a-180 that provides emergency medical services. [On and after October 1, 2006, such information shall be included in the annual report prepared by the commissioner in accordance with subparagraph (B) of this subdivision and such person or emergency medical service organization shall be subject to the provisions of subparagraph (C) of this subdivision;]
- (9) (A) Establish rates for the conveyance of patients by licensed ambulance services and invalid coaches and establish emergency service rates for certified ambulance services, provided (i) the present rates established for such services and vehicles shall remain in effect until such time as the commissioner establishes a new rate schedule as provided in this subdivision, and (ii) any rate increase not in excess of the Medical Care Services Consumer Price Index, as published by the Bureau of Labor Statistics of the United States Department of Labor, for the prior year, filed in accordance with subparagraph (B)(iii) of this subdivision shall be deemed approved by the commissioner. For purposes of this subdivision, licensed ambulance service shall not include emergency air transport services.
- (B) Adopt regulations, in accordance with the provisions of chapter 54, establishing methods for setting rates and conditions for charging such rates. Such regulations shall include, but not be limited to, provisions requiring that on and after July 1, 2000: (i) Requests for rate increases may be filed no more frequently than once a year, except that, in any case where an agency's schedule of maximum allowable rates falls below that of the Medicare allowable rates for that agency, the commissioner shall immediately amend such schedule so that the

rates are at or above the Medicare allowable rates; (ii) only licensed ambulance services and certified ambulance services that apply for a rate increase in excess of the Medical Care Services Consumer Price Index, as published by the Bureau of Labor Statistics of the United States Department of Labor, for the prior year, and do not accept the maximum allowable rates contained in any voluntary state-wide rate schedule established by the commissioner for the rate application year shall be required to file detailed financial information with the commissioner, provided any hearing that the commissioner may hold concerning such application shall be conducted as a contested case in accordance with chapter 54; (iii) licensed ambulance services and certified ambulance services that do not apply for a rate increase in any year in excess of the Medical Care Services Consumer Price Index, as published by the Bureau of Labor Statistics of the United States Department of Labor, for the prior year, or that accept the maximum allowable rates contained in any voluntary state-wide rate schedule established by the commissioner for the rate application year shall, not later than July fifteenth of such year, file with the commissioner a statement of emergency and nonemergency call volume, and, in the case of a licensed ambulance service or certified ambulance service that is not applying for a rate increase, a written declaration by such licensed ambulance service or certified ambulance service that no change in its currently approved maximum allowable rates will occur for the rate application year; and (iv) detailed financial and operational information filed by licensed ambulance services and certified ambulance services to support a request for a rate increase in excess of the Medical Care Services Consumer Price Index, as published by the Bureau of Labor Statistics of the United States Department of Labor, for the prior year, shall cover the time period pertaining to the most recently completed fiscal year and the rate application year of the licensed ambulance service or certified ambulance service.

(C) Establish rates for licensed ambulance services and certified ambulance services for the following services and conditions: (i) "Advanced life support assessment" and "specialty care transports",

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210 which terms shall have the meaning provided in 42 CFR 414.605; and 211 (ii) intramunicipality mileage, which means mileage for an ambulance 212 transport when the point of origin and final destination for a transport 213 is within the boundaries of the same municipality. The rates 214 established by the commissioner for each such service or condition 215 shall be equal to (I) the ambulance service's base rate plus its 216 established advanced life support/paramedic surcharge when 217 advanced life support assessment services are performed; (II) two 218 hundred twenty-five per cent of the ambulance service's established 219 base rate for specialty care transports; and (III) "loaded mileage", as the 220 term is defined in 42 CFR 414.605, multiplied by the ambulance 221 service's established rate for intramunicipality mileage. Such rates shall 222 remain in effect until such time as the commissioner establishes a new 223 rate schedule as provided in this subdivision;"

- 224 In line 1428, strike "19a-6i"
- After the last section, add the following and renumber sections and internal references accordingly:
- "Sec. 501. Subdivision (10) of subsection (b) of section 1-210 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective October 1, 2011*):
- 230 (10) Records, tax returns, reports and statements exempted by 231 federal law or [state] the general statutes or communications 232 privileged by the attorney-client relationship, marital relationship, 233 clergy-penitent relationship, doctor-patient relationship, therapist-234 patient relationship or any other privilege established by the common 235 law or the general statutes, including any such records, tax returns, 236 reports or communications that were created or made prior to the 237 establishment of the applicable privilege under the common law or the
- Sec. 502. Subsection (b) of section 1-210 of the general statutes is
- amended by adding subdivision (26) as follows (*Effective October 1*,
- 241 2011):

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general statutes;

(NEW) (26) All records obtained during the course of inspection, investigation, examination and audit activities of an institution, as defined in section 19a-490, that are confidential pursuant to a contract between the Department of Public Health and the United States Department of Health and Human Services relating to the Medicare and Medicaid programs.

- Sec. 503. Subsections (a) and (b) of section 19a-32f of the general statutes are repealed and the following is substituted in lieu thereof (*Effective October 1, 2011*):
- 251 (a) (1) There is established a Stem Cell Research Advisory 252 Committee. The committee shall consist of the Commissioner of Public 253 Health, or the commissioner's designee, and eight members who shall 254 be appointed as follows: Two by the Governor, one of whom shall be 255 nationally recognized as an active investigator in the field of stem cell 256 research and one of whom shall have background and experience in 257 the field of bioethics; one each by the president pro tempore of the 258 Senate and the speaker of the House of Representatives, who shall 259 have background and experience in private sector stem cell research 260 and development; one each by the majority leaders of the Senate and 261 House of Representatives, who shall be academic researchers 262 specializing in stem cell research; one by the minority leader of the 263 Senate, who shall have background and experience in either private or 264 public sector stem cell research and development or related research 265 fields, including, but not limited to, embryology, genetics or cellular biology; and one by the minority leader of the House of 266 267 Representatives, who shall have background and experience in 268 business or financial investments. Members shall serve for a term of 269 four years commencing on October first, except that members first 270 appointed by the Governor and the majority leaders of the Senate and 271 House of Representatives shall serve for a term of two years. No 272 member may serve for more than two consecutive four-year terms and 273 no member may serve concurrently on the Stem Cell Research Peer 274 Review Committee established pursuant to section 19a-32g. All initial 275 appointments to the committee shall be made by October 1, 2005. Any

vacancy shall be filled by the appointing authority.

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(2) On and after July 1, 2006, the advisory committee shall include eight additional members who shall be appointed as follows: Two by the Governor, one of whom shall be nationally recognized as an active investigator in the field of stem cell research and one of whom shall have background and experience in the field of ethics; one each by the president pro tempore of the Senate and the speaker of the House of Representatives, who shall have background and experience in private sector stem cell research and development; one each by the majority leaders of the Senate and House of Representatives, who shall be academic researchers specializing in stem cell research; one by the minority leader of the Senate, who shall have background and experience in either private or public sector stem cell research and development or related research fields, including, but not limited to, embryology, genetics or cellular biology; and one by the minority leader of the House of Representatives, who shall have background and experience in business or financial investments. Members shall serve for a term of four years, except that (A) members first appointed by the Governor and the majority leaders of the Senate and House of Representatives pursuant to this subdivision shall serve for a term of two years and three months, and (B) members first appointed by the remaining appointing authorities shall serve for a term of four years and three months. No member appointed pursuant to this subdivision may serve for more than two consecutive four-year terms and no such member may serve concurrently on the Stem Cell Research Peer Review Committee established pursuant to section 19a-32g. All initial appointments to the committee pursuant to this subdivision shall be made by July 1, 2006. Any vacancy shall be filled by the appointing authority.

(b) The Commissioner of Public Health, or the commissioner's designee, shall serve as the chairperson of the committee and shall schedule the first meeting of the committee, which shall be held no later than December 1, 2005.

Sec. 504. Subdivision (4) of subsection (a) of section 20-74ee of the general statutes is repealed and the following is substituted in lieu thereof (*Effective October 1, 2011*):

- (4) Nothing in subsection (c) of section 19a-14, as amended by this act, sections 20-74aa to 20-74cc, inclusive, and this section shall be construed to require licensure as a radiographer or to limit the activities of a [Nuclear Medicine Technologist certified by the Nuclear Medicine Technology Certification Board] technologist certified by the International Society for Clinical Densitometry or the American Registry of Radiologic Technologists, provided such individual is engaged in the operation of a bone densitometry system under the supervision, control and responsibility of a physician licensed pursuant to chapter 370.
- Sec. 505. Section 19a-270 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective October 1, 2011*):

The first selectman of any town, the mayor of any city, the administrative head of any state correctional institution or the superintendent or person in charge of any almshouse, asylum, hospital, morgue or other public institution which is supported, in whole or in part, at public expense, having in his or her possession or control the dead body of any person which, if not claimed as provided in this section, would have to be buried at public expense, or at the expense of any such institution, shall, immediately upon the death of such person, notify such person's relatives thereof, if known, and, if such relatives are not known, shall notify the person or persons bringing or committing such person to such institution. Such official shall, within twenty-four hours from the time such body came into his or her possession or control, give notice thereof to the Department of Public Health and shall deliver such body to The University of Connecticut, Quinnipiac University, the Yale University School of Medicine or the University of Bridgeport College of Chiropractic or its successor institution, as said department may direct and in accordance with an agreement to be made among said universities in such manner

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as is directed by said department and at the expense of the university receiving the body, if The University of Connecticut, Quinnipiac University, Yale University, or the University of Bridgeport College of Chiropractic or its successor institution, at any time within one year, has given notice to any of such officials that such bodies would be needed for the purposes specified in section 19a-270b; provided any such body shall not have been claimed by a relative, either by blood or marriage, or a legal representative of such deceased person prior to delivery to any of said universities. The university receiving such body shall not embalm such body for a period of at least forty-eight hours after death, and any relative, either by blood or marriage, or a legal representative of such deceased person may claim such body during said period. If any such body is not disposed of in either manner specified in this section, it may be cremated or buried. When any person has in his or her possession or control the dead body of any person which would have to be buried at public expense or at the expense of any such institution, he or she shall, within forty-eight hours after such body has come into his or her possession or control, file, with the registrar of the town within which such death occurred, a certificate of death as provided in section 7-62b, unless such certificate has been filed by a funeral director. Before any such body is removed to any of said universities, the official or person contemplating such removal shall secure a removal, transit and burial permit which shall be delivered with the body to the official in charge of such university, who shall make return of such removal, transit and burial permit in the manner provided in section 7-66.

Sec. 506. (Effective from passage) Notwithstanding the provisions of subsection (a) of section 20-206bb of the general statutes, during the period commencing on the effective date of this section and ending thirty days after said effective date, the Department of Public Health shall issue a license as an acupuncturist under chapter 384c of the general statutes to any applicant who presents satisfactory evidence to the department that the applicant: (1) Passed the National Commission for the Certification of Acupuncture and Oriental Medicine written

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examination by test or by credentials review prior to April 28, 2010; (2)

- 377 successfully completed the practical examination of point location
- 378 skills offered by the National Commission for the Certification of
- 379 Acupuncture and Oriental Medicine; and (3) successfully completed
- 380 the Clean Needle Technique Course offered by the Council of Colleges
- of Acupuncture and Oriental Medicine on March 13, 2010.
- Sec. 507. Section 19a-902 of the general statutes is repealed and the
- 383 following is substituted in lieu thereof (*Effective October 1, 2011*):
- On or before January 1, 2011, the Department of Public Health, in
- 385 consultation with the Department of Mental Health and Addiction
- 386 Services, shall (1) amend the department's substance abuse treatment
- regulations; [and shall] (2) implement a dual licensure program for
- 388 behavioral health care providers who provide both mental health
- services and substance abuse services, and (3) permit the use of saliva-
- 390 <u>based drug screening or urinalysis when conducting initial and</u>
- 391 subsequent drug screenings of persons who abuse substances other
- 392 than alcohol at facilities which are licensed by the Department of
- 393 Public Health.
- 394 Sec. 508. Section 19a-6i of the general statutes is repealed and the
- 395 following is substituted in lieu thereof (*Effective from passage*):
- 396 [The committee established under section 51 of public act 06-195*
- 397 shall meet at least once every calendar quarter and report annually to
- 398 the joint standing committees of the General Assembly having
- 399 cognizance of matters relating to public health and education, in
- accordance with the provisions of section 11-4a, on recommended
- 401 statutory and regulatory changes to improve health care through
- 402 access to school-based health clinics.]
- 403 (a) There is established a school-based health center advisory
- 404 committee for the purpose of assisting the Commissioner of Public
- Health in developing recommendations for statutory and regulatory
- 406 changes to improve health care through access to school-based health
- 407 centers.

408	(b)	The committee shall be composed of the following members:
1 00	(ν)	The committee shan be composed of the following members

- 409 (1) The Commissioner of Public Health, or the commissioner's
- 410 <u>designee;</u>
- 411 (2) The Commissioner of Social Services, or the commissioner's
- 412 <u>designee;</u>
- 413 (3) The Commissioner of Mental Health and Addiction Services, or
- 414 the commissioner's designee;
- 415 (4) The Commissioner of Education, or the commissioner's designee;
- 416 <u>and</u>
- 417 (5) Three school-based health center providers who shall be
- 418 appointed by the board of directors of the Connecticut Association of
- 419 <u>School-Based Health Centers.</u>
- 420 (c) The committee shall meet not less than quarterly. On or before
- 421 January 1, 2012, and annually thereafter, the committee shall report, in
- accordance with the provisions of section 11-4a, on its activities to the
- joint standing committees of the General Assembly having cognizance
- 424 of matters relating to public health and education.
- 425 (d) Administrative support for the activities of the committee may
- be provided by the Connecticut Association of School-Based Health
- 427 Centers.
- Sec. 509. Section 20-12i of the general statutes is repealed and the
- following is substituted in lieu thereof (*Effective October 1, 2011*):
- 430 (a) On and after October 1, 2011, prior to engaging in the use of
- 431 fluoroscopy for guidance of diagnostic and therapeutic procedures, a
- 432 physician assistant shall: (1) Successfully complete a course that
- 433 includes forty hours of [training on topics that include, but are]
- 434 didactic instruction relevant to fluoroscopy which includes, but is not
- limited to, [radiation physics, radiation biology, radiation safety and
- 436 radiation management applicable to fluoroscopy, provided not less

437 than ten hours of such training shall address radiation safety and not 438 less than fifteen hours of such training shall address both radiation 439 physics and radiation biology and physics, exposure reduction, 440 equipment operation, image evaluation, quality control and patient 441 considerations; (2) successfully complete a minimum of forty hours of 442 supervised clinical experience that includes a demonstration of patient 443 dose reduction, occupational dose reduction, image recording and 444 quality control of fluoroscopy equipment; and [(2)] (3) pass an 445 examination prescribed by the Commissioner of Public Health. 446 Documentation that the physician assistant has met the requirements 447 prescribed in this subsection shall be maintained at the employment 448 site of the physician assistant and made available to the Department of 449 Public Health upon request.

- (b) Notwithstanding the provisions of this section or sections 20-74bb and 20-74ee, as amended by this act, nothing shall prohibit a physician assistant [from] who is engaging in the use of fluoroscopy for guidance of diagnostic and therapeutic procedures or [from] positioning and utilizing a mini C-arm in conjunction with fluoroscopic procedures prior to October 1, 2011, from continuing to engage in such procedures, nor require the physician assistant to complete the course or supervised clinical experience described in subsection (a) of this section, provided such physician assistant shall pass the examination prescribed by the commissioner on or before [October 1, 2011] July 1, 2012. If a physician assistant does not pass the required examination on or before [October 1, 2011] July 1, 2012, such physician assistant shall not engage in the use of fluoroscopy for guidance of diagnostic and therapeutic procedures or position and utilize a mini C-arm in conjunction with fluoroscopic procedures until such time as such physician assistant meets the requirements of subsection (a) of this section.
- Sec. 510. Section 19a-87e of the general statutes is repealed and the following is substituted in lieu thereof (*Effective October 1, 2011*):
- (a) The Commissioner of Public Health may (1) refuse to license

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under section 19a-87b, as amended by this act, a person to own, conduct, operate or maintain a family day care home, as defined in section 19a-77, as amended by this act, [or to] (2) refuse to approve under section 19a-87b, as amended by this act, a person to act as an assistant or substitute staff member in a family day care home, as defined in section 19a-77, as amended by this act, or (3) suspend or revoke the license <u>or approval</u> or take any other action that may be set forth in regulation that may be adopted pursuant to section 19a-79 if the person who owns, conducts, maintains or operates the family day care home, the person who acts as an assistant or substitute staff member in a family day care home or a person employed in such family day care home in a position connected with the provision of care to a child receiving child day care services, has been convicted, in this state or any other state of a felony, as defined in section 53a-25, involving the use, attempted use or threatened use of physical force against another person, or has a criminal record in this state or any other state that the commissioner reasonably believes renders the person unsuitable to own, conduct, operate or maintain or be employed by a family day care home, or act as an assistant or substitute staff member in a family day care home, or if such persons or a person residing in the household has been convicted in this state or any other state of cruelty to persons under section 53-20, injury or risk of injury to or impairing morals of children under section 53-21, abandonment of children under the age of six years under section 53-23, or any felony where the victim of the felony is a child under eighteen years of age, a violation of section 53a-70, 53a-70a, 53a-70b, 53a-71, 53a-72a, 53a-72b or 53a-73a, illegal manufacture, distribution, sale, prescription, dispensing or administration under section 21a-277 or 21a-278, or illegal possession under section 21a-279, or if such person, a person who acts as assistant or substitute staff member in a family day care home or a person employed in such family day care home in a position connected with the provision of care to a child receiving child day care services, either fails to substantially comply with the regulations adopted pursuant to section 19a-87b, as amended by this act, or conducts, operates or maintains the home in a manner

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which endangers the health, safety and welfare of the children receiving child day care services. Any refusal of a license <u>or approval</u> pursuant to this section shall be rendered in accordance with the provisions of sections 46a-79 to 46a-81, inclusive. Any person whose license <u>or approval</u> has been revoked pursuant to this section shall be ineligible to apply for a license <u>or approval</u> for a period of one year from the effective date of revocation.

(b) When the commissioner intends to suspend or revoke a license or approval or take any other action against a license or approval set forth in regulation adopted pursuant to section 19a-79, the commissioner shall notify the licensee or approved staff member in writing of the commissioner's intended action. The licensee or approved staff member may, if aggrieved by such intended action, make application for a hearing in writing over the licensee's or approved staff member's signature to the commissioner. The licensee or approved staff member shall state in the application in plain language the reasons why the licensee or approved staff member claims to be aggrieved. The application shall be delivered to the commissioner within thirty days of the licensee's or approved staff member's receipt of notification of the intended action. The commissioner shall thereupon hold a hearing within sixty days from receipt of such application and shall, at least ten days prior to the date of such hearing, mail a notice, giving the time and place of the hearing, to the licensee or approved staff member. The provisions of this subsection shall not apply to the denial of an initial application for a license or approval under section 19a-87b, as amended by this act, provided the commissioner shall notify the applicant of any such denial and the reasons for such denial by mailing written notice to the applicant at the applicant's address shown on the license or approval application.

(c) Any person who is licensed to conduct, operate or maintain a family day care home or approved to act as an assistant or substitute staff member in a family day care home shall notify the commissioner of any conviction of the owner, conductor, operator or maintainer of

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the family day care home or of any person residing in the household or any person employed in such family day care home in a position connected with the provision of care to a child receiving child day care services, of a crime which affects the commissioner's discretion under subsection (a) of this section, immediately upon obtaining knowledge of such conviction. Failure to comply with the notification requirement of this subsection may result in the suspension or revocation of the license or approval or the taking of any other action against a license or approval set forth in regulation adopted pursuant to section 19a-79 and shall subject the licensee or approved staff member to a civil penalty of not more than one hundred dollars per day for each day after the person obtained knowledge of the conviction.

- (d) It shall be a class A misdemeanor for any person seeking employment in a position connected with the provision of care to a child receiving family day care home services to make a false written statement regarding prior criminal convictions pursuant to a form bearing notice to the effect that such false statements are punishable, which statement such person does not believe to be true and is intended to mislead the prospective employer.
- (e) Any person having reasonable cause to believe that a family day care home, as defined in section 19a-77, is operating without a current and valid license or in violation of the regulations adopted under section 19a-87b, as amended by this act, or in a manner which may pose a potential danger to the health, welfare and safety of a child receiving child day care services, may report such information to any office of the Department of Public Health. The department shall investigate any report or complaint received pursuant to this subsection. The name of the person making the report or complaint shall not be disclosed unless (1) such person consents to such disclosure, (2) a judicial or administrative proceeding results from such report or complaint, or (3) a license action pursuant to subsection (a) of this section results from such report or complaint. All records obtained by the department in connection with any such investigation shall not be subject to the provisions of section 1-210, as amended by this act, for

573 a period of thirty days from the date of the petition or other event 574 initiating such investigation, or until such time as the investigation is 575 terminated pursuant to a withdrawal or other informal disposition or 576 until a hearing is convened pursuant to chapter 54, whichever is 577 earlier. A formal statement of charges issued by the department shall 578 be subject to the provisions of section 1-210, as amended by this act, 579 from the time that it is served or mailed to the respondent. Records 580 which are otherwise public records shall not be deemed confidential 581 merely because they have been obtained in connection with an 582 investigation under this section.

- Sec. 511. Subsection (g) of section 20-222 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective October 1, 2011*):
- 586 (g) Any person, firm, partnership or corporation engaged in the 587 funeral service business shall maintain at the address of record of the 588 funeral service business identified on the certificate of inspection:
 - (1) All records relating to contracts for funeral services, prepaid funeral <u>service</u> contracts or escrow accounts for a period of not less than six years after the death of the individual for whom funeral services were provided;
 - (2) Copies of all death certificates, burial permits, authorizations for cremation, documentation of receipt of cremated remains and written agreements used in making arrangements for final disposition of dead human bodies, including, but not limited to, copies of the final bill and other written evidence of agreement or obligation furnished to consumers, for a period of not less than six years after such final disposition; and
- 600 (3) Copies of price lists, for a period of not less than six years from 601 the last date such lists were distributed to consumers.
- Sec. 512. Section 20-222b of the general statutes is repealed and the following is substituted in lieu thereof (*Effective October 1, 2011*):

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(a) Each person, firm or corporation that carries on or engages in a funeral service business, as defined in section 20-207, shall display, on a sign located immediately inside of such funeral service business, in a place proximate to the display of the license and certificate required by this chapter and in a manner visible to the public, the following ownership information:

- (1) The name of every licensed funeral director, as defined in section 20-207, who holds an ownership interest of ten per cent or more in the corporation, limited liability company, partnership, limited partnership or other business entity that operates such funeral service business; and
- 615 (2) The name of any corporation, limited liability company, 616 partnership, [or] limited partnership <u>or other business entity</u> that holds 617 an ownership interest of ten per cent or more in such funeral service 618 business.
- (b) Each person, firm or corporation that carries on or engages in such funeral service business shall include, on any contract for the sale of funeral services or merchandise, the name, business address and business telephone number of any corporation, limited liability company, partnership, [or] limited partnership or other business entity that holds an ownership interest of ten per cent or more in such funeral service business.
- Sec. 513. Section 20-222c of the general statutes is repealed and the following is substituted in lieu thereof (*Effective October 1, 2011*):
- Upon the transfer of more than a fifty per cent ownership share, discontinuance or termination of a funeral service business, the person, firm, partnership or corporation to whom the inspection certificate has been issued shall:
- (1) Notify each person who has purchased a prepaid funeral <u>service</u> 633 contract from such funeral service business of such transfer, 634 discontinuance or termination;

635 (2) Mail a letter to each person for whom the funeral service 636 business is storing cremated remains notifying such person of such transfer, discontinuance or termination; and 637

- (3) Provide the Department of Public Health with a notice of such transfer, discontinuance or termination and a list of all unclaimed cremated remains held by the funeral service business at the time of such transfer, discontinuance or termination not later than ten days after any such transfer, discontinuance or termination.
- 643 Sec. 514. (*Effective from passage*) (a) As used in this section:
 - (1) "Electronic technology" or "telepharmacy" means the process: (A) By which each step involved in the preparation of IV admixtures is verified through use of a bar code tracking system and documented by means of digital photographs which are electronically recorded and preserved; and (B) which is monitored and verified through video and audio communication between a licensed supervising clinical pharmacist and a pharmacy technician;
- (2) "IV admixture" means an IV fluid to which one or more 651 652 additional drug products have been added;
- (3) "Pharmacist" means an individual who is licensed to practice 653 654 pharmacy under the provisions of section 20-590, 20-591, 20-592 or 655 20-593 of the general statutes, and who is thereby recognized as a 656 health care provider by the state of Connecticut; and
- 657 (4) "Pharmacy technician" means an individual who is registered 658 with the department and qualified in accordance with section 20-598a 659 of the general statutes.
- 660 (b) The Commissioner of Consumer Protection, in consultation with 661 the Commissioner of Public Health, may establish a pilot program to 662 permit a hospital, licensed in accordance with the provisions of chapter 663 368v of the general statutes, which operates a hospital pharmacy to use 664 electronic technology or telepharmacy at the hospital's satellite or

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remote locations for purposes of allowing a clinical pharmacist to supervise pharmacy technicians in the preparation of IV admixtures. Under the pilot program, notwithstanding the provisions of chapter 400j of the general statutes or regulations adopted pursuant to said chapter, a clinical pharmacist shall be permitted to supervise a pharmacy technician through use of electronic technology. A supervising clinical pharmacist shall monitor and verify the activities of a pharmacy technician through audio and video communication. In the event of a malfunction of the electronic technology, no IV admixtures prepared by a pharmacy technician during the time period of the malfunction may be distributed to patients, unless an appropriately licensed individual is able to: (1) Personally review and verify the accuracy of all processes utilized in the preparation of the IV admixture; or (2) upon the restoration of the electronic technology, utilize the mechanisms of the electronic technology which recorded the actions of the pharmacy technician to confirm that all proper steps were followed in the preparation of the IV admixture. Under the pilot program, all orders for medication shall be verified by a pharmacist prior to being delegated to a pharmacy technician for preparation of an IV admixture. A hospital participating in the pilot program shall ensure that appropriately licensed personnel administer medications at the hospital's satellite or remote locations. All of the processes involved in the operation of the pilot program shall be under the purview of the hospital's director of pharmacy.

- (c) A hospital selected to participate in the pilot program shall undertake periodic quality assurance evaluations which shall minimally include review of any error in medication administration which occurs under the pilot program. A hospital shall make such quality assurance evaluations available for review and inspection by the Departments of Consumer Protection and Public Health.
- (d) A pilot program established pursuant to this section may commence operation on or after July 1, 2011, and shall terminate not later than December 31, 2012, provided the Commissioner of Consumer Protection may terminate the pilot program prior to

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699 December 31, 2012, for good cause shown.

Sec. 515. Subsection (d) of section 4b-3 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective October 1, 2011*):

703 (d) Notwithstanding any other statute or special act to the contrary, 704 the Commissioner of Public Works shall be the sole person authorized 705 to represent the state in its dealings with third parties for the 706 acquisition, construction, development or leasing of real estate for 707 housing the offices or equipment of all agencies of the state or for the 708 state-owned public buildings or realty hereinafter provided for in 709 sections 2-90, 4b-1 to 4b-5, inclusive, 4b-21, 4b-23, 4b-24, 4b-26, 4b-27, 710 4b-30 and 4b-32, subsection (c) of section 4b-66 and sections 4b-67 to 711 4b-69, inclusive, 4b-71, 4b-72, 10-95, 10a-72, 10a-89, 10a-90, 10a-114, 712 10a-130, 10a-144, 17b-655, 22-64, 22a-324, 26-3, 27-45, 32-1c, 32-39, 48-9, 713 51-27d and 51-27f, except that (1) the Joint Committee on Legislative 714 Management may represent the state in the planning and construction 715 of the Legislative Office Building and related facilities, in Hartford; (2) 716 the Chief Court Administrator may represent the state in providing for 717 space for the Court Support Services Division as part of a new or 718 existing contract for an alternative incarceration program pursuant to 719 section 54-103b or a program developed pursuant to section 46b-121i, 720 46b-121j, 46b-121k or 46b-121l; (3) the board of trustees of a constituent 721 unit of the state system of higher education may represent the state in 722 the leasing of real estate for housing the offices or equipment of such constituent unit, provided no lease payments for such realty are made 723 724 with funds generated from the general revenues of the state; (4) the 725 Labor Commissioner may represent the state in the leasing of premises 726 required for employment security operations as provided in subsection 727 (c) of section 31-250; (5) the Commissioner of Developmental Services 728 may represent the state in the leasing of residential property as part of 729 the program developed pursuant to subsection (b) of section 17a-218, 730 provided such residential property does not exceed two thousand five 731 hundred square feet, for the community placement of persons eligible 732 receive residential services from the department;

733 Commissioner of Mental Health and Addiction Services may represent 734 the state in the leasing of residential units as part of a program 735 developed pursuant to section 17a-455a, provided each such 736 residential unit does not exceed two thousand five hundred square 737 feet; and (7) the Connecticut Marketing Authority may represent the 738 state in the leasing of land or markets under the control of the 739 Connecticut Marketing Authority, and, except for the housing of 740 offices or equipment in connection with the initial acquisition of an 741 existing state mass transit system or the leasing of land by the 742 Connecticut Marketing Authority for a term of one year or more in 743 which cases the actions of the Department of Transportation and the 744 Connecticut Marketing Authority shall be subject to the review and 745 approval of the State Properties Review Board. The Commissioner of 746 Public Works shall have the power to establish and implement any 747 procedures necessary for the commissioner to assume 748 commissioner's responsibilities as said sole bargaining agent for state 749 realty acquisitions and shall perform the duties necessary to carry out 750 such procedures. The Commissioner of Public Works may appoint, 751 within the commissioner's budget and subject to the provisions of 752 chapter 67, such personnel deemed necessary by the commissioner to 753 carry out the provisions hereof, including experts in real estate, 754 construction operations, financing, banking, contracting, architecture 755 and engineering. The Attorney General's office, at the request of the 756 commissioner, shall assist the commissioner in contract negotiations 757 regarding the purchase, lease or construction of real estate.

Sec. 516. Subsection (h) of section 19a-533 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective October 1, 2011*):

(h) Notwithstanding the provisions of this section, a nursing home may, without regard to the order of its waiting list, admit an applicant who (1) seeks to transfer from a nursing home that is closing, or (2) seeks to transfer from a nursing home in which the applicant was placed following the closure of the nursing home where such applicant previously resided or, in the case of a nursing home placed in

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767 <u>receivership, the anticipated closure of the nursing home where such</u>

- applicant previously resided, provided (A) the transfer occurs not later
- 769 than sixty days following the date that such applicant was transferred
- from the nursing home where he or she previously resided, and (B) the
- 771 <u>applicant submitted an application to the nursing home to which he or</u>
- 372 she seeks admission at the time of the applicant's transfer from the
- 773 <u>nursing home where he or she previously resided.</u>
- Sec. 517. Section 20-206aa of the general statutes is repealed and the
- following is substituted in lieu thereof (*Effective October 1, 2011*):
- As used in this section and sections 20-206bb, as amended by this act, and 20-206cc:
- 778 (1) "Commissioner" means the Commissioner of Public Health.
- 779 (2) "Department" means the Department of Public Health.
- 780 (3) ["Acupuncture" means the treating, by means of mechanical, 781 thermal or electrical stimulation effected by the insertion of needles or 782 by the application of heat, pressure or electrical stimulation at a point 783 or combination of points on the surface of the body predetermined on 784 the basis of the theory of physiological interrelationship of body 785 organs with an associated point or combination of points for diseases, 786 disorders and dysfunctions of the body for the purpose of achieving a 787 therapeutic or prophylactic effect but shall not include the practice of physical therapy.] "The practice of acupuncture" means the system of 788 restoring and maintaining health by the classical and modern Oriental 789 790 medicine principles and methods of assessment, treatment and 791 prevention of diseases, disorders and dysfunctions of the body, injury, 792 pain and other conditions. The practice of acupuncture includes:
- 793 (A) Assessment of body function, development of a comprehensive 794 treatment plan and evaluation of treatment outcomes according to 795 acupuncture and Oriental medicine theory;
- 796 (B) Modulation and restoration of normal function in and between

797 the body's energetic and organ systems and biochemical, metabolic

- 798 and circulation functions using stimulation of selected points by
- inserting needles, including, trigger point, subcutaneous and dry 799
- 800 needling, and other methods consistent with accepted standards
- 801 within the acupuncture and Oriental medicine profession;
- 802 (C) Promotion and maintenance of normal function in the body's
- 803 energetic and organ systems and biochemical, metabolic and
- 804 circulation functions by recommendation of Oriental dietary
- 805 principles, including, use of herbal and other supplements, exercise
- 806 and other self-treatment techniques according to Oriental medicine
- 807 theory; and
- 808 (D) Other practices that are consistent with the recognized
- 809 standards of the acupuncture and Oriental medicine profession and
- 810 accepted by the National Certification Commission for Acupuncture
- 811 and Oriental Medicine.
- 812 (4) "Recognized regional accrediting body" means one of the
- 813 following regional accrediting bodies: New England Association of
- 814 Schools and Colleges; Middle States Association of Colleges and
- 815 Schools; North Central Association of Colleges and Schools; Northwest
- 816 Association of Schools and Colleges; Southern Association of Colleges
- 817 and Schools; and Western Association of Schools and Colleges.
- 818 Sec. 518. Section 20-206bb of the general statutes is repealed and the
- 819 following is substituted in lieu thereof (*Effective October 1, 2011*):
- 820 (a) No person shall [perform] engage in the practice of acupuncture
- 821 without a license as an acupuncturist issued pursuant to this section.
- 822 (b) Each person seeking licensure as an acupuncturist shall make
- application on forms prescribed by the department, pay an application 824 fee of two hundred dollars and present to the department satisfactory
- 825 evidence that the applicant (1) has completed sixty semester hours, or
- 826 equivalent, of postsecondary study in an institution of
- 827 postsecondary education that, if in the United States or its territories,

was accredited by a recognized regional accrediting body or, if outside the United States or its territories, was legally chartered to grant postsecondary degrees in the country in which located, (2) has successfully completed a course of study in acupuncture in a program that, at the time of graduation, was in candidate status with or accredited by an accrediting agency recognized by the United States Department of Education and included a minimum of one thousand three hundred fifty hours of didactic and clinical training, five hundred of which were clinical, (3) has passed an examination prescribed by the department, and (4) has successfully completed a course in clean needle technique prescribed by the department. Any person successfully completing the education, examination or training requirements of this section in a language other than English shall be deemed to have satisfied the requirement completed in that language.

- (c) An applicant for licensure as an acupuncturist by endorsement shall present evidence satisfactory to the commissioner of licensure or certification as an acupuncturist, or as a person entitled to perform similar services under a different designation, in another state or jurisdiction whose requirements for practicing in such capacity are equivalent to or higher than those of this state and that there are no disciplinary actions or unresolved complaints pending. Any person completing the requirements of this section in a language other than English shall be deemed to have satisfied the requirements of this section.
- (d) Notwithstanding the provisions of subsection (b) of this section, the department shall, prior to September 1, 2005, issue a license to any applicant who presents to the department satisfactory evidence that the applicant has (1) earned, or successfully completed requirements for, a master's degree in acupuncture from a program that includes a minimum of one thousand three hundred fifty hours of didactic and clinical training, five hundred of which are clinical, from an institution of higher education accredited by the Department of Higher Education at the time of the applicant's graduation, (2) passed all portions of the National Certification Commission for Acupuncture and Oriental

Medicine acupuncture examination, including the acupuncture portion of the comprehensive written examination in acupuncture, the clean needle technique portion of the comprehensive written examination in acupuncture and the practical examination of point location skills, and (3) successfully completed a course in clean needle technique offered by the Council of Colleges of Acupuncture and Oriental Medicine.

- (e) Licenses shall be renewed once every two years in accordance with the provisions of subsection (e) of section 19a-88. The fee for renewal shall be two hundred fifty dollars.
- (f) No license shall be issued under this section to any applicant against whom professional disciplinary action is pending or who is the subject of an unresolved complaint in this or any other state or territory of the United States.
- (g) Nothing in section 19a-89c, 20-206aa, as amended by this act, 20-206cc or this section shall be construed to prevent licensed practitioners of the healing arts, as defined in sections 20-1 and 20-196, physical therapists or dentists from providing care or performing services consistent with accepted standards within their respective professions.
- (h) Notwithstanding the provisions of subsection (a) of this section, any person certified by an organization approved by the Commissioner of Public Health may practice auricular acupuncture for the treatment of alcohol and drug abuse, provided the treatment is performed under the supervision of a physician licensed under chapter 370 and is performed in either (1) a private free-standing facility licensed by the Department of Public Health for the care or treatment of substance abusive or dependent persons, or (2) a setting operated by the Department of Mental Health and Addiction Services. The Commissioner of Public Health shall adopt regulations, in accordance with the provisions of chapter 54, to ensure the safe provision of auricular acupuncture within private free-standing facilities licensed by the Department of Public Health for the care or treatment of

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(i) Notwithstanding the provisions of subsection (a) of this section, no license to [practice] engage in the practice of acupuncture is required of: (1) Students enrolled in a college or program of acupuncture if (A) the college or program is recognized by the Accreditation Commission for Acupuncture and Oriental Medicine or licensed or accredited by the Board of Governors of Higher Education, and (B) the practice that would otherwise require a license is pursuant to a course of instruction or assignments from a licensed instructor and under the supervision of the instructor; or (2) [licensed] faculty members providing the didactic and clinical training necessary to meet the accreditation standards of the Accreditation Commission for Acupuncture and Oriental Medicine at a college or program recognized by the commission or licensed or accredited by the Board of Governors of Higher Education. For purposes of this subsection, ["licensed faculty member" and] "licensed instructor" means a faculty member or instructor licensed under this section or otherwise authorized to [practice] engage in the practice of acupuncture in this state.

(j) No person shall use the title "acupuncturist", or use in connection with his or her name, any letters, words or insignia indicating or implying that such person is a licensed acupuncturist or advertise services as an acupuncturist, unless such person holds a license as an acupuncturist issued pursuant to this section. No person shall represent himself or herself as being certified to practice auricular acupuncture for the treatment of alcohol and drug abuse, or use in connection with his or her name the term "acupuncture detoxification specialist", or the letters "A.D.S." or any letters, words or insignia indicating or implying that such person is certified to practice auricular acupuncture for the treatment of alcohol and drug abuse unless such person is certified in accordance with subsection (h) of this section. Nothing in this subsection shall be construed to prevent a person from providing care, or performing or advertising services within the scope of such person's license or as otherwise authorized in

928 this section.

929 Sec. 519. (Effective from passage) The Nonprofit Liaison to the 930 Governor, in consultation with the Commissioners of Public Health, 931 Developmental Services, Social Services, Children and Families and 932 Mental Health and Addiction Services, or said commissioners' 933 designees, and two representatives of community-based providers 934 selected by the Nonprofit Liaison to the Governor, one of whom shall 935 be recommended by the Connecticut Association of Nonprofits and 936 one of whom shall be recommended by the Connecticut Community 937 Providers Association, shall study the feasibility of (1) establishing a 938 uniform state licensing process for community-based providers, and 939 (2) implementing deemed status. Such study shall minimally examine 940 whether a community-based provider may be allowed to obtain a 941 single state license that permits the provider to offer services for the 942 benefit of multiple state agencies without requiring such provider to 943 obtain separate licensure from each state agency for which services are 944 offered. On or before January 1, 2012, the Nonprofit Liaison to the 945 Governor shall report, in accordance with the provisions of section 11-946 4a of the general statutes, to the joint standing committees of the 947 General Assembly having cognizance of matters relating to public 948 health and human services on the feasibility of (A) establishing a 949 uniform licensing process for community-based providers, and (B) 950 implementing deemed status. The Nonprofit Liaison to the Governor 951 may include any recommendations for legislative action that the 952 liaison believes are necessary for the (i) establishment of a uniform 953 process for community-based providers, 954 implementation of deemed status.

Sec. 520. (NEW) (*Effective July 1, 2011*) (a) A residential care home that is colocated with a chronic and convalescent nursing home or a rest home with nursing supervision may request permission of the Department of Public Health to meet the requirements of section 19-13-D6(j) of the Public Health Code concerning attendants in residence from ten p.m. to seven a.m. through the use of shared personnel.

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961 (b) A residential care home shall maintain temperatures in resident 962 rooms and all other areas used by residents at the minimum 963 temperature of seventy-one degrees Fahrenheit.

- (c) A residential care home shall ensure that the maximum time span between a resident's evening meal and breakfast does not exceed fourteen hours unless a substantial bedtime nourishment is offered by the residential care home.
- (d) On and after July 1, 2011, the Department of Public Health shall no longer (1) require that a person seeking a license to operate a residential care home supply to the department a certificate of physical and mental health, signed by a physician, at the time of an initial or subsequent application for licensure; and (2) approve the time scheduling of regular meals and snacks in residential care homes.
- (e) In accordance with section 19a-36 of the general statutes, the Commissioner of Public Health shall amend the Public Health Code in conformity with the provisions of this section.
- 977 Sec. 521. Section 19a-80f of the general statutes is repealed and the 978 following is substituted in lieu thereof (*Effective October 1, 2011*):
 - (a) As used in this section, "facility" means a child day care center, a group day care home and a family day care home, as defined in section 19a-77, and a youth camp, as defined in section 19a-420.
 - (b) Notwithstanding any provision of the general statutes, the Commissioner of Children and Families, or the commissioner's designee, shall provide to the Department of Public Health all records concerning reports and investigations of [suspected] child abuse or neglect that have been reported to, or are being investigated by, the Department of Children and Families pursuant to section 17a-101g, including records of any administrative hearing held pursuant to section 17a-101k: (1) Occurring at any facility, and (2) by any staff member or licensee of any facility and by any household member of any family day care home, as defined in section 19a-77, irrespective of

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where the abuse or neglect occurred.

(c) The Department of Children and Families and the Department of Public Health shall jointly investigate reports of abuse or neglect occurring at any facility. All information, records and reports concerning such investigation shall be shared between agencies as part of the investigative process.

- (d) The Commissioner of Public Health shall compile a listing of allegations of violations that have been substantiated by the Department of Public Health concerning a facility during the prior three-year period. The Commissioner of Public Health shall disclose information contained in the listing to any person who requests it, provided the information <u>may be disclosed pursuant to sections 17a-101g and 17a-101k and</u> does not identify children or family members of those children.
- (e) Notwithstanding any provision of the general statutes, when the Commissioner of Children and Families has made a finding substantiating abuse or neglect: (1) That occurred at a facility, or (2) by any staff member or licensee of any facility, or by any household member of any family day care home and such finding is included on the state child abuse or neglect registry, maintained by the Department of Children and Families pursuant to section 17a-101k, such finding may be included in the listing compiled by the Department of Public Health pursuant to subsection (d) of this section and may be disclosed to the public by the Department of Public Health.
 - (f) Notwithstanding any provision of the general statutes, when the Commissioner of Children and Families, pursuant to section 17a-101j, has notified the Department of Public Health of [suspected] a recommended finding of child abuse or neglect at a facility and if such child abuse or neglect resulted in or involves (1) the death of a child; (2) the risk of serious physical injury or emotional harm of a child; (3) the serious physical harm of a child; (4) the arrest of a person due to abuse or neglect of a child; (5) a petition filed by the Commissioner of

1024 Children and Families pursuant to section 17a-112 or 46b-129; or (6) 1025 sexual abuse of a child, the Commissioner of Public Health may 1026 include [a] such finding of child abuse or neglect in the listing under 1027 subsection (d) of this section and may disclose such finding to the 1028 public. [If the] The Commissioner of Children and Families, or the 1029 commissioner's designee, [notifies] shall immediately notify the Commissioner of Public Health [that] when such child abuse or neglect 1030 1031 [was] is not substantiated after an investigation [or] has been 1032 completed pursuant to subsection (b) of section 17a-101g or a 1033 recommended finding of child abuse or neglect is reversed after a 1034 hearing or appeal [, the] conducted in accordance with the provisions 1035 of section 17a-101k. The Commissioner of Public Health shall 1036 immediately remove such information from the listing and shall not 1037 further disclose any such information to the public.

- (g) Notwithstanding any provision of the general statutes, all records provided by the Commissioner of Children and Families, or the commissioner's designee, to the Department of Public Health regarding child abuse or neglect occurring at any facility, may be utilized in an administrative proceeding or court proceeding relative to facility licensing. In any such proceeding, such records shall be confidential, except as provided by the provisions of section 4-177c, and such records shall not be subject to disclosure pursuant to section 1-210.
- Sec. 522. Section 16a-27 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective from passage*):
- 1049 (a) The secretary, after consultation with all appropriate state, 1050 regional and local agencies and other appropriate persons, shall, prior 1051 to March 1, 2012, complete a revision of the existing plan and enlarge it 1052 to include, but not be limited to, policies relating to transportation, 1053 energy and air. Any revision made after May 15, 1991, shall identify 1054 the major transportation proposals, including proposals for mass 1055 transit, contained in the master transportation plan prepared pursuant 1056 to section 13b-15. Any revision made after July 1, 1995, shall take into

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consideration the conservation and development of greenways that have been designated by municipalities and shall recommend that state agencies coordinate their efforts to support the development of a state-wide greenways system. The Commissioner of Environmental Protection shall identify state-owned land for inclusion in the plan as potential components of a state greenways system.

- (b) Any revision made after August 20, 2003, shall take into account (1) economic and community development needs and patterns of commerce, and (2) linkages of affordable housing objectives and land use objectives with transportation systems.
- (c) Any revision made after March 1, 2006, shall (1) take into consideration risks associated with natural hazards, including, but not limited to, flooding, high winds and wildfires; (2) identify the potential impacts of natural hazards on infrastructure and property; and (3) make recommendations for the siting of future infrastructure and property development to minimize the use of areas prone to natural hazards, including, but not limited to, flooding, high winds and wildfires.
- (d) Any revision made after July 1, 2005, shall describe the progress towards achievement of the goals and objectives established in the previously adopted state plan of conservation and development and shall identify (1) areas where it is prudent and feasible (A) to have compact, transit accessible, pedestrian-oriented mixed-use development patterns and land reuse, and (B) to promote such development patterns and land reuse, (2) priority funding areas designated under section 16a-35c, and (3) corridor management areas on either side of a limited access highway or a rail line. In designating corridor management areas, the secretary shall make recommendations that (A) promote land use and transportation options to reduce the growth of traffic congestion; (B) connect infrastructure and other development decisions; (C) promote development that minimizes the cost of new infrastructure facilities and maximizes the use of existing infrastructure facilities; and (D)

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- increase intermunicipal and regional cooperation.
- 1091 (e) Any revision made after October 1, 2008, shall (1) for each policy 1092 recommended (A) assign a priority; (B) estimate funding for 1093 implementation and identify potential funding sources; (C) identify 1094 each entity responsible for implementation; and (D) establish a 1095 schedule for implementation; and (2) for each growth management 1096 principle, determine three benchmarks to measure progress in 1097 implementation of the principles, one of which shall be a financial 1098 benchmark.
- 1099 (f) Any revision made after October 1, 2009, shall take into consideration the protection and preservation of Connecticut Heritage 1101 Areas.
- 1102 (g) Any revision made after December 1, 2011, shall take into
 1103 consideration (1) the state water supply and resource policies
 1104 established in sections 22a-380 and 25-33c, and (2) the list prepared by
 1105 the Commissioner of Public Health pursuant to section 502 of this act.
- 1106 [(g)] (h) Thereafter on or before March first in each revision year the 1107 secretary shall complete a revision of the plan of conservation and 1108 development.
- 1109 Sec. 523. (NEW) (Effective from passage) Not later than October 31, 1110 2011, the Commissioner of Public Health, in consultation with the 1111 Water Planning Council established pursuant to section 25-330 of the 1112 general statutes, shall prepare a list designating sources or potential 1113 sources of water that require protection so that the highest quality 1114 sources of water are available to provide water for human 1115 consumption. In preparing such list, the commissioner shall take into 1116 consideration the plans produced pursuant to sections 22a-352, 25-32d 1117 and 25-33h of the general statutes and such other plans or information 1118 that the commissioner deems relevant. The commissioner shall update 1119 the list annually or more frequently as the commissioner deems 1120 necessary. Nothing in this section shall be construed to limit the 1121 commissioner's authority to approve a source of water supply that is

- 1122 not on the list.
- 1123 Sec. 524. Section 21a-137 of the general statutes is repealed and the 1124 following is substituted in lieu thereof (*Effective October 1, 2011*):
- 1125 A fee of one hundred fifty dollars shall accompany each application 1126 for the license provided for in section 21a-136. Each such license shall 1127 expire annually. Such license shall be in such form as the 1128 [commissioner] Commissioner of Consumer Protection determines and 1129 shall be kept exposed to view in a conspicuous place upon the 1130 premises where such business is conducted or carried on. All fees 1131 received for such licenses shall be paid by the commissioner to the 1132 State Treasurer. No person, firm, [or] corporation or distributor shall 1133 sell, [or] offer for sale or distribute within the state any beverages 1134 manufactured or bottled beyond the boundaries of the state unless 1135 such person, firm, [or] corporation or distributor has made application 1136 for and secured a license from said commissioner upon the payment of 1137 one hundred fifty dollars, and no such license shall be issued by said 1138 commissioner until such establishment has been inspected by him or 1139 his agent or until such establishment has furnished said commissioner 1140 a certificate from the commission having the enforcement of the 1141 beverage law in the state where such establishment is located that such 1142 establishment complies in every respect with the requirements of the 1143 Connecticut beverage law. The provisions of this section shall not 1144 apply to out-of-state manufacturers, bottlers or distributors of malt 1145 and cereal drinks, grape juice, lime juice, fruit-flavored syrups, 1146 powders or mixtures, concentrated fruit juices or fruit and vegetable 1147 juices.
- 1148 Sec. 525. Section 21a-138 of the general statutes is repealed and the 1149 following is substituted in lieu thereof (*Effective October 1, 2011*):
- 1150 The [commissioner] Commissioner of Consumer Protection, after 1151 hearing, of the time and place of which reasonable notice shall have 1152 been given, may suspend or revoke any such license for any of the 1153 following causes: The use of any polluted water; for bottled water

1154 obtained from a source located in the state, the failure to [use a source 1155 approved by obtain approval for the use of such source from the Department of Public Health; for bottled water obtained from a source 1156 1157 located out-of-state, the failure to obtain approval for the use of such 1158 source from the government entities having jurisdiction to regulate the 1159 use of such source; failure to conduct such business in a sanitary place 1160 and under sanitary conditions; the use of any ingredient impure or 1161 injurious to health; a conviction for a violation of the federal law in 1162 relation to intoxicating liquors or any state liquor control act; failure to 1163 comply with the provisions of this part, as amended by this act, part III of this chapter, as amended by this act, and chapters 416, 417 and 430, 1164 1165 relating to the manufacture of pure foods, so far as the same may 1166 apply to the provisions of this part, or failure to comply with any order 1167 of the commissioner under the provisions of this part. No person, 1168 during any period when his license is suspended or revoked, shall 1169 manufacture any beverage or sell or offer for sale any beverage 1170 previously manufactured by him. No person shall sell any beverage 1171 from open containers.

- Sec. 526. Section 21a-150 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective October 1, 2011*):
- For the purposes of this section and sections 21a-150a to 21a-150j, inclusive, as amended by this act:
- 1176 (1) "Approved laboratory" means a laboratory registered by the
 1177 Department of Public Health pursuant to section 19a-29a or certified
 1178 by the United States Environmental Protection Agency to analyze
 1179 drinking water;
- [(1)] (2) "Approved source" means the source of any bottled water, including, but not limited to, a spring, artesian well, drilled well or public water supply, [which] that, for a source located in the state, has been inspected and approved by the Department of Public Health, or for a source located out-of-state, has been inspected and approved by the government entities having jurisdiction to regulate the use of such

1186 out-of-state source;

- 1187 [(2)] (3) "Artesian well water" means bottled natural water obtained 1188 from a well tapping an aquifer in which the level of the water is above 1189 the bottom of the confining bed of the aquifer and in which the 1190 hydraulic pressure of the water in the aquifer is greater than the 1191 atmospheric pressure;
- 1192 [(3)] (4) "Bottled water", or any term of similar import, means water 1193 obtained from an approved source [which] that is packaged for sale or 1194 distribution. "Bottled water" shall not include any soda or seltzer 1195 [which] that is packaged for sale or distribution;
- 1196 [(4)] (5) "Bottler" means any person, firm or corporation engaging in 1197 the business of bottling or distributing water for sale or distribution;
- 1198 [(5)] (6) "Distilled water" means purified water [which] that has been 1199 produced by a process of distillation;
- 1200 [(6)] (7) "Drinking water" means bottled water [which] that has been 1201 distilled, fluoridated or purified or [which] that has been disinfected 1202 by a process of ozonation and filtration or any substantially similar 1203 disinfection process;
 - [(7)] (8) "Fluoridated water" means bottled water [which] that contains fluoride ions in an amount not less than eight-tenths of one milligram per liter and not more than one and two-tenths milligrams per liter or such alternative concentration limit as the Commissioner of Consumer Protection, with the advice and assistance of the Commissioner of Public Health, may determine by regulations adopted in accordance with the provisions of chapter 54 and [which] that otherwise complies with the provisions of [Subdivision 2 of Subsection (d) of 21 Code of Federal Regulations 103.35 Subsections (b), (c) and (d) of 21 CFR 165.110;
- 1214 [(8)] (9) "Mineral water" means natural water [which] that contains 1215 not less than five hundred parts per million total dissolved solids;

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[(9)] (10) "Natural water" means bottled spring water, artesian well water or well water, [which] that has been obtained from any approved source other than a public water supply and [which] that has not been modified by blending with water from any other source or by the addition or deletion of any mineral other than any addition or deletion [which] that may occur as a result of ozonation, filtration or any other substantially similar disinfection process;

- [(10)] (11) "Principal display panel" means the portion of a label on any container or package [which] that is most likely to be displayed, presented or examined under normal and customary conditions of display and purchase of bottled water;
- 1227 [(11)] (12) "Public water supply" means any individual, partnership, 1228 association, corporation, municipality or other entity, or the lessee 1229 thereof, [which] that owns, maintains, operates, manages, controls or 1230 employs any pond, lake, reservoir, well, stream or distributing plant or 1231 system for the purpose of supplying water by service connections or 1232 pipe distribution systems to two or more hotels, motels, boardinghouses, apartments, stores, office buildings, institutions, 1233 1234 mechanical or manufacturing establishments or other places of 1235 business or industry to which water is supplied by a water company or 1236 to twenty-five or more persons on a regular basis;
- [(12)] (13) "Purified water" means bottled water [which] that is produced by distillation, deionization, reverse osmosis or any other suitable process and [which] that meets standards established for purified water in the twentieth edition of the United States Pharmacopoeia;
- [(13)] (14) "Spring water" means natural water obtained from an underground formation from which water flows naturally to the surface of the earth; and
- [(14)] (15) "Well water" means natural water obtained from a hole bored, drilled or otherwise constructed in the ground, [which] that taps the water of an aquifer.

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Sec. 527. Section 21a-150a of the general statutes is repealed and the following is substituted in lieu thereof (*Effective October 1, 2011*):

- (a) [Water bottled for sale or distribution shall be obtained from a source approved by the Department of Public Health] (1) Bottled water sold or distributed in the state shall be obtained from an approved source.
- 1254 (2) A bottler selling or distributing bottled water obtained from a 1255 source located in the state shall obtain approval for the use of such 1256 source from the Department of Public Health. The Department of 1257 Public Health shall inspect each bottled water source located in the 1258 state and, if such source meets quality and safety requirements, issue 1259 an approval for such source. An approval issued by the Department of 1260 Public Health pursuant to this subsection shall expire three years from 1261 the date of issue.
- 1262 (3) A bottler selling or distributing bottled water obtained from a 1263 source located out-of-state shall submit to the Commissioner of 1264 Consumer Protection a copy of a current license or approval for the use of such source from each government entity having jurisdiction to 1265 1266 regulate the use of the source (A) when applying or reapplying for a 1267 license issued pursuant to section 21a-136, (B) upon substantial 1268 modification of the source or source treatment, or (C) upon the 1269 addition of a new source.
- 1270 (b) No bottled water shall be sold or distributed which does not 1271 comply with [regulations adopted by the Department of Public Health 1272 pursuant to section 19a-36 establishing maximum contaminant levels, 1273 action levels and monitoring procedures for public drinking water, 1274 except that mineral water may be sold or distributed which contains 1275 total dissolved solids in excess of the standard set forth in any such 1276 regulations] the quality standards set forth in 21 CFR 165.110 and 21 1277 USC 342.
- 1278 (c) A bottler shall be subject to the provisions of sections 21a-135 to 21a-145, inclusive, as amended by this act.

Sec. 528. Section 21a-150b of the general statutes is repealed and the following is substituted in lieu thereof (*Effective October 1, 2011*):

- 1282 (a) Qualified employees of a bottler shall collect samples of water 1283 from each approved source used by such bottler not less than once 1284 annually to test for contaminants for which [maximum] allowable 1285 levels have been established in accordance with [regulations adopted 1286 pursuant to section 19a-36, concerning public drinking water, 21 CFR 1287 165.110 and regulations adopted pursuant to sections 21a-150 to 21a-1288 150j, inclusive, as amended by this act, and not less than once every 1289 three years to test for contaminants for which monitoring is required 1290 pursuant to sections 21a-150 to 21a-150j, inclusive, as amended by this 1291 act, but for which no [maximum] allowable level has been established. 1292 Qualified employees of [a] an approved laboratory [approved by the 1293 Department of Public Health] shall analyze such samples to determine 1294 whether such source complies with the provisions of sections 21a-150 1295 to 21a-150j, inclusive, as amended by this act, any regulation adopted 1296 pursuant to said sections and any [maximum] allowable contaminant 1297 level set forth in [regulations adopted pursuant to said section 19a-36, 1298 concerning public drinking water 21 CFR 165.110. Microbiological 1299 analysis shall be conducted not less than once each calendar quarter if 1300 the source of such water is other than a public water supply and shall 1301 be in addition to any sampling and analysis conducted by any 1302 government agency or laboratory.
 - (b) Qualified employees of a bottler shall collect samples of water from any source used by such bottler when such bottler knows or has reason to believe that water obtained from such source contains an unregulated contaminant in an amount which may adversely affect the health or welfare of the public. Qualified employees of [a] an approved laboratory [approved by the Department of Public Health] shall analyze such samples periodically to determine whether water obtained from any such source is safe for public consumption or use.
- Sec. 529. Section 21a-150c of the general statutes is repealed and the following is substituted in lieu thereof (*Effective October 1, 2011*):

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- 1313 (a) Each bottler shall:
- (1) Collect, on a weekly basis, a representative sample from a batch
- or segment of a continuous production of each type of water sold by
- 1316 such bottler in this state, and have such sample analyzed by [a] an
- 1317 <u>approved</u> laboratory [approved by the Department of Public Health]
- to determine whether such sample complies with the microbiological
- 1319 standards set forth in [regulations adopted by the Department of
- 1320 Public Health pursuant to section 19a-36 concerning public drinking
- 1321 water] 21 CFR 165.110; and
- 1322 (2) Collect, not less than once annually, a representative sample
- 1323 from a batch or segment of a continuous production of each type of
- bottled water sold by such bottler in this state, and have such sample
- analyzed by [a] an approved laboratory [approved by the Department
- of Public Health to determine whether such sample complies with the
- 1327 chemical, inorganic, organic, physical and radiological standards set
- 1328 forth in regulations adopted by the Department of Public Health
- 1329 pursuant to [said] section 19a-36 concerning public drinking water.
- Each bottler that uses water obtained from an out-of-state source may
- 1331 meet the requirements of this subdivision by demonstrating
- 1332 <u>compliance with substantially similar standards established by the</u>
- 1333 government entity having jurisdiction to regulate the use of such
- 1334 source.
- 1335 (b) Each sample collected in accordance with the provisions of
- 1336 subsection (a) of this section shall be obtained from the bottled
- 1337 product.
- 1338 Sec. 530. Section 21a-150d of the general statutes is repealed and the
- following is substituted in lieu thereof (*Effective October 1, 2011*):
- 1340 (a) A laboratory which analyzes any water sample in accordance
- with any provision of sections 21a-150 to 21a-150j, inclusive, as
- amended by this act, shall report the results of such analysis to the
- 1343 bottler of such water.

(b) Such results shall be available for inspection by the Department of Consumer Protection. [and the Department of Public Health, upon request.]

- (c) A bottler shall report any result which indicates that a water sample contains contaminants in an amount exceeding any <u>applicable</u> standard [set forth in any regulation adopted pursuant to sections 21a-150 to 21a-150j, inclusive, or in any regulation adopted pursuant to section 19a-36 concerning public drinking water,] to the Department of Consumer Protection [and the Department of Public Health, within] not later than twenty-four hours [of] <u>after</u> learning of such result.
- (d) All records of any sampling or analysis conducted in accordance with the provisions of sections 21a-150 to 21a-150j, inclusive, <u>as</u> amended by this act, shall be maintained on the premises of the bottler for not less than five years.
- Sec. 531. Section 21a-150f of the general statutes is repealed and the following is substituted in lieu thereof (*Effective October 1, 2011*):
- (a) A bottler shall process and package any water bottled for sale, in accordance with [the provisions of 21 Code of Federal Regulations Parts] 21 CFR 110, [and] 21 CFR 129 and any regulation adopted in accordance with the provisions of sections 21a-150 to 21a-150j, inclusive, as amended by this act.
- 1365 (b) No bottler shall process or bottle water using any line or 1366 equipment through which anything other than water from an approved [by the state] source is passed, except that a bottler who 1367 1368 bottles or processes water by using any such line or equipment, as of 1369 October 1, 1986, may continue to bottle water in such manner provided 1370 such bottled water complies with [regulations adopted by the 1371 Department of Public Health pursuant to section 19a-36 concerning 1372 public drinking water] the bottled water quality standards set forth in 1373 21 CFR 165.110 and 21 USC 342 and provided, in the event such bottler 1374 renovates [his] a bottling production process or expands [his] 1375 operations, such bottler shall establish a dedicated line for the

- 1376 processing of bottled water only.
- 1377 Sec. 532. Subsection (l) of section 21a-150h of the general statutes is
- 1378 repealed and the following is substituted in lieu thereof (Effective
- 1379 *October* 1, 2011):
- (l) Except as provided in subsection (k) of this section, a label which
- identifies any bottled water which is not spring water, as defined in
- [subdivision (10) of] section 21a-150, as amended by this act, shall not
- bear the words "spring", "spring fresh", "spring brand", "spring type" or
- any term of similar import.
- Sec. 533. Section 16-262m of the general statutes is repealed and the
- following is substituted in lieu thereof (*Effective October 1, 2011*):
- 1387 (a) As used in this section and section 8-25a, "water company"
- means a corporation, company, association, joint stock association,
- 1389 partnership, municipality, state agency, other entity or person, or
- lessee thereof, owning, leasing, maintaining, operating, managing or
- 1391 controlling any pond, lake, reservoir, stream, well or distributing plant
- or system employed for the purpose of supplying water to fifteen or
- more service connections or twenty-five or more persons for at least
- 1394 sixty days in any one year.
- (b) No water company may begin the construction of a water supply
- system for the purpose of supplying water to fifteen or more service
- 1397 connections or twenty-five or more persons for at least sixty days in
- 1398 any one year, and no person or entity, except a water company
- 1399 supplying more than two hundred fifty service connections or one
- 1400 thousand persons, may begin expansion of such a water supply
- 1401 system, without having first obtained a certificate of public
- 1402 convenience and necessity.
- 1403 (c) For systems serving twenty-five or more residents that are not
- the subject of proceedings under subsection (c) of section 16-262n or
- section 16-2620, an application for a certificate of public convenience
- 1406 and necessity shall be on a form prescribed by the Department of

1407 Public Utility Control, in consultation with the Department of Public 1408 Health, and accompanied by a copy of the applicant's construction or 1409 expansion plans, a fee of one hundred dollars and when an exclusive 1410 service area provider has been determined pursuant to section 25-33g, a copy of a signed ownership agreement between the applicant and 1412 provider for the exclusive service area, as determined pursuant to 1413 section 25-33g, detailing those terms and conditions under which the 1414 system will be constructed or expanded and for which the provider 1415 will assume service and ownership responsibilities. When an exclusive 1416 service area provider has been determined pursuant to section 25-33g, 1417 the application shall also be accompanied by a written confirmation 1418 from the exclusive service area provider, as the person that will own 1419 the water supply system, that such exclusive service area provider has 1420 received the application and is prepared to assume responsibility for the water supply system subject to the terms and conditions of the 1422 ownership agreement. Written confirmation from the exclusive service 1423 area provider shall be on a form prescribed by said departments. Said 1424 departments shall issue a certificate to an applicant upon determining, 1425 to their satisfaction, that (1) no interconnection is feasible with a water system owned by, or made available through arrangement with, the 1427 provider for the exclusive service area, as determined pursuant to 1428 section 25-33g or with another existing water system where no 1429 exclusive service area has been assigned, (2) the applicant will 1430 complete the construction or expansion in accordance with engineering standards established by regulation by the Department of 1432 Public Utility Control for water supply systems, (3) ownership of the 1433 system will be assigned to the provider for the exclusive service area, 1434 when an exclusive service area provider has been determined pursuant to section 25-33g, (4) the proposed construction or expansion 1436 will not result in a duplication of water service in the applicable 1437 service area, (5) the applicant meets all federal and state standards for 1438 water supply systems, [and] (6) the person that will own the water 1439 supply system has the financial, managerial and technical resources to 1440 (A) operate the proposed water supply system in a reliable and 1441 efficient manner, and (B) provide continuous adequate service to

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1442 consumers served by the water supply system, (7) the proposed water 1443 supply system will not adversely affect the adequacy of nearby water 1444 supply systems, and (8) any existing or potential threat of pollution 1445 that the Department of Public Health deems to be adverse to public 1446 health will not affect any new source of water supply. Any 1447 construction or expansion with respect to which a certificate is 1448 required shall thereafter be built, maintained and operated in 1449 conformity with the certificate and any terms, limitations or conditions 1450 contained therein.

- (d) The Department of Public Utility Control and the Department of Public Health shall each adopt regulations, in accordance with the provisions of chapter 54, to carry out the purposes of subsections (a) to (c), inclusive, of this section.
- (e) (1) For systems serving twenty-five or more persons, but not twenty-five or more residents, at least sixty days in any one year an application for a certificate of public convenience and necessity shall be on a form prescribed by the Department of Public Health and accompanied by a copy of the construction or expansion plans. The Department of Public Health shall issue a certificate to an applicant upon determining, to its satisfaction, that (A) no interconnection is feasible with a water system owned by, or made available through arrangement with, the provider for the exclusive service area, as determined pursuant to section 25-33g or with another existing water system where no existing exclusive service area has been assigned, (B) the applicant will complete the construction or expansion in accordance with engineering standards established by regulation for water supply systems, (C) ownership of the system will be assigned to the provider for the exclusive service area, as determined pursuant to section 25-33g, if agreeable to the exclusive service area provider and the Department of Public Health, or may remain with the applicant, if agreeable to the Department of Public Health, until such time as the water system for the exclusive service area, as determined by section 25-33g, has made an extension of the water main, after which the applicant shall obtain service from the provider for the exclusive

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1476 service area, (D) the proposed construction or expansion will not result 1477 in a duplication of water service in the applicable service area, (E) the 1478 applicant meets all federal and state standards for water supply 1479 systems, [and] (F) the person that will own the water supply system 1480 has the financial, managerial and technical resources to (i) operate the 1481 proposed water supply system in a reliable and efficient manner, and 1482 (ii) provide continuous adequate service to consumers served by the 1483 water supply system, (G) the proposed water supply system will not 1484 adversely affect the adequacy of nearby water supply systems, and (H) 1485 any existing or potential threat of pollution that the Department of 1486 Public Health deems to be adverse to public health will not affect any 1487 new source of water supply. Any construction or expansion with respect to which a certificate is required shall thereafter be built, 1488 1489 maintained and operated in conformity with the certificate and any 1490 terms, limitation or conditions contained therein. Properties held by 1491 the Department of Environmental Protection and used for or in 1492 support of fish culture, natural resource conservation or outdoor 1493 recreational purposes shall be exempt from the requirements of 1494 subdivisions (1), (3) and (4) of subsection (c) of this section and 1495 subparagraphs (A), (C) and (D) of subdivision (1) of subsection (e) of 1496 this section.

- (2) The Department of Public Health shall adopt regulations, in accordance with the provisions of chapter 54, to carry out the purposes of this subsection. Such regulations may include measures that encourage water conservation and proper maintenance.
- Sec. 534. Section 25-33k of the general statutes is repealed and the following is substituted in lieu thereof (*Effective October 1, 2011*):
- (a) For purposes of this section, "safe yield" means the maximum dependable quantity of water per unit of time that may flow or be pumped continuously from a source of supply during a critical dry period without consideration of available water limitations.
- 1507 (b) No source of water supply shall be abandoned by a water

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company or other entity without a permit from the Commissioner of Public Health. A water company or other entity shall apply for such permit in the manner prescribed by the commissioner. Not later than thirty days before filing an application for such permit, the applicant shall notify the chief elected official of any municipality and any local <u>health department or district</u> in which such source of supply is located. Not later than sixty days after receipt of such notification, the municipality or municipalities and local health departments or districts receiving such notice, and any water company as defined in section 25-32a, may submit comments on such application to the commissioner. The commissioner shall take such comments into consideration when reviewing the application.

- (c) (1) In [the commissioner's decision] <u>determining whether to approve an application</u>, the commissioner shall (A) consider the water supply needs of the water company, the state and any comments submitted pursuant to subsection (b) of this section, and [shall] (B) consult with the Commissioner of Environmental Protection, the Secretary of the Office of Policy and Management and the Department of Public Utility Control. The Commissioner of Public Health shall not be required to make a consultation pursuant to subparagraph (B) of this subdivision if the commissioner determines the source of water supply to be abandoned is a groundwater source with a safe yield of less than ten gallons per minute and is of poor water quality.
- (2) The Commissioner of Public Health shall grant a permit upon a finding that any groundwater source with a safe yield of less than 0.75 millions of gallons per day, any reservoir with a safe yield of less than 0.75 millions of gallons per day, any reservoir system with a safe yield of less than 0.75 millions of gallons per day, or any individual source within a reservoir system when such system has a safe yield of less than 0.75 millions of gallons per day will not be needed by such water company for present or future water supply and, in the case of a water company required to file a water supply plan under section 25-32d, that such abandonment is consistent with a water supply plan filed and approved pursuant to said section. No permit shall be granted if

the commissioner determines that the source would be necessary for water supply by the company owning such source in an emergency or the proposed abandonment would impair the ability of such company to provide a pure, adequate and reliable water supply for present and projected future customers. As used in this section, a future source of water supply shall be considered to be any source of water supply necessary to serve areas reasonably expected to require service by the water company owning such source for a period of not more than fifty years after the date of the application for a permit under this section.

(3) The Commissioner of Public Health shall grant a permit upon a finding that any groundwater source with a safe yield of more than 0.75 millions of gallons per day, any reservoir with a safe yield of more than 0.75 millions of gallons per day, any reservoir system with a safe yield of more than 0.75 millions of gallons per day, or any individual source within a reservoir system when such system has a safe yield of more than 0.75 millions of gallons per day is of a size or condition that makes it unsuitable for present or future use as a drinking water supply by the water company, other entity or the state. In making a decision, the commissioner shall consider the general utility of the source and the viability for use to meet water supply needs. The commissioner shall consider any public water supply plans filed and approved pursuant to sections 25-32d and 25-33h, and any other water system plan approved by the commissioner, and the efficient and effective development of public water supply in the state. In assessing the general utility of the source, the commissioner shall consider factors including, but not limited to, (A) the safe yield of the source, (B) the location of the source relative to other public water supply systems, (C) the water quality of the source and the potential for treatment, (D) water quality compatibility between systems and interconnections, (E) extent of water company-owned lands for source protection of the supply, (F) types of land uses and land use controls in the aquifer protection area or watershed and their potential impact on water quality of the source, and (G) physical limitations to water service, system hydraulics and topography.

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Sec. 535. Subsection (n) of section 25-32 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective* 1578 *July 1, 2011*):

(n) (1) On and after the effective date of regulations adopted under this subsection, no person may operate any water treatment plant, [or] water distribution system or small water system that treats or supplies water used or intended for use by the public, test any backflow prevention device, or perform a cross connection survey without a certificate issued by the commissioner under this subsection. The commissioner shall adopt regulations, in accordance with chapter 54, to provide: (A) Standards for the operation of such water treatment plants, [and] water distribution systems and small water systems; (B) standards and procedures for the issuance of certificates to operators of such water treatment plants, [and] water distribution systems and small water systems; (C) procedures for the renewal of such certificates every three years; (D) standards for training required for the issuance or renewal of a certificate; and (E) standards and procedures for the issuance and renewal of certificates to persons who test backflow prevention devices or perform cross connection surveys. Such regulations shall be consistent with applicable federal law and guidelines for operator certification programs promulgated by the United States Environmental Protection Agency. [, and shall be adopted and filed with the Secretary of the State pursuant to section 4-172 not later than February 1, 2001] For purposes of this subsection, "small water system" means a public water system, as defined in section 25-33d, that serves less than one thousand persons and has no treatment or has only treatment that does not require any chemical treatment, process adjustment, backwashing or media regeneration by an operator.

(2) The commissioner may take any disciplinary action set forth in section 19a-17, except for the assessment of a civil penalty under subdivision (6) of subsection (a) of section 19a-17, against an operator, a person who tests backflow prevention devices or a person who performs cross connection surveys holding a certificate issued under

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this subsection for any of the following reasons: (A) Fraud or material deception in procuring a certificate, the renewal of a certificate or the reinstatement of a certificate; (B) fraud or material deception in the performance of the certified operator's professional activities; (C) incompetent, negligent or illegal performance of the certified operator's professional activities; (D) conviction of the certified operator for a felony; or (E) failure of the certified operator to complete the training required under subdivision (1) of this subsection.

- (3) The commissioner may issue an initial certificate to perform a function set forth in subdivision (1) of this subsection upon receipt of a completed application, in a form prescribed by the commissioner, together with an application fee as follows: (A) For a water treatment plant, water distribution system or small water system operator certificate, two hundred twenty-four dollars; (B) for a backflow prevention device tester certificate, one hundred fifty-four dollars; and (C) for a cross-connection survey inspector certificate, one hundred fifty-four dollars. A certificate issued pursuant to this subdivision shall expire three years from the date of issuance unless renewed by the certificate holder prior to such expiration date. The commissioner may renew a certificate for an additional three years upon receipt of a completed renewal application, in a form prescribed by the commissioner, together with a renewal application fee as follows: (i) For a water treatment plant, water distribution system or small water system operator certificate, ninety-eight dollars; (ii) for a backflow prevention device tester certificate, sixty-nine dollars; and (iii) for a cross-connection survey inspector certificate, sixty-nine dollars.
- Sec. 536. Section 19a-37 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective October 1, 2011*):
- (a) The Commissioner of Public Health may adopt regulations in the Public Health Code for the preservation of the public health pertaining to (1) protection and location of new water supply wells or springs for residential construction or for public or semipublic use, and (2) inspection for compliance with the provisions of municipal regulations

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(b) The Commissioner of Public Health shall adopt regulations, in accordance with chapter 54, for the testing of water quality in private residential wells. Any laboratory or firm which conducts a water quality test on a private well serving a residential property shall, [within] not later than thirty days [of] after the completion of such test, [shall] report the results of such test to (1) the public health authority of the municipality where the property is located, and (2) the Department of Public Health in a format specified by the department, provided such report shall not be required if the party for whom the laboratory or firm conducted such test informs the laboratory or firm that the test was not conducted within six months of the sale of such property. No regulation may require such a test to be conducted as a consequence or a condition of the sale, exchange, transfer, purchase or rental of the real property on which the private residential well is located. For purposes of this section, "laboratory or firm" means an environmental laboratory registered by the Department of Public Health pursuant to section 19a-29a.

(c) Prior to the sale, exchange, purchase, transfer or rental of real property on which a residential well is located, the owner shall provide the buyer or tenant notice that educational material concerning private well testing is available on the Department of Public Health web site. Failure to provide such notice shall not invalidate any sale, exchange, purchase, transfer or rental of real property. If the seller or landlord provides such notice in writing, the seller or landlord and any real estate licensee shall be deemed to have fully satisfied any duty to notify the buyer or tenant that the subject real property is located in an area for which there are reasonable grounds for testing under subsection (f) or (i) of this section.

[(c)] (d) The Commissioner of Public Health shall adopt regulations, in accordance with chapter 54, to clarify the criteria under which the commissioner may issue a well permit exception [may be granted] and to describe the terms and conditions that shall be imposed when a well

is allowed at a premises (1) that is connected to a public water supply system, or (2) whose boundary is located within two hundred feet of an approved community water supply system, measured along a street, alley or easement. Such regulations shall (A) provide for notification of the permit to the public water supplier, (B) address the quality of the water supplied from the well, the means and extent to which the well shall not be interconnected with the public water supply, the need for a physical separation, and the installation of a reduced pressure device for backflow prevention, the inspection and testing requirements of any such reduced pressure device, and (C) identify the extent and frequency of water quality testing required for the well supply.

[(d)] (e) No regulation may require that a certificate of occupancy for a dwelling unit on such residential property be withheld or revoked on the basis of a water quality test performed on a private residential well pursuant to this section, unless such test results indicate that any maximum contaminant level applicable to public water supply systems for any contaminant listed in the public health code has been exceeded. No administrative agency, health district or municipal health officer may withhold or cause to be withheld such a certificate of occupancy except as provided in this section.

[(e) No regulation may require the water in private residential wells to be tested for alachlor, atrazine, dicamba, ethylene dibromide (EDB), metolachlor, simazine or 2,4-D or any other herbicide or insecticide unless (1) results from a prior water test indicate a nitrate concentration at or greater than ten milligrams per liter and (2) the local director of health has reasonable grounds to suspect such chemical or chemicals are present in said residential well. For the purposes of this subsection, "reasonable grounds" includes, but is not limited to, the proximity of the particular water supply system to past or present agricultural uses of land.]

1707 <u>(f) The local director of health may require a private residential well</u> 1708 <u>to be tested for radionuclides when there are reasonable grounds to</u>

suspect that such contaminants are present in the groundwater. For purposes of this subsection, "reasonable grounds" means (1) the existence of a geological area known to have naturally occurring radionuclide deposits in the bedrock; or (2) the well is located in an area in which it is known that radionuclides are present in the groundwater.

- (g) Except as provided in subsection (h) of this section, the collection of samples for determining the water quality of private residential wells may be made only by (1) employees of a laboratory or firm certified or approved by the Department of Public Health to test drinking water, if such employees have been trained in sample collection techniques, (2) certified water operators, (3) local health departments and state employees trained in sample collection techniques, or (4) individuals with training and experience that the Department of Public Health deems sufficient.
- [(f)] (h) Any owner of a residential construction, including, but not limited to, a homeowner, on which a private residential well is located or any general contractor of a new residential construction on which a private residential well is located may collect samples of well water for submission to a laboratory or firm for the purposes of testing water quality pursuant to this section, provided (1) such laboratory or firm [finds] has provided instructions to said owner or general contractor [to be qualified] on how to collect such [sample] samples, and (2) such owner or general contractor is identified to the subsequent owner on a form to be prescribed by the Department of Public Health. No regulation may prohibit or impede such collection or analysis.
- [(g) No regulation may require the water in private residential wells to be tested for organic chemicals unless the local director of health has reasonable grounds to suspect such organic chemicals are present in said residential well. For purposes of this subsection, "reasonable grounds" means any indication, derived from a phase I environmental site assessment or otherwise, that the particular water supply system that is to be tested exists on land or in proximity to land associated

with the past or present production, storage, use or disposal of organic chemicals.

- (h) The amendments to sections 19-13-B51*l* and 19-13-B101 of the regulations of Connecticut state agencies that became effective December 30, 1996, shall be waived for those residential wells which were not tested in accordance with said amendments between December 30, 1996, and July 8, 1997.]
- 1749 (i) The local director of health may require private residential wells 1750 to be tested for pesticides, herbicides or organic chemicals when there 1751 are reasonable grounds to suspect that any such contaminants might 1752 be present in the groundwater. For purposes of this subsection, 1753 "reasonable grounds" means (1) the presence of nitrate-nitrogen in the 1754 groundwater at a concentration greater than ten milligrams per liter, or 1755 (2) that the private residential well is located on land, or in proximity 1756 to land, associated with the past or present production, storage, use or 1757 disposal of organic chemicals as identified in any public record.
- Sec. 537. Section 20-222 of the general statutes is amended by adding subsection (h) as follows (*Effective July 1, 2011*):
- 1760 (NEW) (h) Notwithstanding the provisions of this section, a funeral 1761 services business that has been issued an inspection certificate may 1762 operate a single satellite office for the sole purpose of meeting with 1763 clients to make arrangements for cremation services. No other funeral 1764 service business activities may be conducted at such a satellite office. 1765 Any person, firm, partnership or corporation seeking to add a satellite 1766 office shall provide thirty days' advance written notice to the 1767 Department of Public Health on a form prescribed by the department. 1768 Any authorized satellite office shall be open at all times for inspection 1769 by the department. The department may inspect any such satellite 1770 office whenever the department deems it advisable. All records 1771 pertaining to arrangements made at the satellite office shall be 1772 maintained at the address of record of the funeral service business as 1773 identified on the certificate of inspection. Failure to comply with the

provisions of this section may constitute grounds for disciplinary action under section 20-227.

- Sec. 538. Section 19a-750 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective July 1, 2011*):
- 1778 (a) There is hereby created as a body politic and corporate, 1779 constituting a public instrumentality and political subdivision of the 1780 state created for the performance of an essential public and 1781 governmental function, the Health Information Technology Exchange 1782 of Connecticut, which is empowered to carry out the purposes of the 1783 authority, as defined in subsection (b) of this section, which are hereby 1784 determined to be public purposes for which public funds may be 1785 expended. The Health Information Technology Exchange of 1786 Connecticut shall not be construed to be a department, institution or 1787 agency of the state.
- 1788 (b) For purposes of this section and sections 19a-751 to 19a-754, 1789 inclusive, "authority" means the Health Information Technology 1790 Exchange of Connecticut and "purposes of the authority" means the 1791 purposes of the authority expressed in and pursuant to this section, 1792 including the promoting, planning and designing, developing, 1793 acquiring, constructing, maintaining and equipping, assisting, 1794 reconstructing and improving of health care information technology. 1795 The powers enumerated in this section shall be interpreted broadly to 1796 effectuate the purposes of the authority and shall not be construed as a 1797 limitation of powers. The authority shall have the power to:
- 1798 (1) Establish an office in the state;
- 1799 (2) Employ such assistants, agents and other employees as may be 1800 necessary or desirable, which employees shall be exempt from the 1801 classified service and shall not be employees, as defined in subsection 1802 (b) of section 5-270;
- 1803 (3) Establish all necessary or appropriate personnel practices and policies, including those relating to hiring, promotion, compensation,

retirement and collective bargaining, which need not be in accordance with chapter 68, and the authority shall not be an employer, as defined in subsection (a) of section 5-270;

- 1808 (4) Engage consultants, attorneys and other experts as may be necessary or desirable to carry out the purposes of the authority;
- (5) Acquire, lease, purchase, own, manage, hold and dispose of personal property, and lease, convey or deal in or enter into agreements with respect to such property on any terms necessary or incidental to the carrying out of these purposes;
 - (6) Procure insurance against loss in connection with its property and other assets in such amounts and from such insurers as it deems desirable;
- 1817 (7) Make and enter into any contract or agreement necessary or incidental to the performance of its duties and execution of its powers.
 1819 The contracts entered into by the authority shall not be subject to the approval of any other state department, office or agency. However, copies of all contracts of the authority shall be maintained by the authority as public records, subject to the proprietary rights of any party to the contract;
 - (8) To the extent permitted under its contract with other persons, consent to any termination, modification, forgiveness or other change of any term of any contractual right, payment, royalty, contract or agreement of any kind to which the authority is a party;
- 1828 (9) Receive and accept, from any source, aid or contributions, 1829 including money, property, labor and other things of value;
- (10) Invest any funds not needed for immediate use or disbursement in obligations issued or guaranteed by the United States of America or the state and in obligations that are legal investments for savings banks in this state;
- 1834 (11) Account for and audit funds of the authority and funds of any

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- 1835 recipients of funds from the authority;
- 1836 (12) Sue and be sued, plead and be impleaded, adopt a seal and alter 1837 the same at pleasure;
- 1838 (13) Adopt regular procedures for exercising the power of the 1839 authority not in conflict with other provisions of the general statutes; 1840 and
- 1841 (14) Do all acts and things necessary and convenient to carry out the purposes of the authority.
- 1843 (c) (1) The Health Information Technology Exchange of Connecticut 1844 shall be managed by a board of directors. The board shall consist of the 1845 following members: The Lieutenant Governor, or his or her designee; 1846 the Commissioners of Public Health, Social Services and Consumer 1847 Protection, or their designees; the Chief Information Officer of the 1848 Department of Information Technology, or his or her designee; three 1849 appointed by the Governor, one of whom shall be a representative of a 1850 medical research organization, one of whom shall be an insurer or 1851 representative of a health plan and one of whom shall be an attorney 1852 with background and experience in the field of privacy, health data 1853 security or patient rights; three appointed by the president pro 1854 tempore of the Senate, one of whom shall have background and 1855 experience with a private sector health information exchange or health 1856 information technology entity, one of whom shall have expertise in 1857 public health and one of whom shall be a physician licensed under 1858 chapter 370 who works in a practice of not more than ten physicians 1859 and who is not employed by a hospital, health network, health plan, 1860 health system, academic institution or university; three appointed by 1861 the speaker of the House of Representatives, one of whom shall be a 1862 representative of hospitals, an integrated delivery network or a 1863 hospital association, one of whom shall have expertise with federally 1864 qualified health centers and one of whom shall be a consumer or 1865 consumer advocate; one appointed by the majority leader of the 1866 Senate, who shall be a primary care physician whose practice utilizes

1867 electronic health records; one appointed by the majority leader of the 1868 House of Representatives, who shall be a consumer or consumer 1869 advocate; one appointed by the minority leader of the Senate, who 1870 shall be a pharmacist or a health care provider utilizing electronic 1871 health information exchange; and one appointed by the minority 1872 leader of the House of Representatives, who shall be a large employer 1873 or a representative of a business group. The Secretary of the Office of 1874 Policy and Management and the Healthcare Advocate, or their 1875 designees, shall be ex-officio, nonvoting members of the board. The 1876 Commissioner of Public Health, or his or her designee, shall serve as 1877 the chairperson of the board.

- (2) All initial appointments to the board shall be made on or before October 1, 2010. The initial term for the board members appointed by the Governor shall be for four years. The initial term for board members appointed by the speaker of the House of Representatives and the majority leader of the House of Representatives shall be for three years. The initial term for board members appointed by the minority leader of the House of Representatives and the minority leader of the Senate shall be for two years. The initial term for the board members appointed by the president pro tempore of the Senate and the majority leader of the Senate shall be for one year. Terms shall expire on September thirtieth of each year in accordance with the provisions of this subsection. Any vacancy shall be filled by the appointing authority for the balance of the unexpired term. Other than an initial term, a board member shall serve for a term of four years. No board member, including initial board members, may serve for more than two terms. Any member of the board may be removed by the appropriate appointing authority for misfeasance, malfeasance or wilful neglect of duty.
- (3) The chairperson shall schedule the first meeting of the board, which shall be held not later than November 1, 2010.
- 1898 (4) Any member appointed to the board who fails to attend three consecutive meetings or who fails to attend fifty per cent of all 1899

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meetings held during any calendar year shall be deemed to have resigned from the board.

- (5) Notwithstanding any provision of the general statutes, it shall not constitute a conflict of interest for a trustee, director, partner, officer, stockholder, proprietor, counsel or employee of any person, firm or corporation to serve as a board member, provided such trustee, director, partner, officer, stockholder, proprietor, counsel or employee shall abstain from deliberation, action or vote by the board in specific respect to such person, firm or corporation. All members shall be deemed public officials and shall adhere to the code of ethics for public officials set forth in chapter 10.
- (6) Board members shall receive no compensation for their services, but shall receive actual and necessary expenses incurred in the performance of their official duties.
- (d) The board shall select and appoint a chief executive officer who shall be responsible for administering the authority's programs and activities in accordance with policies and objectives established by the board. The chief executive officer shall serve at the pleasure of the board and shall receive such compensation as shall be determined by the board. The chief executive officer (1) may employ such other employees as shall be designated by the board of directors; and (2) shall attend all meetings of the board, keep a record of all proceedings and maintain and be custodian of all books, documents and papers filed with the authority and of the minute book of the authority.
 - (e) The board shall direct the authority regarding: (1) Implementation and periodic revisions of the health information technology plan submitted in accordance with the provisions of section 74 of public act 09-232, including the implementation of an integrated state-wide electronic health information infrastructure for the sharing of electronic health information among health care facilities, health care professionals, public and private payors, state and federal agencies and patients; (2) appropriate protocols for health

1932 information exchange; and (3) electronic data standards to facilitate the 1933 development of a state-wide integrated electronic health information 1934 system, as defined in subsection (a) of section 19a-25d, for use by 1935 health care providers and institutions that receive state funding. Such 1936 electronic data standards shall: (A) Include provisions relating to 1937 security, privacy, data content, structures and format, vocabulary and 1938 transmission protocols; (B) limit the use and dissemination of an 1939 individual's Social Security number and require the encryption of any 1940 Social Security number provided by an individual; (C) require privacy 1941 standards no less stringent than the "Standards for Privacy of 1942 Individually Identifiable Health Information" established under the 1943 Health Insurance Portability and Accountability Act of 1996, P.L. 104-1944 191, as amended from time to time, and contained in 45 CFR 160, 164; 1945 (D) require that individually identifiable health information be secure 1946 and that access to such information be traceable by an electronic audit 1947 trail; (E) be compatible with any national data standards in order to 1948 allow for interstate interoperability, as defined in subsection (a) of 1949 section 19a-25d; (F) permit the collection of health information in a 1950 standard electronic format, as defined in subsection (a) of section 19a-1951 25d; and (G) be compatible with the requirements for an electronic 1952 health information system, as defined in subsection (a) of section 19a-1953 25d.

- (f) Applications for grants from the authority shall be made on a form prescribed by the board. The board shall review applications and decide whether to award a grant. The board may consider, as a condition for awarding a grant, the potential grantee's financial participation and any other factors it deems relevant.
- (g) The board may consult with such parties, public or private, as it deems desirable in exercising its duties under this section.
- (h) The board shall establish an advisory committee on patient privacy and security. All members of such advisory committee shall be appointed by the chairperson of the board, provided any such appointed member shall have expertise in the field of privacy, health

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data security or patient rights. Appointed members of the advisory committee shall include, but not be limited to, a representative from a nonprofit research and educational organization dedicated to improving access to health care, a representative from a patient advocacy group, an ethicist, an attorney with expertise in health information technology and the protections set forth in the Health Insurance Portability and Accountability Act of 1996, P.L. 104-191 (HIPAA), the chief information officer of a hospital, an insurer or representative of a health plan and a primary care physician, engaged in active practice, who utilizes electronic health records. The advisory committee shall monitor developments in federal law concerning patient privacy and security relating to health information technology and shall report to the board on national and regional trends and federal policies and guidance set forth in this area. The board shall include information supplied by the advisory committee in the report submitted by the board pursuant to subsection (i) of this section. The chairperson of the advisory committee shall be appointed by the Lieutenant Governor from among the membership.

[(h)] (i) Not later than February 1, 2011, and annually thereafter until February 1, 2016, the chief executive officer of the authority shall report, in accordance with section 11-4a, to the Governor and the General Assembly on (1) any private or federal funds received during the preceding year and, if applicable, how such funds were expended, (2) the amount and recipients of grants awarded, and (3) the current status of health information exchange and health information technology in the state.

Sec. 539. Subsection (c) of section 20-107 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective* 1993 *July 1*, 2011):

(c) Notwithstanding the provisions of subsections (a) and (b) of this section, the department may issue a license to practice dentistry to any applicant holding a diploma from a foreign dental school, provided the applicant (1) is a graduate of a dental school located outside the

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United States and has received the degree of doctor of dental medicine or surgery, or its equivalent; (2) has passed the written and practical examinations required in section 20-108; (3) has successfully completed not less than two years of graduate dental training as a resident dentist in a program accredited by the Commission on Dental Accreditation; and (4) has successfully completed, at a level greater than the second postgraduate year, not less than [two] three years of a residency or fellowship training program accredited by the Commission on Dental Accreditation in a [community or school-based health center affiliated with and under the supervision of a] school of dentistry in this state, or has served as a full-time faculty member of a school of dentistry in this state pursuant to the provisions of section 20-120 for not less than three years.

- Sec. 540. Section 19a-87a of the general statutes is amended by adding subsection (e) as follows (*Effective October 1, 2011*):
- 2013 (NEW) (e) In addition to any powers the Department of Public 2014 Health may have, in any investigation (1) concerning an application, 2015 reinstatement or renewal of a license for a child day care center, a 2016 group day care home or a family day care home, as such terms are 2017 defined in section 19a-77, (2) of a complaint concerning child day care 2018 services, as described in section 19a-77, or (3) concerning the possible 2019 provision of unlicensed child day care services, the Department of 2020 Public Health may administer oaths, issue subpoenas, compel 2021 testimony and order the production of books, records and documents. 2022 If any person refuses to appear, testify or produce any book, record or 2023 document when so ordered, a judge of the Superior Court may make 2024 such order as may be appropriate to aid in the enforcement in this 2025 section.
- Sec. 541. Subsection (a) of section 20-94a of the general statutes is repealed and the following is substituted in lieu thereof (*Effective* 2028 October 1, 2011):
- 2029 (a) The Department of Public Health may issue an advanced

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2030 practice registered nurse license to a person seeking to perform the 2031 activities described in subsection (b) of section 20-87a, as amended by 2032 this act, upon receipt of a fee of two hundred dollars, to an applicant 2033 who: (1) Maintains a license as a registered nurse in this state, as 2034 provided by section 20-93 or 20-94; (2) holds and maintains current 2035 certification as a nurse practitioner, a clinical nurse specialist or a nurse 2036 anesthetist from one of the following national certifying bodies that 2037 certify nurses in advanced practice: The American Nurses' Association, 2038 the Nurses' Association of the American College of Obstetricians and 2039 Gynecologists Certification Corporation, the National Board of 2040 Pediatric Nurse Practitioners and Associates or the American 2041 Association of Nurse Anesthetists, their successors or other 2042 appropriate national certifying bodies approved by the Board of 2043 Examiners for Nursing; (3) has completed thirty hours of education in 2044 pharmacology for advanced nursing practice; and (4) if first certified 2045 by one of the foregoing certifying bodies after December 31, 1994, 2046 holds a [master's] graduate degree in nursing or in a related field 2047 recognized for certification as either a nurse practitioner, a clinical 2048 nurse specialist, or a nurse anesthetist by one of the foregoing 2049 certifying bodies. No license shall be issued under this section to any 2050 applicant against whom professional disciplinary action is pending or 2051 who is the subject of an unresolved complaint.

- Sec. 542. (*Effective from passage*) Section 1 of public act 11-2 shall take effect on July 1, 2011.
- Sec. 543. Section 20-7c of the general statutes is repealed and the following is substituted in lieu thereof (*Effective October 1, 2011*):
- 2056 (a) For purposes of this section, ["provider"] "clinical laboratory" has
 2057 the same meaning as provided in section [20-7b] 19a-30. Clinical
 2058 laboratory does not include any state laboratory established by the
 2059 Department of Public Health pursuant to section 19a-26 or 19a-29.
- 2060 (b) [(1) A] Except as provided for in subsection (e) of this section, a provider [, except as provided in section 4-194,] shall (1) supply to a

2062 patient upon request complete and current information possessed by 2063 that provider concerning any diagnosis, treatment and prognosis of 2064 the patient, [. (2) A provider shall and (2) notify a patient of any test 2065 results in the provider's possession or requested by the provider for 2066 the purposes of diagnosis, treatment or prognosis of such patient. <u>In</u> 2067 addition, upon the request of a patient or a provider who orders 2068 medical tests on behalf of a patient, a clinical laboratory shall provide 2069 medical test results relating to the patient to any other provider who is 2070 treating the patient for the purposes of diagnosis, treatment or 2071 prognosis of such patient.

- (c) A provider, who requests that his or her patient submit to repeated medical testing at regular intervals, over a specified period of time, for purposes of ascertaining a diagnosis, prognosis or recommended course of treatment for such patient, may issue a single authorization that allows the entity that conducts such medical testing, including, but not limited to, a clinical laboratory, to directly communicate the results of such testing to the patient for the period of time that such testing is requested by the provider.
- [(c)] (d) Upon a written request of a patient, a patient's attorney or authorized representative, or pursuant to a written authorization, a provider, except as provided in section 4-194, shall furnish to the person making such request a copy of the patient's health record, including but not limited to, bills, x-rays and copies of laboratory reports, contact lens specifications based on examinations and final contact lens fittings given within the preceding three months or such longer period of time as determined by the provider but no longer than six months, records of prescriptions and other technical information used in assessing the patient's health condition. No provider shall refuse to return to a patient original records or copies of records that the patient has brought to the provider from another provider. When returning records to a patient, a provider may retain copies of such records for the provider's file, provided such provider does not charge the patient for the costs incurred in copying such records. No provider shall charge more than sixty-five cents per page,

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including any research fees, handling fees or related costs, and the cost of first class postage, if applicable, for furnishing a health record pursuant to this subsection, except such provider may charge a patient the amount necessary to cover the cost of materials for furnishing a copy of an x-ray, provided no such charge shall be made for furnishing a health record or part thereof to a patient, a patient's attorney or authorized representative if the record or part thereof is necessary for the purpose of supporting a claim or appeal under any provision of the Social Security Act and the request is accompanied by documentation of the claim or appeal. A provider shall furnish a health record requested pursuant to this section within thirty days of the request. No health care provider, who has purchased or assumed the practice of a provider who is retiring or deceased, may refuse to return original records or copied records to a patient who decides not to seek care from the successor provider. When returning records to a patient who has decided not to seek care from a successor provider, such provider may not charge a patient for costs incurred in copying the records of the retired or deceased provider.

[(d)] (e) If a provider reasonably determines that the information is detrimental to the physical or mental health of the patient, or is likely to cause the patient to harm himself, herself or another, the provider may withhold the information from the patient. The information may be supplied to an appropriate third party or to another provider who may release the information to the patient. If disclosure of information is refused by a provider under this subsection, any person aggrieved thereby may, within thirty days of such refusal, petition the superior court for the judicial district in which such person resides for an order requiring the provider to disclose the information. Such a proceeding shall be privileged with respect to assignment for trial. The court, after hearing and an in camera review of the information in question, shall issue the order requested unless it determines that such disclosure would be detrimental to the physical or mental health of the person or is likely to cause the person to harm himself, herself or another.

[(e)] (f) The provisions of this section shall not apply to any

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2130 information relative to any psychiatric or psychological problems or 2131 conditions. 2132 [(f)] (g) In the event that a provider abandons his or her practice, the 2133 Commissioner of Public Health may appoint a licensed health care 2134 provider to be the keeper of the records, who shall be responsible for 2135 disbursing the original records to the provider's patients, upon the 2136 request of any such patient. 2137 (h) The Commissioner of Public Health shall adopt regulations, in 2138 accordance with the provisions of chapter 54, to carry out the 2139 provisions of this section. 2140 Sec. 544. Subsection (a) of section 19a-638 of the general statutes, as 2141 amended by public act 11-10, is repealed and the following is 2142 substituted in lieu thereof (*Effective from passage*): 2143 (a) A certificate of need issued by the office shall be required for: 2144 (1) The establishment of a new health care facility; 2145 (2) A transfer of ownership of a health care facility; 2146 (3) The establishment of a free-standing emergency department; 2147 (4) The termination by a short-term acute care general hospital or 2148 children's hospital of inpatient and outpatient mental health and 2149 substance abuse services; 2150 (5) The establishment of an outpatient surgical facility, as defined in 2151 section 19a-493b, or as established by a short-term acute care general 2152 hospital; 2153 (6) The termination of an emergency department by a short-term 2154 acute care general hospital; 2155 (7) The establishment of cardiac services, including inpatient and 2156 outpatient cardiac catheterization, interventional cardiology and 2157 cardiovascular surgery;

(8) The acquisition of computed tomography scanners, magnetic resonance imaging scanners, positron emission tomography scanners or positron emission tomography-computed tomography scanners, by any person, physician, provider, short-term acute care general hospital or children's hospital, except as provided for in subdivision (23) of subsection (b) of this section;

- (9) The acquisition of nonhospital based linear accelerators;
- 2165 (10) An increase in the licensed bed capacity of a health care facility;
- 2166 (11) The acquisition of equipment utilizing technology that has not 2167 previously been utilized in the state; [and]
- 2168 (12) An increase of two or more operating rooms within any three-2169 year period, commencing on and after October 1, 2010, by an 2170 outpatient surgical facility, as defined in section 19a-493b, or by a 2171 short-term acute care general hospital; and
- 2172 (13) The termination of inpatient or outpatient services offered by a 2173 hospital or other facility or institution operated by the state that 2174 provides services that are eligible for reimbursement under Title XVIII 2175 or XIX of the federal Social Security Act, 42 USC 301, as amended.
- Sec. 545. Section 19a-7f of the general statutes is repealed and the following is substituted in lieu thereof (*Effective July 1, 2011*):
 - (a) The Commissioner of Public Health shall determine the standard of care for immunization for the children of this state. The standard of care for immunization shall be based on the recommended schedules for active immunization for normal infants and children published by the National Centers for Disease Control and Prevention Advisory Committee [, as determined by the Commissioner of Public Health] on Immunization Practices, the American Academy of Pediatrics and the American Academy of Family Physicians. The commissioner shall establish, within available appropriations, an immunization program which shall: (1) Provide vaccine at no cost to health care providers in

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Connecticut to administer to children so that cost of vaccine will not be a barrier to age-appropriate vaccination in this state; (2) with the assistance of hospital maternity programs, provide all parents in this state with the recommended immunization schedule for normal infants and children, a booklet to record immunizations at the time of the infant's discharge from the hospital nursery and a list of sites where immunization may be provided; (3) inform in a timely manner health care providers of changes in the recommended immunization schedule; (4) assist hospitals, local health providers and local health departments to develop and implement record-keeping and outreach programs to identify and immunize those children who have fallen behind the recommended immunization schedule or who lack access to regular preventative health care and have the authority to gather such data as may be needed to evaluate such efforts; (5) assist in the development of a program to assess the vaccination status of children who are clients of state and federal programs serving the health and welfare of children and make provision for vaccination of those who are behind the recommended immunization schedule; (6) access available state and federal funds including, but not limited to, any funds available through the federal Childhood Immunization Reauthorization or any funds available through the Medicaid program; (7) solicit, receive and expend funds from any public or private source; and (8) develop and make available to parents and health care providers public health educational materials about the benefits of timely immunization.

(b) (1) Commencing October 1, 2011, one group health care provider located in Bridgeport and one group health care provider located in New Haven, as identified by the Commissioner of Public Health, and any health care provider located in Hartford who administers vaccines to children under the federal Vaccines For Children immunization program that is operated by the Department of Public Health under authority of 42 USC 1396s may select under said federal program, and the department shall provide, any vaccine licensed by the federal Food and Drug Administration, including any combination vaccine and

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2222 dosage form, that is (A) recommended by the National Centers for

- 2223 <u>Disease Control and Prevention Advisory Committee on</u>
- 2224 <u>Immunization Practices, and (B) made available to the department by</u>
- 2225 <u>the National Centers for Disease Control and Prevention.</u>
- 2226 (2) Not later than June 1, 2012, the Commissioner of Public Health
- 2227 <u>shall provide an evaluation of the vaccine program established in</u>
- 2228 <u>subdivision (1) of this subsection to the joint standing committee of the</u>
- 2229 General Assembly having cognizance of matters relating to public
- 2230 <u>health. Such evaluation shall include, but not be limited to, an</u>
- 2231 assessment of the program's impact on child immunization rates, an
- 2232 assessment of any health or safety risks posed by the program, and
- 2233 <u>recommendations regarding future expansion of the program.</u>
- 2234 (3) Provided the evaluation submitted pursuant to subdivision (2) of
- 2235 this subsection does not indicate a significant reduction in child
- 2236 <u>immunization rates or an increased risk to the health and safety of</u>
- 2237 <u>children, commencing July 1, 2012, any health care provider who</u>
- 2238 <u>administers vaccines to children under the federal Vaccines For</u>
- 2239 Children immunization program that is operated by the Department of
- 2240 Public Health under authority of 42 USC 1396s may select, and the
- department shall provide, any vaccine licensed by the federal Food and Drug Administration, including any combination vaccine and
- dosage form, that is (A) recommended by the National Centers for
- 2244 Disease Control and Prevention Advisory Committee on
- 2245 <u>Immunization Practices, and (B) made available to the department by</u>
- 2246 <u>the National Centers for Disease Control and Prevention.</u>
- 2247 (4) The provisions of this subsection shall not apply in the event of a
- 2248 public health emergency, as defined in section 19a-131, or an attack,
- 2249 major disaster, emergency or disaster emergency, as those terms are
- defined in section 28-1.
- Sec. 546. Section 19a-7j of the general statutes is repealed and the
- 2252 following is substituted in lieu thereof (*Effective July 1, 2011*):
- 2253 (a) Not later than September 1, 2003, and annually thereafter, the

2254 Secretary of the Office of Policy and Management, in consultation with 2255 the Commissioner of Public Health, shall (1) determine the amount 2256 appropriated for the following purposes: (A) To purchase, store and 2257 distribute vaccines for routine immunizations included in the schedule 2258 for active immunization required by section 19a-7f, as amended by this 2259 act; (B) to purchase, store and distribute (i) vaccines to prevent hepatitis A and B in persons of all ages, as recommended by the 2260 2261 schedule for immunizations published by the National Advisory 2262 Committee for Immunization Practices, (ii) antibiotics necessary for the 2263 treatment of tuberculosis and biologics and antibiotics necessary for 2264 the detection and treatment of tuberculosis infections, and (iii) 2265 antibiotics to support treatment of patients in communicable disease 2266 control clinics, as defined in section 19a-216a; and (C) to provide 2267 services needed to collect up-to-date information on childhood 2268 immunizations for all children enrolled in Medicaid who reach two 2269 years of age during the year preceding the current fiscal year, to 2270 incorporate such information into the childhood immunization 2271 registry, as defined in section 19a-7h, and (2) inform the Insurance 2272 Commissioner of such amount.

(b) Each domestic insurer or health care center doing life insurance or health insurance business in this state shall annually pay to the Insurance Commissioner, for deposit in the General Fund, a health and welfare fee assessed by the Insurance Commissioner pursuant to this section. [Not later than October 1, 2003, the Insurance Commissioner shall determine the fee to be assessed against each such domestic insurer or health care center for the fiscal year ending June 30, 2004.] Not later than October 1, 2003, and annually thereafter, the Insurance Commissioner shall determine the fee to be assessed against each such domestic insurer or health care center for the next fiscal year. Such fee shall be a percentage of the total amount appropriated, as identified in subsection (a) of this section, and shall be calculated on the basis of life insurance premiums and health insurance premiums and subscriber charges in the same manner as calculations under section 38a-48. Not later than November 1, 2003, and annually thereafter, the Insurance

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Commissioner shall submit a statement to each such insurer and health care center that includes the proposed fee for the insurer or health care center calculated in accordance with this section. As used in this section, "health insurance" means health insurance, as defined in subdivisions (1) to (13), inclusive, of section 38a-469.

- 2293 (c) Any domestic insurer or health care center aggrieved by an 2294 assessment levied under this section may appeal therefrom in the same 2295 manner as provided for appeals under section 38a-52.
- [(d) For the fiscal year ending June 30, 2004, the aggregate assessment under this section shall not exceed seven million one hundred thousand dollars. For the fiscal year ending June 30, 2005, the aggregate assessment under this section shall not exceed seven million one hundred thousand dollars.]
- Sec. 547. Section 17b-369 of the general statutes is amended by adding subsections (c) to (e), inclusive, as follows (*Effective July 1*, 2303 2011):
 - (NEW) (c) The Commissioner of Social Services shall develop a strategic plan, consistent with the long-term care plan established pursuant to section 17b-337, to rebalance Medicaid long-term care supports and services, including, but not limited to, those supports and services provided in home, community-based settings and institutional settings. The commissioner shall include home, community-based and institutional providers in the development of the strategic plan. In developing the strategic plan the commissioner shall consider topics that include, but are not limited to: (1) Regional trends concerning the state's aging population; (2) trends in the demand for home, community-based and institutional services; (3) gaps in the provision of home and community-based services which prevent community placements; (4) gaps in the provision of institutional care; (5) the quality of care provided by home, community-based and institutional providers; (6) the condition of institutional buildings; (7) the state's regional supply of institutional

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2320 beds; (8) the current rate structure applicable to home, community-2321 based and institutional services; (9) the methods of implementing 2322 adjustments to the bed capacity of individual nursing facilities; and 2323 (10) a review of the provisions of subsection (a) of section 17b-354, as 2324 amended by this act.

(NEW) (d) The Commissioner of Social Services may contract with nursing facilities, as defined in section 17b-357, and home and community-based providers for the purpose of carrying out the strategic plan. In addition, the commissioner may revise a rate paid to a nursing facility pursuant to section 17b-340 in order to effectuate the strategic plan. The commissioner may fund strategic plan initiatives with federal grant-in-aid resources available to the state pursuant to the Money Follows the Person demonstration project pursuant to Section 6071 of the Deficit Reduction Act, P.L. 109-171, and the State Balancing Incentive Payments Program under the Patient Protection and Affordable Care Act, P.L. 111-148.

The Commissioner of Public Health, (NEW) (e) the commissioner's designee, may waive the requirements of sections 19-13-D8t, 19-13-D6 and 19-13-D105 of the regulations of Connecticut state agencies, if a provider requires such a waiver for purposes of effectuating the strategic plan developed pursuant to subsection (c) of this section and the commissioner, or the commissioner's designee, determines that such waiver will not endanger the health and safety of the provider's residents or clients. The commissioner, or the commissioner's designee, may impose conditions on the granting of any waiver which are necessary to ensure the health and safety of the clients. provider's residents or The commissioner, commissioner's designee, may revoke any waiver granted pursuant to this subsection upon a finding that the health or safety of a resident or client of a provider has been jeopardized.

2350 Sec. 548. Subsection (a) of section 17b-354 of the general statutes is repealed and the following is substituted in lieu thereof (Effective 2352 July 1, 2011):

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(a) Except for applications deemed complete as of August 9, 1991, the Department of Social Services shall not accept or approve any requests for additional nursing home beds or modify the capital cost of any prior approval for the period from September 4, 1991, through June 30, 2012, except (1) beds restricted to use by patients with acquired immune deficiency syndrome or traumatic brain injury; (2) beds associated with a continuing care facility which guarantees life care for its residents; (3) Medicaid certified beds to be relocated from one licensed nursing facility to another licensed nursing facility, to a new facility to meet a priority need identified in the strategic plan developed pursuant to subsection (c) of section 17b-369, as amended by this act, or to a small house nursing home, as defined in section 17b-372, provided (A) the availability of beds in an area of need will not be adversely affected; (B) no such relocation shall result in an increase in state expenditures; and (C) the relocation results in a reduction in the number of nursing facility beds in the state; (4) a request for no more than twenty beds submitted by a licensed nursing facility that participates in neither the Medicaid program nor the Medicare program, admits residents and provides health care to such residents without regard to their income or assets and demonstrates its financial ability to provide lifetime nursing home services to such residents without participating in the Medicaid program to the satisfaction of the department, provided the department does not accept or approve more than one request pursuant to this subdivision; (5) a request for no more than twenty beds associated with a free standing facility dedicated to providing hospice care services for terminally ill persons operated by an organization previously authorized by the Department of Public Health to provide hospice services in accordance with section 19a-122b; and (6) new or existing Medicaid certified beds to be relocated from a licensed nursing facility in a municipality with a 2004 estimated population of one hundred twenty-five thousand to a location within the same municipality provided such Medicaid certified beds do not exceed sixty beds. Notwithstanding the provisions of this subsection, any provision of the general statutes or any decision of the Office of Health Care Access, (i) the date by which

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2388 construction shall begin for each nursing home certificate of need in 2389 effect August 1, 1991, shall be December 31, 1992, (ii) the date by which 2390 a nursing home shall be licensed under each such certificate of need 2391 shall be October 1, 1995, and (iii) the imposition of such dates shall not 2392 require action by the Commissioner of Social Services. Except as 2393 provided in subsection (c) of this section, a nursing home certificate of 2394 need in effect August 1, 1991, shall expire if construction has not begun 2395 or licensure has not been obtained in compliance with the dates set 2396 forth in subparagraphs (i) and (ii) of this subsection.

- Sec. 549. Subsection (a) of section 19a-494 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective* 2399 October 1, 2011):
- (a) The Commissioner of Public Health, after a hearing held in accordance with the provisions of chapter 54, may take any of the following actions, singly or in combination, in any case in which [he] the commissioner finds that there has been a substantial failure to comply with the requirements established under this chapter, the Public Health Code [and] or licensing regulations:
- 2406 (1) Revoke a license or certificate;
- 2407 (2) Suspend a license or certificate;
- 2408 (3) Censure a licensee or certificate holder;
- 2409 (4) Issue a letter of reprimand to a licensee or certificate holder;
- 2410 (5) Place a licensee or certificate holder on probationary status and 2411 require him to report regularly to the department on the matters which 2412 are the basis of the probation;
- 2413 (6) Restrict the acquisition of other facilities for a period of time set 2414 by the commissioner; [and]
- 2415 (7) Issue an order compelling compliance with applicable statutes or regulations of the department; or

- 2417 (8) Impose a directed plan of correction.
- Sec. 550. Subsection (e) of section 19a-632 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective July*
- 2420 1, 2011):
- 2421 (e) If any assessment is not paid when due, [a late fee of ten dollars 2422 shall be added thereto and interest at the rate of one and one-fourth 2423 per cent per month or fraction thereof shall be paid on such assessment 2424 and late fee] the commissioner shall impose a fee equal to (1) two per 2425 cent of the assessment if such failure to pay is for not more than five 2426 days, (2) five per cent of the assessment if such failure to pay is for more than five days but not more than fifteen days, or (3) ten per cent 2427 2428 of the assessment if such failure to pay is for more than fifteen days. If 2429 a hospital fails to pay any assessment for more than thirty days after 2430 the date when due, the commissioner may, in addition to the fees imposed pursuant to this subsection, impose a civil penalty of up to 2431 2432 one thousand dollars per day for each day past the initial thirty days 2433 that the assessment is not paid. Any civil penalty authorized by this 2434 subsection shall be imposed by the commissioner in accordance with 2435 subsections (b) to (e), inclusive, of section 19a-653, as amended by this 2436 act.
- Sec. 551. Subsection (b) of section 19a-653 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective July* 1, 2011):
 - (b) If the Department of Public Health has reason to believe that a violation has occurred for which a civil penalty is authorized by subsection (a) of this section, or subsection (e) of section 19a-632, as amended by this act, it shall notify the person or health care facility or institution by first-class mail or personal service. The notice shall include: (1) A reference to the sections of the statute or regulation involved; (2) a short and plain statement of the matters asserted or charged; (3) a statement of the amount of the civil penalty or penalties to be imposed; (4) the initial date of the imposition of the penalty; and

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- 2449 (5) a statement of the party's right to a hearing.
- Sec. 552. Subsection (a) of section 19a-631 of the general statutes is
- repealed and the following is substituted in lieu thereof (Effective July
- 2452 1, 2011):
- (a) As used in this section, [and] section 19a-632, as amended by this
- 2454 act, and section 553 of this act, "hospital" means each hospital subject to
- 2455 the provisions of this chapter and licensed as a short-term acute-care
- 2456 general hospital or a children's hospital or both by the Department of
- 2457 Public Health.
- Sec. 553. (NEW) (Effective July 1, 2011) (a) For purposes of this
- section, "electronic funds transfer" has the same meaning as provided
- in section 12-685 of the general statutes.
- (b) The Department of Public Health may require a hospital to pay
- 2462 an assessment levied pursuant to section 19a-632 of the general
- statutes, as amended by this act, by way of an approved method of
- 2464 electronic funds transfer.
- 2465 (c) A hospital making an electronic funds transfer pursuant to this
- section shall initiate such transfer in a timely fashion to ensure that a
- bank account designated by the department is credited by electronic
- 2468 funds transfer for the amount of the assessment required to be made
- by such method on or before the date such assessment is due.
- 2470 (d) Where an assessment is required to be made by electronic funds
- 2471 transfer, any payment made by a method other than electronic funds
- 2472 transfer shall be treated as an assessment not made in a timely manner,
- 2473 and any payment made by electronic funds transfer, where the bank
- 2474 account designated by the department is not credited for the amount
- of the assessment on or before the date such assessment is due, shall be
- 2476 treated as an assessment not made in a timely manner. Any assessment
- 2477 treated under this subsection as an assessment not made in a timely
- 2478 manner shall be subject to a penalty in accordance with subsection (e)
- 2479 of this section.

(e) Where any assessment is treated under subsection (d) of this section as an assessment not made in a timely manner because it is made by means other than electronic funds transfer, there shall be imposed a penalty equal to ten per cent of the assessment required to be made by electronic funds transfer. Where any assessment made by electronic funds transfer is treated under subsection (d) of this section as an assessment not made in a timely manner because the bank account designated by the department is not credited by electronic funds transfer for the amount of the assessment on or before the date such assessment is due, there shall be imposed a penalty equal to (1) two per cent of the assessment required to be made by electronic funds transfer, if such failure to pay by electronic funds transfer is for not more than five days; (2) five per cent of the assessment required to be made by electronic funds transfer, if such failure to pay by electronic funds transfer is for more than five days but not more than fifteen days; or (3) ten per cent of the assessment required to be made by electronic funds transfer, if such failure to pay by electronic funds transfer is for more than fifteen days.

- (f) The department shall deposit all payments received pursuant to this section with the State Treasurer. The moneys so deposited shall be credited to the General Fund and shall be accounted for as expenses recovered from hospitals.
- 2502 Sec. 554. (NEW) (Effective January 1, 2012) (a) As used in this section:
 - (1) "Criminal history and patient abuse background search" or "background search" means (A) a review of the registry of nurse's aides maintained by the Department of Public Health pursuant to section 20-102bb of the general statutes, (B) checks of state and national criminal history records conducted in accordance with section 29-17a of the general statutes, and (C) a review of any other registry specified by the Department of Public Health which the department deems necessary for the administration of a background search program.
- (2) "Direct access" means physical access to a patient or resident of a 2511

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2512 long-term care facility that affords an individual with the opportunity 2513 to commit abuse or neglect against or misappropriate the property of a 2514 patient or resident.

- 2515 (3) "Disqualifying offense" means a conviction of any crime 2516 described in 42 USC 1320a-7(a)(1), (2), (3) or (4) or a substantiated 2517 finding of neglect, abuse or misappropriation of property by a state or 2518 federal agency pursuant to an investigation conducted in accordance 2519 with 42 USC 1395i-3(g)(1)(C) or 42 USC 1396r(g)(1)(C).
 - (4) "Long-term care facility" means any facility, agency or provider that is a nursing home, as defined in section 19a-521 of the general statutes, a home health agency, as defined in section 19a-490 of the general statutes, an assisted living services agency, as defined in section 19a-490 of the general statutes, an intermediate care facility for the mentally retarded, as defined in 42 USC 1396d(d), a chronic disease hospital, as defined in section 19a-550 of the general statutes, or an agency providing hospice care which is licensed to provide such care by the Department of Public Health or certified to provide such care pursuant to 42 USC 1395x.
 - (b) (1) On or before July 1, 2012, the Department of Public Health shall create and implement a criminal history and patient abuse background search program, within available appropriations, in order to facilitate the performance, processing and analysis of the criminal history and patient abuse background search of individuals who have direct access.
 - (2) The Department of Public Health shall develop a plan to implement the criminal history and patient abuse background search program, in accordance with this section. In developing such plan, the department shall (A) consult with the Commissioners of Emergency Services and Public Protection, Developmental Services, Mental Health and Addiction Services, Social Services and Consumer Protection, or their designees, the State Long-Term Care Ombudsman, or a designee, the chairperson for the Board of Pardons and Paroles, or a designee, a

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representative of each category of long-term care facility and representatives from any other agency or organization the Commissioner of Public Health deems appropriate, (B) evaluate factors including, but not limited to, the administrative and fiscal impact of components of the program on state agencies and long-term care facilities, background check procedures currently used by long-term care facilities, federal requirements pursuant to Section 6201 of the Patient Protection and Affordable Care Act, P.L. 111-148, as amended from time to time, and the effect of full and provisional pardons on employment, and (C) outline (i) an integrated process with the Department of Public Safety to cross-check and periodically update criminal information collected in criminal databases, (ii) a process by which individuals with disqualifying offenses can apply for a waiver, and (iii) the structure of an Internet-based portal to streamline the criminal history and patient abuse background search program. The Department of Public Health shall submit such plan, including a recommendation as to whether homemaker-companion agencies should be included in the scope of the background search program, to the joint standing committees of the General Assembly having cognizance of matters relating to aging, appropriations and the budgets of state agencies, and public health, in accordance with the provisions of section 11-4a of the general statutes, not later than February 1, 2012.

(c) (1) Except as provided in subdivision (2) of this subsection, each long-term care facility, prior to extending an offer of employment to or entering into a contract for the provision of long-term care services with any individual who will have direct access, or prior to allowing any individual to have direct access while volunteering at such long-term care facility, shall require that such individual submit to a background search. The Department of Public Health shall prescribe the manner by which (A) long-term care facilities perform the review of (i) the registry of nurse's aides maintained by the department pursuant to section 20-102bb of the general statutes, and (ii) any other registry specified by the department, including requiring long-term

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care facilities to report the results of such review to the department, and (B) individuals submit to state and national criminal history records checks, including requiring the Department of Public Safety to report the results of such checks to the Department of Public Health.

- (2) No long-term care facility shall be required to comply with the provisions of this subsection if the individual provides evidence to the long-term care facility that such individual submitted to a background search conducted pursuant to subdivision (1) of this subsection not more than three years immediately preceding the date such individual applies for employment, seeks to enter into a contract or begins volunteering with the long-term care facility and that the prior background search confirmed that the individual did not have a disqualifying offense.
- (d) (1) The Department of Public Health shall review all reports provided to the department pursuant to subsection (c) of this section. If any such report contains evidence indicating that an individual has a disqualifying offense, the department shall provide notice to the individual and the long-term care facility indicating the disqualifying offense and providing the individual with the opportunity to file a request for a waiver pursuant to subdivisions (2) and (3) of this subsection.
- (2) An individual may file a written request for a waiver with the department not later than thirty days after the date the department mails notice to the individual pursuant to subdivision (1) of this subsection. The department shall mail a written determination indicating whether the department shall grant a waiver pursuant to subdivision (3) of this subsection not later than fifteen business days after the department receives the written request from the individual, except that said time period shall not apply to any request for a waiver in which an individual challenges the accuracy of the information obtained from the background search.
- 2609 (3) The department may grant a waiver from the provisions of

subsection (e) of this section to an individual who identifies mitigating circumstances surrounding the disqualifying offense, including (A) inaccuracy in the information obtained from the background search, (B) lack of a relationship between the disqualifying offense and the position for which the individual has applied, (C) evidence that the individual has pursued or achieved rehabilitation with regard to the disqualifying offense, or (D) that substantial time has elapsed since committing the disqualifying offense. The department and its employees shall be immune from liability, civil or criminal, that might otherwise be incurred or imposed, for good faith conduct in granting waivers pursuant to this subdivision.

- (4) After completing a review pursuant to subdivision (1) of this subsection, the department shall notify in writing the long-term care facility to which the individual has applied for employment or with which the individual seeks to enter into a contract or volunteer (A) of any disqualifying offense and any information the individual provided to the department regarding mitigating circumstances surrounding such offense, or of the lack of a disqualifying offense, and (B) whether the department granted a waiver pursuant to subdivision (3) of this subsection.
- (e) Notwithstanding the provisions of section 46a-80 of the general statutes, no long-term care facility shall employ an individual required to submit to a background search, contract with any such individual to provide long-term care services or allow such individual to volunteer if the long-term care facility receives notice from the department that the individual has a disqualifying offense in the individual's background search and the department has not granted a waiver pursuant to subdivision (3) of subsection (d) of this section. A long-term care facility may, but is not obligated to, employ, enter into a contract with or allow to volunteer an individual who was granted a waiver pursuant to said subdivision (3).
- 2641 (f) (1) Except as provided in subdivision (2) of this subsection, a 2642 long-term care facility shall not employ, enter into a contract with or

allow to volunteer any individual required to submit to a background search until the long-term care facility receives notice from the Department of Public Health pursuant to subdivision (4) of subsection (d) of this section.

- (2) A long-term care facility may employ, enter into a contract with or allow to volunteer an individual required to submit to a background search on a conditional basis before the long-term care facility receives notice from the department that such individual does not have a disqualifying offense, provided: (A) The employment or contractual or volunteer period on a conditional basis shall last not more than sixty days, (B) the long-term care facility has begun the review required under subsection (c) of this section and the individual has submitted to checks pursuant to subsection (c) of this section, (C) the individual is subject to direct, on-site supervision during the course of such conditional employment or contractual or volunteer period, and (D) the individual, in a signed statement (i) affirms that the individual has not committed a disqualifying offense, and (ii) acknowledges that a disqualifying offense reported in the background search required by subsection (c) of this section shall constitute good cause for termination and a long-term care facility may terminate the individual if a disqualifying offense is reported in said background search.
- (g) Notwithstanding the provisions of subsection (b) of this section, the department may phase in implementation of the criminal history and patient abuse background search program by category of long-term care facility. No long-term care facility shall be required to comply with the provisions of subsections (c), (e) and (f) of this section until the date notice is published by the Commissioner of Public Health in the Connecticut Law Journal indicating that the commissioner is implementing the criminal history and patient abuse background search program for the category of such long-term care facility.
- 2674 (h) The department shall adopt regulations, in accordance with the 2675 provisions of chapter 54 of the general statutes, to implement the

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provisions of this section. The department may implement policies and procedures consistent with the provisions of this section while in the process of adopting such policies and procedures as regulation, provided notice of intention to adopt regulations is printed in the

- 2680 Connecticut Law Journal not later than twenty days after the date of
- 2681 implementation. Such policies and procedures shall be valid until the
- 2682 time final regulations are effective.
- Sec. 555. Section 20-670 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective January 1, 2012*):
- As used in sections 20-670 to 20-680, inclusive, as amended by this act:
- 2687 (1) "Certificate" means a certificate of registration issued under section 20-672, as amended by this act.
- 2689 (2) "Commissioner" means the Commissioner of Consumer 2690 Protection or any person designated by the commissioner to 2691 administer and enforce the provisions of sections 20-670 to 20-680, 2692 inclusive, as amended by this act.
- 2693 (3) "Companion services" means nonmedical, basic supervision 2694 services to ensure the well-being and safety of a person in such 2695 person's home.
- (4) "Employee" means any person employed by, or who enters into a contract to perform services for, a homemaker-companion agency, including, but not limited to, temporary employees, pool employees and persons treated by such agency as independent contractors.
- 2700 (5) "Comprehensive background check" means a background 2701 investigation of a prospective employee performed by a homemaker-2702 companion agency, that includes: (A) A review of any application 2703 materials prepared or requested by the agency and completed by the 2704 prospective employee; (B) an in-person interview of the prospective 2705 employee; (C) verification of the prospective employee's Social

Security number; (D) if the position applied for within the agency requires licensure on the part of the prospective employee, verification that the required license is in good standing; (E) a check of the registry established and maintained pursuant to section 54-257; (F) a review of criminal conviction information obtained through a search of current criminal matters of public record in this state based on the prospective employee's name and date of birth; (G) if the prospective employee has resided in this state less than three years prior to the date of the application with the agency, a review of criminal conviction information from the state or states where such prospective employee resided during such three-year period; and (H) a review of any other information that the agency deems necessary in order to evaluate the suitability of the prospective employee for the position.

- [(5)] (6) "Homemaker services" means nonmedical, supportive services that ensure a safe and healthy environment for a person in such person's home, such services to include assistance with personal hygiene, cooking, household cleaning, laundry and other household chores.
- [(6)] (7) "Homemaker-companion agency" means (A) any public or private organization [, employing] that employs one or more persons [that] and is engaged in the business of providing companion services or homemaker services, or (B) any registry. Homemaker-companion agency shall not include a home health care agency, as defined in subsection (d) of section 19a-490, or a homemaker-home health aide agency, as defined in subsection (e) of section 19a-490.
 - (8) "Registry" means any person or entity engaged in the business of supplying or referring an individual to or placing an individual with a consumer to provide homemaker or companion services provided by such individual, when the individual providing such services is either (A) directly compensated, in whole or in part, by the consumer, or (B) treated, referred to or considered by such person or entity as an independent contractor.

[(7)] (9) "Service plan" means a written document provided by a homemaker-companion agency to a person utilizing services provided by such agency, that specifies the anticipated scope, type, frequency and duration of homemaker or companion services that are to be provided by such agency for the benefit of the person.

- Sec. 556. Subsection (a) of section 20-672 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective 2745 January 1, 2012*):
- 2746 (a) Any person seeking a certificate of registration as a homemaker-2747 companion agency shall apply to the Commissioner of Consumer 2748 Protection, in writing, on a form provided by the commissioner. The 2749 application shall include the applicant's name, residence address, 2750 business address, business telephone number and such other 2751 information as the commissioner may require. An applicant shall also 2752 be required to submit to state and national criminal history records 2753 checks in accordance with section 29-17a and to certify under oath to 2754 the commissioner that: (1) Such agency complies with the 2755 requirements of section 20-678, as amended by this act, concerning 2756 employee comprehensive background checks, (2) such agency 2757 provides all persons receiving homemaker or companion services with 2758 a written individualized contract or service plan that specifically 2759 identifies the anticipated scope, type, frequency and duration of 2760 homemaker or companion services provided by the agency to the 2761 person, (3) such agency maintains a surety bond, and (4) all records 2762 maintained by such agency shall be open, at all reasonable hours, for inspection, copying or audit by the commissioner. 2763
- Sec. 557. Subsection (a) of section 20-675 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective* 2766 *January* 1, 2012):
- 2767 (a) The Commissioner of Consumer Protection may revoke, suspend 2768 or refuse to issue or renew any certificate of registration as a 2769 homemaker-companion agency or place an agency on probation or

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2770 issue a letter of reprimand for: (1) Conduct by the agency, or by an 2771 employee of the agency while in the course of employment, of a 2772 character likely to mislead, deceive or defraud the public or the 2773 commissioner; [or] (2) engaging in any untruthful or misleading 2774 advertising; or (3) failing to perform a comprehensive background 2775 check of a prospective employee or maintain a copy of materials 2776 obtained during a comprehensive background check, as required by 2777 section 20-678, as amended by this act.

Sec. 558. Section 20-678 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective January 1, 2012*):

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[Each homemaker-companion agency shall require that any employee of such agency hired on or after October 1, 2006, On or after January 1, 2012, each homemaker-companion agency, prior to extending an offer of employment or entering into a contract with a prospective employee, shall require such prospective employee to submit to a comprehensive background check. In addition, each homemaker-companion agency shall require that [any employee of such agency hired on or after October 1, 2006,] such prospective employee complete and sign a form which contains questions as to whether the [current or] prospective employee was convicted of a crime involving violence or dishonesty in a state court or federal court in any state; or was subject to any decision imposing disciplinary action by a licensing agency in any state, the District of Columbia, a United States possession or territory or a foreign jurisdiction. Any Jemployee of a homemaker-companion agency hired on or after October 1, 2006, prospective employee who makes a false written statement regarding such prior criminal convictions or disciplinary action shall be guilty of a class A misdemeanor. Each homemakercompanion agency shall maintain a paper or electronic copy of any materials obtained during the comprehensive background check and shall make such records available for inspection upon request of the Department of Consumer Protection.

Sec. 559. (NEW) (Effective January 1, 2012) (a) As used in this section,

"comprehensive background check" means a background investigation performed by a home health agency, as defined in subsection (k) of section 19a-490 of the general statutes, of an applicant for employment that includes, but is not limited to: (1) A review of any application materials prepared or requested by the agency and completed by the applicant; (2) an in-person interview of the applicant; (3) verification of the applicant's Social Security number; (4) if the position applied for within the agency requires licensure on the part of the applicant, verification that the required license is in good standing; (5) a check of the registry established and maintained pursuant to section 54-257 of the general statutes; (6) a review of criminal conviction information obtained through a search of current criminal matters of public record in this state based on the applicant's name and date of birth; (7) if the applicant has resided in this state less than three years prior to the date of the application for employment, a review of criminal conviction information from the state or states where such applicant resided during such three-year period; and (8) a review of any other information that the agency deems necessary in order to evaluate the suitability of the applicant for the position.

(b) On or after January 1, 2012, each home health agency, prior to extending an offer of employment to an applicant for employment with the agency, shall require such applicant to submit to a comprehensive background check. In addition, each home health agency shall require that any such applicant complete and sign a form disclosing whether the applicant was subject to any decision imposing disciplinary action by a licensing agency in any state, the District of Columbia, a United States possession or territory or a foreign jurisdiction. Any applicant who makes a false statement regarding such prior disciplinary action with intent to mislead the home health agency shall be guilty of a class A misdemeanor.

(c) The provisions of this section shall cease to be effective on the date the Commissioner of Public Health publishes notice in the Connecticut Law Journal of the department's implementation of the criminal history and patient abuse background search program for

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2837 home health agencies in accordance with the provisions of section 554 of this act.

- Sec. 560. Subsection (a) of section 20-32 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective July* 1, 2011):
- 2842 (a) No licensee under the provisions of this chapter shall use the title 2843 "Doctor" or any abbreviation or synonym thereof unless he or she 2844 holds the degree of doctor of chiropractic from a chartered chiropractic 2845 school or college, in which event the title shall be such as will 2846 designate the licensee as a practitioner of chiropractic. [No person shall 2847 practice as a chiropractor under any name other than the name of the 2848 chiropractor actually owning the practice or a corporate name 2849 containing the name or names of such chiropractors.] Each licensed 2850 chiropractor shall exhibit his <u>or her</u> name at the entrance of his <u>or her</u> 2851 place of business or on his or her office door. The Department of Public 2852 Health shall not initiate a disciplinary action against a licensed 2853 chiropractor who, prior to the effective date of this section, is alleged to 2854 have been practicing as a chiropractor under any name other than the 2855 name of the chiropractor actually owning the practice or a corporate 2856 name containing the name of such chiropractor.
- Sec. 561. Section 51 of public act 06-195 is repealed. (*Effective from passage*)"